

**DEPARTMENT OF VETERANS AFFAIRS
VHA MASTER SPECIFICATIONS**

**TABLE OF CONTENTS
Section 00 01 10**

SECTION NO.	DIVISION AND SECTION TITLES	DATE
	DIVISION 01 - GENERAL REQUIREMENTS	
01 00 00	General Requirements	03-20
01 32 16.16	Network Analysis Schedules (Design-Build Only)	03-20
01 33 23	Shop Drawings, Product Data, and Samples	05-17
01 35 26	Safety Requirements	07-20
01 42 19	Reference Standards	11-20
01 45 00	Quality Control	03-19
01 45 35	Special Inspections	03-19
01 57 19	Temporary Environmental Controls	01-11
01 58 16	Temporary Interior Signage	07-15
01 74 19	Construction Waste Management	09-13
01 81 13	Sustainable Construction Requirements	10-17
01 91 00	General Commissioning Requirements	10-15

SECTION 01 00 00
GENERAL REQUIREMENTS

1.1 SAFETY REQUIREMENTS

Refer to section 01 35 26, SAFETY REQUIREMENTS for safety and infection control requirements.

1.2 GENERAL INTENTION

- A. Contractor shall completely prepare site for building operations, including demolition and removal of existing structures, and furnish labor and materials and perform work for Project 568-21-300 Renovate Building 103, which includes but is not limited design and construction of a renovation to building 103 from its current configuration as a bowling alley to a climate controlled storage warehouse including all associated infrastructure as required by drawings and specifications.
- B. Visits to the site by Bidders, Contractors, subcontractors, suppliers / vendors may be made only by appointment or invite with the VA on site Contracting Officer's Representative (COR). During the solicitation period, site visit is to be made in accordance with the solicitation and Contracting Officer.
- E. All employees of general contractor and subcontractors shall comply with VA security management program and obtain permission of the VA police, be identified by project and employer, and restricted from unauthorized access.

1.3 STATEMENT OF BID ITEM(S)

- A. ITEM I, Design & Construction Documents: Work includes all labor, material, equipment and supervision to furnish the required documents for construction including Drawings, Specifications, construction cost estimates, and other documents as necessary.
- B. ITEM II, Pre-Construction: Execution of management and logistical services required to prepare for the construction of the project.
- C. ITEM III, Construction: Work includes general construction, alterations, necessary removal of existing structures and construction and certain other items.

Work includes all labor, material, equipment and supervision to perform the required electrical construction work including lighting, power, low voltage, equipment and certain other items.

Work includes all labor, material, equipment and supervision to perform the required Plumbing & Mechanical construction work on this project including replacement of ductwork, diffusers, exhaust, plumbing, fire protection and various other items.

- D. ITEM IV, Post-Construction Commissioning and Close-Out: Execution of post-construction services required for appropriate close-out and final commissioning of the project.

1.4 SPECIFICATIONS AND DRAWINGS FOR CONTRACTOR

- A. Drawings and contract documents may be obtained from the website where the solicitation is posted. Additional copies will be at Contractor's expense.

1.5 CONSTRUCTION SECURITY REQUIREMENTS

A. Security Plan:

1. The security plan defines both physical and administrative security procedures that will remain effective for the entire duration of the project.
2. The General Contractor is responsible for assuring that all sub-contractors working on the project and their employees also comply with these regulations.

B. Security Procedures:

1. General Contractor's employees shall not enter the project site without appropriate badge. They may also be subject to inspection of their personal effects when entering or leaving the project site.
2. Before starting work the General Contractor shall give one week's notice to the Contracting Officer so that security arrangements can be provided for the employees. This notice is separate from any notices required for utility shutdown described later in this section.
3. For working outside the regular hours of 7:00 am to 5:00 pm, the Contractor shall give 3 days notice to the COR so that arrangements can

be provided for the employees. This notice is separate from any notices required for utility shutdown described later in this section.

4. No photography of VA premises is allowed without written permission of the Contracting Officer.
5. VA reserves the right to close down or shut down the project site and order General Contractor's employees off the premises in the event of a national emergency. The General Contractor may return to the site only with the written approval of the Contracting Officer.

C. Key Control:

1. Only a limited number of keys will be issued to the contractor. Key requests shall be made in writing to the COR.
2. If the Contractor loses a key, a charge will be billed for a replacement key. Charge will be based on type of key and could be as high as \$1000.
3. 3. Ensure all doors leading to and from construction are either monitored or locked to prevent access to the area from unauthorized persons.

D. Document Control:

1. The General Contractor is responsible for safekeeping of all drawings, project manual and other project information. This information shall be shared only with those with a specific need to accomplish the project.
2. Certain documents, sketches, videos or photographs and drawings may be marked "Law Enforcement Sensitive" or "Sensitive Unclassified". Secure such information in separate containers and limit the access to only those who will need it for the project. Return the information to the Contracting Officer upon request.
3. These security documents shall not be removed or transmitted from the project site without the written approval of Contracting Officer.
4. All paper waste or electronic media such as CD's and diskettes shall be shredded and destroyed in a manner acceptable to the VA.

5. Notify Contracting Officer and Site Security Officer immediately when there is a loss or compromise of "sensitive information".
6. All electronic information shall be stored in specified location following VA standards and procedures using SPEC 01-33-23 Shop Drawings, Product Data, and Samples.
 - a. Security, access and maintenance of all project drawings, both scanned and electronic shall be performed and tracked in accordance with SPEC 01-33-23 Shop Drawings, Product Data, and Samples.
 - b. "Sensitive information" including drawings and other documents may be attached to e-mail provided all VA encryption procedures are followed.

E. Motor Vehicle Restrictions

1. Vehicle authorization request shall be required for any vehicle entering the site and such request shall be submitted 24 hours before the date and time of access. Access shall be restricted to picking up and dropping off materials and supplies.
2. A limited number of (2 to 5) permits shall be issued for General Contractor and its employees for parking in designated areas only. Additional permits or parking areas require a request and approval of the COR.

1.6 OPERATIONS AND STORAGE AREAS

- A. The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.
- B. The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The

Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

- C. Working space and space available for storing materials shall be as determined by the COR.
- D. Workmen are subject to rules of Medical Center applicable to their conduct.
- E. Execute work so as to interfere as little as possible with normal functioning of Medical Center as a whole, including operations of utility services, fire protection systems and any existing equipment, and with work being done by others. Use of equipment and tools that transmit vibrations and noises through the building structure, are not permitted in buildings that are occupied, during construction, jointly by patients or medical personnel, and Contractor's personnel, except as permitted by COR where required by limited working space.
 - 1. Do not store materials and equipment in other than assigned areas.
 - 2. Schedule delivery of materials and equipment to immediate construction working areas within buildings in use by Department of Veterans Affairs in quantities sufficient for not more than two work days. Provide unobstructed access to Medical Center
- F. Activities that involve significant vibration and noises shall be done after normal working hours. The Contractor shall notify the COR a minimum of 3 days prior and should notify the COR 7 days prior to these activities.
- G. Phasing:

The Medical Center must maintain its operation 24 hours a day 7 days a week. Therefore, any interruption in service must be scheduled and coordinated with the COR to ensure that no lapses in operation occur. It is the CONTRACTOR'S responsibility to develop a work plan and schedule detailing, at a minimum, the procedures to be employed, the equipment and materials to be used, the interim life safety measure to be used during the work, and a schedule defining the duration of the work with milestone subtasks. The work to be outlined shall include, but not be limited to:

SD Submission

SD Review by VA
 DD Submission
 DD Review by VA
 CD Submission
 CD Review by VAMC
 Documents Complete (CD issue)
 Mobilization
 Completion of Phases at each site
 Commissioning
 Construction Complete
 As-Built/O&Ms/Training

To ensure such executions, Contractor shall furnish the COR with a schedule of approximate phasing dates on which the Contractor intends to accomplish work in each specific area of site, building or portion thereof. In addition, Contractor shall notify the COR two weeks in advance of the proposed date of starting work in each specific area of site, building or portion thereof. Arrange such phasing dates to ensure accomplishment of this work in successive phases mutually agreeable to by COR and Contractor.

- H. When a building and/or construction site is turned over to Contractor, Contractor shall accept entire responsibility including upkeep and maintenance therefore:
1. Contractor shall maintain a minimum temperature of 4 degrees C (40 degrees F) at all times, except as otherwise specified.
 2. Contractor shall maintain in operating condition existing fire protection and alarm equipment. In connection with fire alarm equipment, Contractor shall make arrangements for pre-inspection of site with Fire Department or Company (Department of Veterans Affairs or municipal) whichever will be required to respond to an alarm from Contractor's employee or watchman. Exceptions to this would be where fire safety equipment must be protected for demolition purposes.
- I. Utilities Services: Maintain existing utility services for Medical Center at all times. Provide temporary facilities, labor, materials, equipment, connections, and utilities to assure uninterrupted services. Where necessary to cut existing water, steam, gases, sewer or air pipes, or conduits, wires, cables, etc. of utility services or of fire protection systems and communications systems (including telephone), they shall be cut and capped at suitable places where shown; or, in absence of such indication, where directed by COR.

1. No utility service such as water, gas, steam, sewers or electricity, or fire protection systems and communications systems may be interrupted without prior approval of COR. Electrical work shall be accomplished with all affected circuits or equipment de-energized. When an electrical outage cannot be accomplished, work on any energized circuits or equipment shall not commence without a detailed work plan, the Medical Center Director's prior knowledge and written approval. Refer to specification Sections 26 05 11, REQUIREMENTS FOR ELECTRICAL INSTALLATIONS, 27 05 11 REQUIREMENTS FOR COMMUNICATIONS INSTALLATIONS and 28 05 00, COMMON WORK RESULTS FOR ELECTRONIC SAFETY AND SECURITY for additional requirements.
 2. Contractor shall submit a request to interrupt any such services to COR, in writing, 7 calendar days in advance of proposed interruption. Request shall state reason, date, exact time of, and approximate duration of such interruption.
 3. Contractor will be advised (in writing) of approval of request, or of which other date and/or time such interruption will cause least inconvenience to operations of Medical Center. Interruption time approved by Medical Center may occur at other than Contractor's normal working hours.
 4. Major interruptions of any system must be requested, in writing, at least 15 calendar days prior to the desired time and shall be performed as directed by the COR.
- J. Abandoned Lines: All service lines such as wires, cables, conduits, ducts, pipes and the like, and their hangers or supports, which are to be abandoned but are not required to be entirely removed, shall be sealed, capped or plugged at the main, branch or panel they originate from. The lines shall not be capped in finished areas, but shall be removed and sealed, capped or plugged in ceilings, within furred spaces, in unfinished areas, or within walls or partitions; ensuring that they are completely behind the finished surfaces.
- K. To minimize interference of construction activities with flow of Medical Center traffic, comply with the following:

1. Keep roads, walks and entrances to grounds, to parking and to occupied areas of buildings clear of construction materials, debris and standing construction equipment and vehicles.

1.7 ALTERATIONS

A. Survey: Before any work is started, the Contractor shall make a thorough survey with the COR of areas of buildings in which alterations occur and areas which are anticipated routes of access, and furnish a report, signed by both contractor and COR and sent to the Contracting Officer. This report shall list by rooms and spaces:

1. Existing condition and types of resilient flooring, doors, windows, walls and other surfaces not required to be altered throughout affected areas of building.
2. Existence and conditions of items such as plumbing fixtures and accessories, electrical fixtures, equipment, venetian blinds, shades, etc., required by drawings to be either reused or relocated, or both.
3. Shall note any discrepancies between drawings and existing conditions at site.
4. Shall designate areas for working space, materials storage and routes of access to areas within buildings where alterations occur and which have been agreed upon by Contractor and COR.

B. Re-Survey: Ten (10) days before expected partial or final inspection date, the Contractor and COR together shall make a thorough re-survey of the areas of buildings involved. They shall furnish a report on conditions then existing, of resilient flooring, doors, windows, walls and other surfaces as compared with conditions of same as noted in first condition survey report:

1. Re-survey report shall also list any damage caused by Contractor to such flooring and other surfaces, despite protection measures; and, will form basis for determining extent of repair work required of Contractor to restore damage caused by Contractor's workmen in executing work of this contract.

C. Protection: Provide the following protective measures:

1. Wherever existing roof surfaces are disturbed they shall be protected against water infiltration. In case of leaks, they shall be repaired immediately upon discovery.
2. Temporary protection against damage for portions of existing structures and grounds where work is to be done, materials handled and equipment moved and/or relocated.
3. Protection of interior of existing structures at all times, from damage, dust and weather inclemency. Wherever work is performed, floor surfaces that are to remain in place shall be adequately protected prior to starting work, and this protection shall be maintained intact until all work in the area is completed.

1.8 DISPOSAL AND RETENTION

- A. Materials and equipment accruing from work removed and from demolition of buildings or structures, or parts thereof, shall be disposed of as follows:
 1. Reserved items which are to remain property of the Government are identified by attached tags or noted on drawings or in specifications as items to be stored. Items that remain property of the Government shall be removed or dislodged from present locations in such a manner as to prevent damage which would be detrimental to re-installation and reuse. Store such items where directed by COR.
 2. Items not reserved shall become property of the Contractor and be removed by Contractor from Medical Center.
 3. Items of portable equipment and furnishings located in rooms and spaces in which work is to be done under this contract shall remain the property of the Government. When rooms and spaces are vacated by the Department of Veterans Affairs during the alteration period, such items which are NOT required by drawings and specifications to be either relocated or reused will be removed by the Government in advance of work to avoid interfering with Contractor's operation. Exceptions to these items are stated in the SOW.

1.9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS

- A. The Contractor shall protect from damage all existing improvements and utilities at or near the work site and on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.
- B. Refer to Section 01 57 19, TEMPORARY ENVIRONMENTAL CONTROLS, for additional requirements on protecting vegetation, soils and the environment. Refer to Articles, "Alterations", "Restoration", and "Operations and Storage Areas" for additional instructions concerning repair of damage to structures and site improvements.
- C. Any soiled disturbed shall be finished graded and approved by COTR prior to placing sod to restore damaged landscaping.

1.10 RESTORATION

- A. Remove, cut, alter, replace, patch and repair existing work as necessary to install new work. Except as otherwise shown or specified, do not cut, alter or remove any structural work, and do not disturb any ducts, plumbing, steam, gas, or electric work without approval of the COR. Existing work to be altered or extended and that is found to be defective in any way, shall be reported to the COR before it is disturbed. Materials and workmanship used in restoring work, shall conform in type and quality to that of original existing construction, except as otherwise shown or specified.
- B. Upon completion of contract, deliver work complete and undamaged. Existing work (walls, ceilings, partitions, floors, mechanical and electrical work, lawns, paving, roads, walks, etc.) disturbed or removed as a result of performing required new work, shall be patched, repaired, reinstalled, or replaced with new work, and refinished and left in as good condition as existed before commencing work.

- C. At Contractor's own expense, Contractor shall immediately restore to service and repair any damage caused by Contractor's workmen to existing piping and conduits, wires, cables, etc., of utility services or of fire protection systems and communications systems (including telephone) which are not scheduled for discontinuance or abandonment.
- D. Expense of repairs to such utilities and systems not shown on drawings or locations of which are unknown will be covered by adjustment to contract time and price in accordance with clause entitled "CHANGES" (FAR 52.243-4 and VAAR 852.236-88) and "DIFFERING SITE CONDITIONS" (FAR 52.236-2). of Section 00 72 00, GENERAL CONDITIONS.

1.11 PHYSICAL DATA: NOT USED

1.12 PROFESSIONAL SURVEYING SERVICES: NOT USED

1.13 LAYOUT OF WORK: NOT USED

1.14 AS-BUILT DRAWINGS

- A. The contractor shall maintain two full size sets of as-built drawings which will be kept current during construction of the project, to include all contract changes, modifications and clarifications.
- B. All variations shall be shown in the same general detail as used in the contract drawings. To ensure compliance, as-built drawings shall be made available for the COR review, as often as requested.
- C. Contractor shall deliver one approved completed set of as-built drawings for each site on ARCH E1 - 30" x 42" Mylar drawings and an electronic version in each of the following formats PDF, Revit, and AutoCAD to the COR within 15 calendar days after each completed phase and after the acceptance of the project by the COR.
- D. Paragraphs A, B, & C shall also apply to all shop drawings.

1.15 USE OF ROADWAYS: NOT USED

1.16 RESIDENT ENGINEER'S FIELD OFFICE: NOT USED

1.20 TEMPORARY USE OF NEW ELEVATORS: NOT USED

1.21 TEMPORARY TOILETS

- A. Provide where directed, (for use of all Contractor's workers) ample temporary sanitary toilet accommodations with suitable sewer and water

connections; or, when approved by COR, provide suitable dry closets where directed. Keep such places clean and free from flies, and all connections and appliances connected therewith are to be removed prior to completion of contract, and premises left perfectly clean.

- B. Contractor may have for use of Contractor's workers, such toilet accommodations as may be assigned to Contractor by Medical Center. Contractor shall keep such places clean and be responsible for any damage done thereto by Contractor's workers. Failure to maintain satisfactory condition in toilets will deprive Contractor of the privilege to use such toilets.

1.21 AVAILABILITY AND USE OF UTILITY SERVICES

- A. The Government shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Failure to stop leakage or other wastes will be cause for revocation (at COR discretion) of use of utilities from Medical Center's system.
- B. The Contractor, at Contractor's expense and in a workmanlike manner, in compliance with code and as satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines, and all meters required to measure the amount of electricity used for the purpose of determining charges. Before final acceptance of the work by the Government, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia and repair restore the infrastructure as required.
- C. Heat: Furnish temporary heat necessary to prevent injury to work and materials through dampness and cold. Use of open salamanders or any temporary heating devices which may be fire hazards or may smoke and damage finished work, will not be permitted. Maintain minimum temperatures as specified for various materials:

1.22 NEW TELEPHONE EQUIPMENT: NOT USED

1.23 TESTS

- A. As per specification section 23 05 93 Testing, Adjusting, Balancing for HVAC, the contractor shall provide a written testing and commissioning plan complete with component level, equipment level, sub-system level

and system level breakdowns. The plan will provide a schedule and a written sequence of what will be tested, how and what the expected outcome will be. This document will be submitted for approval prior to commencing work. The contractor shall document the results of the approved plan and submit for approval with the as built documentation.

- B. Pre-test mechanical and electrical equipment and systems and make corrections required for proper operation of such systems before requesting final tests. Final test will not be conducted unless pre-tested.
- C. Conduct final tests required in various sections of specifications in presence of an authorized representative of the Contracting Officer. Contractor shall furnish all labor, materials, equipment, instruments, and forms, to conduct and record such tests.
- D. Mechanical and electrical systems shall be balanced, controlled and coordinated. A system is defined as the entire system which must be coordinated to work together during normal operation to produce results for which the system is designed. For example, air conditioning supply air is only one part of entire system which provides comfort conditions for a building. Other related components are return air, exhaust air, steam, chilled water, refrigerant, hot water, controls and electricity, etc. Another example of a system which involves several components of different disciplines is a boiler installation. Efficient and acceptable boiler operation depends upon the coordination and proper operation of fuel, combustion air, controls, steam, feedwater, condensate and other related components.
- E. All related components as defined above shall be functioning when any system component is tested. Tests shall be completed within a reasonably short period of time during which operating and environmental conditions remain reasonably constant and are typical of the design conditions.
- F. Individual test result of any component, where required, will only be accepted when submitted with the test results of related components and of the entire system.

1.24 INSTRUCTIONS

- A. Contractor shall furnish Maintenance and Operating manuals (hard copies and electronic) and verbal instructions when required by the various sections of the specifications and as hereinafter specified.
- B. Manuals: Maintenance and operating manuals and one compact disc (four hard copies and one electronic copy each) for each separate piece of equipment shall be delivered to the COR coincidental with the delivery of the equipment to the job site. Manuals shall be complete, detailed guides for the maintenance and operation of equipment. They shall include complete information necessary for starting, adjusting, maintaining in continuous operation for long periods of time and dismantling and reassembling of the complete units and sub-assembly components. Manuals shall include an index covering all component parts clearly cross-referenced to diagrams and illustrations. Illustrations shall include "exploded" views showing and identifying each separate item. Emphasis shall be placed on the use of special tools and instruments. The function of each piece of equipment, component, accessory and control shall be clearly and thoroughly explained. All necessary precautions for the operation of the equipment and the reason for each precaution shall be clearly set forth. Manuals must reference the exact model, style and size of the piece of equipment and system being furnished. Manuals referencing equipment similar to but of a different model, style, and size than that furnished will not be accepted.
- C. Instructions: Contractor shall provide qualified, factory-trained manufacturers' representatives to give detailed training to assigned Department of Veterans Affairs personnel in the operation and complete maintenance for each piece of equipment. All such training will be at the job site. These requirements are more specifically detailed in the various technical sections. Instructions for different items of equipment that are component parts of a complete system, shall be given in an integrated, progressive manner. All instructors for every piece of component equipment in a system shall be available until instructions for all items included in the system have been completed. This is to assure proper instruction in the operation of inter-related systems. All instruction periods shall be at such times as scheduled by the COR and shall be considered concluded only when the COR is

satisfied in regard to complete and thorough coverage. The contractor shall submit a course outline with associated material to the COR for review and approval prior to scheduling training to ensure the subject matter covers the expectations of the VA and the contractual requirements. The Department of Veterans Affairs reserves the right to request the removal of, and substitution for, any instructor who, in the opinion of the COR, does not demonstrate sufficient qualifications in accordance with requirements for instructors above.

1.25 GOVERNMENT-FURNISHED PROPERTY: NOT USED

1.26 RELOCATED EQUIPMENT ITEMS: NOT USED

1.27 STORAGE SPACE FOR DEPARTMENT OF VETERANS AFFAIRS EQUIPMENT: NOT USED

1.28 CONSTRUCTION SIGN: NOT USED

1.29 SAFETY SIGN: NOT USED

1.30 PHOTOGRAPHIC DOCUMENTATION

- A. The Contractor will collect 200 video or pictures at the various milestones. The milestone video or pictures will be separated into their own folders with a README PDF (non-editable) index document indicating date and time of the dataset collection, including a file directory listing including file name, size, date, etc. The video or pictures will include a minimum of two look angles to maximize coverage. The video or pictures may be rejected if the quality does not provide enough detail to document the milestone properly.

1.32 FINAL ELEVATION DIGITAL IMAGES: NOT USED

1.33 HISTORIC PRESERVATION

Where the Contractor or any of the Contractor's employees, prior to, or during the construction work, are advised of or discover any possible archeological, historical and/or cultural resources, the Contractor shall immediately notify the COR verbally, and then with a written follow up.

- - - E N D - - -

indicating date and time of the dataset collection, including a file directory listing including file name, size, date, etc. The video or pictures will include a minimum of two look angles to maximize coverage. The video or pictures may be rejected if the quality does not provide enough detail to document the milestone properly.

1.32 FINAL ELEVATION DIGITAL IMAGES: NOT USED

1.33 HISTORIC PRESERVATION

Where the Contractor or any of the Contractor's employees, prior to, or during the construction work, are advised of or discover any possible archeological, historical and/or cultural resources, the Contractor shall immediately notify the Resident Engineer CO verbally, and then with a written follow up.

- - - E N D - - -

SECTION 01 32 16.16
NETWORK ANALYSIS SCHEDULES (DESIGN-BUILD ONLY)

PART 1- GENERAL

1.1 DESCRIPTION:

- A. The Contractor shall develop a fully Resource loaded (cost and manpower) Network Analysis System (NAS) plan and computer generated schedule demonstrating fulfillment of the entire contract requirements, shall keep the plan and computer generated schedule up-to-date in accordance with the requirements of this section and shall utilize the plan for scheduling, coordinating, mitigating and monitoring work under this contract (including all activities of subcontractors, equipment vendors and suppliers). The Contractor's initial NAS Diagram submission will be the basis for their initial project schedule and will be designated as a Day 1 submission. After review and approval by VA, this schedule will be designated as the approved Baseline Schedule.
- B. Conventional Critical Path Method (CPM) Precedence Diagramming Method (PDM) technique shall be utilized to satisfy both time and cost applications. All schedule data and reports required under this specification section shall be based upon total float, not relative or free float schedules. The approved baseline NAS diagram and the schedule becomes the official project schedule of record governing schedule management, oversight and actions on the corresponding contract.

1.2 CONTRACTOR'S REPRESENTATIVE:

- A. The Contractor shall designate an authorized representative in the firm who will be responsible for the preparation of the network diagram, review and report progress of the project with and to the Contracting Officer's representative.
- B. The Contractor's representative shall have direct project control and complete authority to act on behalf of the Contractor in fulfilling the requirements of this specification section and such authority shall not be interrupted throughout the duration of the project.

1.3 CONTRACTOR'S CONSULTANT:

- A. To fulfill all of the requirements of this specification section, the Contractor shall engage an independent CPM consultant who is skilled in the time and cost application of scheduling using (PDM) network techniques for similar sized construction projects. The cost of which

is included in the Contractor's bid proposal price. This consultant shall not have any financial ties, business ties, affiliation with or a subsidiary company of the Contractor. The consultant is expected to provide unbiased professional services to the contractor and to VA's representatives in developing and maintaining the project schedule.

- B. Prior to engaging a consultant, and within 10 calendar days after award of the contract, the Contractor shall submit to the Contracting Officer:
1. The name and address of the proposed consultant and Company.
 2. Sufficient information to show that the proposed consultant has the qualifications to meet the requirements specified in this specification section.
 3. A list of prior construction projects, along with selected PDM network diagram samples on current projects which the proposed consultant has performed complete project scheduling services. These network diagram samples must show complete project planning for a project of similar size and scope as covered under this contract.
- C. The Contracting Officer has the right to approve or disapprove employment of the proposed consultant, and will notify the Contractor of the VA decision within seven calendar days from receipt of information. In case of disapproval, the Contractor shall resubmit another consultant within 10 calendar days for renewed consideration. The contractor must have their CPM Consultant approved prior to:
1. Submitting the Day 1 diagram and schedule.
 2. Notice to Proceed.
 3. Pre-construction Conference.

1.4 PRIOR TO BASELINE SCHEDULE ACCEPTANCE

- A. At the time of the Pre-Construction Conference, prior to the issuance of the project Notice to Proceed, the Contractor and the Contractor's Scheduling Consultant shall arrange a separate meeting with the Contracting Officer and/or his representative to discuss the requirements of this specification.
- B. With exception of bonds, insurance, and limited mobilization cost associated with preparatory work such as site trailers, staging areas, haul roads etc., the approval of the baseline NAS schedule is a condition precedent to:
1. Processing of contractor's pay request(s) for any construction activities/items of work.

2. Review of any schedule updates.
- C. Government review comments on the contractor's schedule shall not relieve the contractor from compliance with requirements of this specification section and the remaining contract documents.

PART 2 - SCHEDULE CRITERIA / DATA REQUIREMENTS:

2.1 COMPUTER PRODUCED SCHEDULE DEVELOPMENT CRITERIA

- A. The computer produced schedule shall be prepared and maintained using Primavera P6 software.
- B. Work activity/event relationships shall be restricted to Finish-to-Start (FS) and Start-to-Start (SS) only without lead or lag constraints. Note: Some exception may be allowed for lag in SS relationship, but must be approved by the VA's contracting officer on case by case basis prior to inclusion in the schedule.
- C. Activity/event date constraints, not required by the contract, will not be accepted unless submitted to and approved by the Contracting Officer. The contractor shall make a separate written detailed request to the Contracting Officer identifying these date constraints and secure the Contracting Officer's written approval before incorporating them into the network diagram. The Contracting Officer's separate approval of the network diagram shall not excuse the contractor of this requirement.
- D. Logic events (non-work) will be permitted where necessary to reflect proper logic among work events, but must have zero duration. The complete computer schedule shall reflect the Contractor's approach to pursuing the entire project.
- E. Intermediate Phasing milestones contained in the contract documents shall be clearly shown in the schedule.
- F. The Contractor's initial Day 1 submission, prior to VA review and approval, in its original form shall reflect the original contract scope of work.
- G. Early Project Completion or "Short Schedule" - VA will not approve any baseline schedule which shows completion date prior to the contract completion date. Also, there should not be any "filler or "contingency" type of activities to fill the entire contract duration. VA has no obligation to accelerate activities to support a proposed early contract completion. However, if the subsequent updates show that early handover is feasible due to "ahead of schedule" situation,

VA may accept the facility earlier at no additional cost to the government.

H. The Baseline Schedule Critical Path of the project shall be limited to:

1. No more than 20% of the activities shall be on the critical and near-critical path(s). Critical path is defined as activities with zero (0) day total float. Near-Critical path(s) is defined as activities with one (1) to twenty (20) days of total float.
2. Multiple Critical paths will not be allowed.

I. The Contractor shall show activities/events for:

1. Contractor's time required for submittal of shop drawings, templates, fabrication, delivery and similar pre-construction work.
2. Contracting Officer's and Architect-Engineer's review and approval of shop drawings, equipment schedules samples, template, or similar items with time duration of **no less than 20 workdays**.
3. Shutdowns or Interruption of VA Medical Center utilities, delivery of Government furnished equipment (GFE), and rough-in drawings, project phasing and any other specification requirements.
4. VC/VV Equipment - All significant VC (VA furnished and Contractor installed) and VV (VA furnished and VA installed) equipment shall be clearly shown in the schedule. Any smaller VC and VV Equipment shall also be logically grouped together and shown in the schedule.
5. Test, balance and adjust various systems and pieces of equipment, maintenance and operation manuals, instructions and preventive maintenance tasks.
6. Commissioning (Cx)Activities - Based upon the project specific Commissioning plan and project specification section 01 91 00, the contractor shall include in the Day 1 NAS Diagram all the systems commissioning activities (see systems covered in Division 7, 8, 21, 22, 23, 26, 28, 31 and others as specified) such as start up, Pre-functional check list, Pre-test, individual component and system level Functional test, Operator's training, O.& M. Manuals etc.(including any deficiency correction and re-testing). The majority of commissioning activities should be completed as part of the normal construction schedule and finalized prior to the construction contract completion date. To this end, it is imperative that the Commissioning Agent and the Contractor collaborate to integrate commissioning activities into the Contractor's overall construction schedule. All commissioning

activities shall be cost loaded as required in the earlier paragraphs. The Commissioning Plan will identify critical commissioning activities and associated construction/start up tasks that must precede these activities to allow for successful execution of the commissioning activities. In order to coordinate these activities with the construction schedule, a Commissioning Duration Schedule should be provided by the Commissioning Agent to the VA SRE and the Contractor to provide a rational basis for integration of commissioning into the Day 1 NAS diagram and the construction schedule. The Commissioning Duration Schedule should include the following information:

- a. Description of Commissioning Activity
 - b. Prerequisite Construction Tasks Required to Execute the Cx Activity
 - c. Elapsed Time Duration of Each Activity
 - d. Documentation Associated with Each Task/Document Responsibility
7. Once the duration schedule is delivered to the Contractor, the Contractor will collaborate with the Commissioning Agent to integrate all commissioning activities into the fixed duration construction schedule in accordance with VA NAS requirements for scheduling the project. The Baseline Schedule, as approved by the VA at the beginning, may not have all necessary Cx details, but the contractor is required to subsequently update the commissioning activities as more detailed **Commissioning Duration Schedule** is being developed.
- J. VA inspection and acceptance activity/event with a minimum duration of five work days at the end of each phase and immediately preceding any VA move activity/event required by the contract phasing for that phase. Schedule these activities/events so that only one phase is scheduled for completion within the same 30 consecutive calendar day period (except for those phases immediately preceding the final acceptance). Maintain this scheduling condition throughout the length of the contract unless waived by the Contracting Officer's representative in writing.
- K. Work activities/events for the asbestos abatement bid item shall have a trade code of ASB.

- L. Bid items other than the Base Bid (ITEM 1) and Asbestos Abatement item shall have trade codes corresponding to the appropriate bid item number (e.g., ITM 3, ITM 4 and other items).
1. Show not only the activities/events for actual construction work for each trade category of the project, but also trade relationships to indicate the movement of trades from one area, floor, or building, to another area, floor, or building, for at least five trades who are performing major work under this contract.
 2. Break up the work into activities/events shall have:
 - a. Duration no longer than **20 work days** each, except as to non-construction activities/events (i.e., procurement of materials, delivery of equipment, concrete and asphalt curing) and any other activities/events for which the Contracting Officer may approve the showing of a longer duration.
 - b. The duration for VA approval of any required submittal, shop drawing, or other submittals shall not be **less than 20 work days**. Refer to drawing CPM-1 for VA approval activities/events which will require minimum duration longer than 20 workdays. The construction time as determined by the CPM schedule from early start to late finish for any sub-phase, phase or the entire project shall not exceed the contract time(s) specified or shown.
 - c. An activity/event shall only reflect the work of one entity (subcontract or craft).
 - d. The activity/event once began can continue unimpeded until the activity/event is complete.
 3. Describe work activities/events clearly, so the work is readily identifiable with clear scope for assessment of completion. Activities/events labeled "start," "continue," or "completion," are not specific and will not be allowed. Lead and lag time activities will not be acceptable (Refer to item 2.1 B above for some exceptions).
 4. Uniquely number each activity/event ID with ALPHA-NUMERIC value in ascending order. The network diagram should be generally numbered in such a way to reflect trade discipline, phase or location of the work.

2.2 WORK ACTIVITY/EVENT COST AND TRADE RESOURCE DATA

- A. Cost Loading - The Contractor shall cost load all work activities/events except procurement activities. The cost loading shall

reflect the appropriate level of effort of the work activities/events. The cumulative amount of all cost loaded work activities/events (including alternates) shall equal the total contract price. Prorate overhead, profit and general conditions on all work activities/events for the entire project length. The contractor shall generate from this information cash flow curves indicating graphically the total percentage of work activity/event dollar value scheduled to be in place on early finish, late finish. These cash flow curves will be used by the Contracting Officer to assist him in determining approval or disapproval of the cost loading. In the event of disapproval, the Contractor shall revise and resubmit in accordance with Article, THE INITIAL DAY ONE SCHEDULE SUBMITTAL (Item 3.1B below). Negative work activity/event cost data will not be acceptable, except on VA issued contract changes.

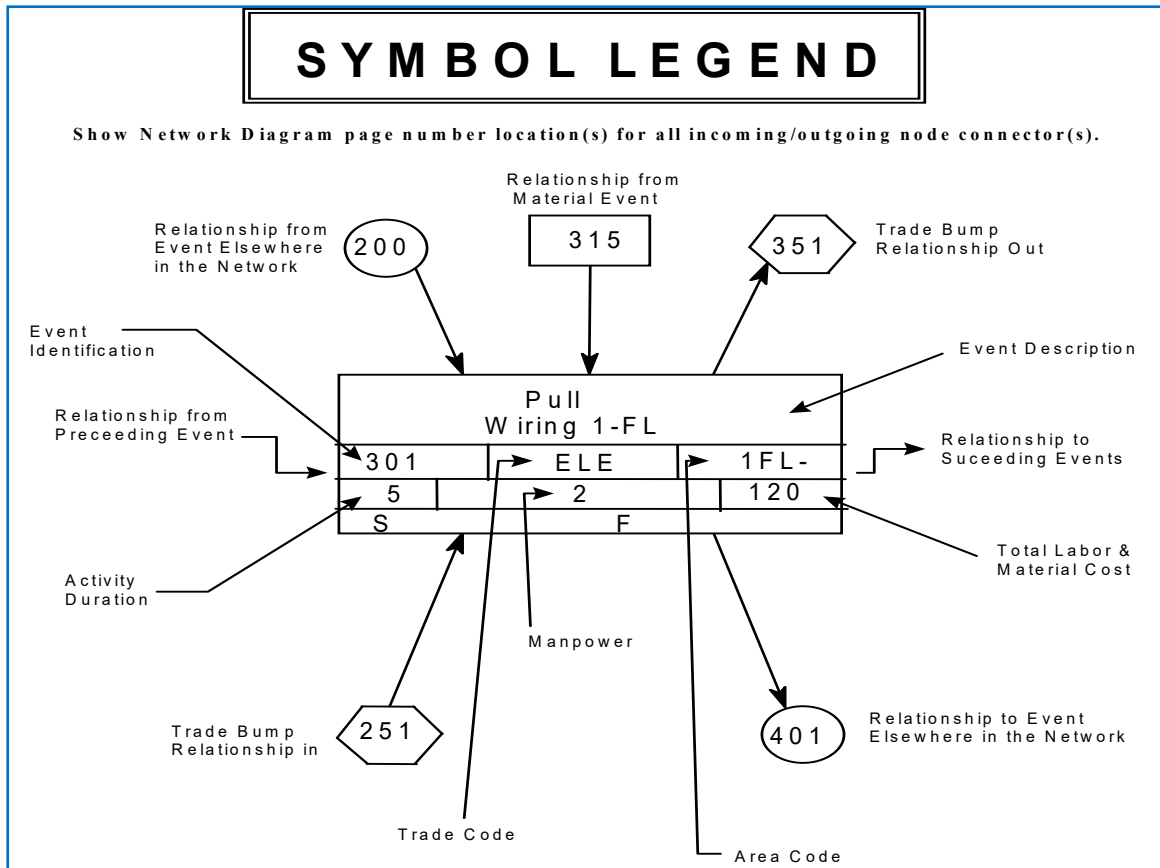
- B. The Contractor shall cost load work activities/events for guarantee period services, test, balance and adjust various systems in accordance with the provisions in the FAR 52.232 - 5 (PAYMENTS UNDER FIXED-PRICE CONSTRUCTION), Article, and VAAR 852.232 - Article 71 Including NAS-CPM for (PAYMENTS UNDER FIXED PRICE CONSTRUCTION).
- C. The Contractor shall cost load work activities/events for ASBESTOS ABATEMENT. The sum of asbestos abatement work activity/event costs shall equal the value of the asbestos bid item in the Contractors' bid.
- D. The Contractor shall cost load work activities/events for all BID ITEMS. The sum of the cost loading for each bid item work activities/events shall equal the value of the item in the Contractors' bid.
- E. Work activities/events for Contractor bond shall have a trade code and area code of BOND.
- F. Manpower loading - In accordance with Article PERFORMANCE OF WORK BY THE CONTRACTOR in FAR 52.236 - 1 and VAAR 852.236 - 72, the Contractor shall submit, simultaneously with the cost per work activity/event of the construction schedule required by this Section, a responsibility code for all activities/events of the project for which the Contractor's forces will perform the work. This shall be the basis for the "Resource loading" of the schedule and the contractor shall provide "Manpower loading" reports by trades and the overall Trade manpower requirements, when requested by the contracting officer or his/her representatives.

2.3 NETWORK DIAGRAM (NAS) DATA REQUIREMENTS:

- A. The Day 1 NAS diagram is the logical plan and forms the basis for contractor's baseline schedule. Participation and input of key construction personnel from the general contractor and the major subcontractors is a mandatory requirement in the baseline schedule development. The Day 1 NAS diagram in its original form shall reflect the original contract scope of work and contain no contract changes or delays which may have been incurred during the final NAS diagram and baseline schedule development period and shall reflect the entire contract duration as defined in the bid documents. Show on the NAS diagram the sequence and interdependence of work activities/events required for complete performance of all items of work. In preparing the NAS diagram, the Contractor shall:
1. Exercise sufficient care to produce a clear, legible and accurate network diagram, refer to the construction document (CD) drawing, CPM-1 (Sample CPM Network. Computer plotted network diagrams shall legibly display and plot all information required by the VA CPM activity/event legend, otherwise the computer plotted network diagram will not be accepted. If the computer plotted network diagram is not found acceptable by the contracting officer's representative, then the network diagram will need to be hand drafted and meet legibility requirements. Provide a key plan on each network diagram sheet showing the project area associated with the work activities/events shown on that sheet.
 2. Show the following on each work activity/event:
 - a. Activity/Event ID number.
 - b. Concise description of the work represented by the location and activity/event.
 - c. Activity coding - Provide activity coding (five alpha characters or less) for Trades (GEN, MECH, ELEC, CARP, PLAST, or other acceptable abbreviations), Area, Phasing etc. Provide a dictionary of all coding in the front page of the NAS Diagram.
 - d. Duration (in work days.)
 - e. Cost (in accordance with Article, ACTIVITY/EVENT COST DATA of this section and less than \$9,999,999 per activity).
 - f. Manpower required (average number of workers per day). Load manpower for each and every activity with proper trade codes in the "resource" field of P6 for ease of generating individual

Trade and overall manpower profile required to plan and complete the project.

- g. No open-ended activities with exception of Notice to Proceed and the Final Completion node.
- h. The SYMBOL LEGEND format shown below and on the drawing, CPM-1 (Sample CPM Network) is mandatory and shall be followed in preparing final network diagrams.



- B. To the extent that the network diagram or any revised network diagram shows anything not jointly agreed upon, it shall not be deemed to have been approved by the Contracting Officer. Failure to include any element of work required for the performance of this contract shall not excuse the Contractor from completing all work required within any applicable completion date of each phase regardless of the Contracting Officer's approval of the network diagram.
- C. Compact Disk Requirements and CPM Activity/Event Record Specifications: Submit to the VA (Senior Resident Engineer and CPM Schedule Analyst) an electronic file(s) containing one file of the data required to produce a Primavera (P6), (PDM) produced schedule, reflecting all the

activities/events of the complete project network diagram being submitted.

2.4 CONSTRUCTION SCHEDULE RISK ANALYSIS / MITIGATION PLAN

- A. Schedule Risk Analysis - The contractor shall conduct the statistical schedule risk analysis based on the above detailed construction activities in the Day 1 approved diagram, identifying major schedule risk areas and recommended risk mitigation plans as outlined below.
- B. The risk analysis shall be conducted by a person or firm skilled in the statistical method of schedule risk analysis based on the (PDM) network techniques for major construction projects, preferably in the major health care related projects. The cost of this service shall be included in the Contractor's proposal.
- C. The Contracting Officer has the right to approve or disapprove the Person or firm designated to perform the risk analysis.

2.5 RISK ANALYSIS FORMAT / DATA REQUIREMENTS

- A. Conduct Risk Analysis - Based on the approved software / format, the consultant shall perform statistical risk analysis on the detailed approved Day 1 diagram and the baseline schedule. The contractor shall review and utilize any previous Risk analysis performed by the A/E of record based on the "semi-detailed" (yet at an overall level) construction logic and schedule to ensure the continuity of previous schedule risk analysis. The contractor's project manager and Superintendent shall identify the major schedule risk areas and possible risk mitigation strategy/plan and record it in a narrative format, with electronic file submission to the VA as directed by the VA Contracting officer.
- B. The submittal shall include three copies of a computer-produced risk analysis results, predicting the various meaningful probability curves of achieving the contract schedules. It shall also include a detailed narrative list of all major and minor potential and specific schedule and cost risk areas and impact of them on the overall project, and a contractor's recommendations of mitigating the identified risks which must be addressed by the VA Project and Resident engineer teams to maintain the contract schedule.
- C. The contractor shall, as a part of Risk monitoring, prepare a detailed Project Risk Register (PRR), identifying each risk items, risk assessment and its response plan. This PRR, at the discretion of VA SRE

and CO, shall be discussed in a monthly risk management meeting for mitigation.

PART 3 - SUBMITTALS, DELIVERABLES, AND UPDATE PROCESS:

3.1 SUBMITTALS:

- A. The Independent CPM Consultant Submittal: Within 10 calendar days after award of the contract, the Contractor shall submit to the Contracting Officer for review and approval the qualifications of their proposed independent CPM consultant. The submittal information shall be in accordance with PART 1 GENERAL, ARTICLE 1.3 CONTRACTOR'S CONSULTANT of this Specification.
- B. THE INITIAL Day 1 SCHEDULE SUBMITTAL: The Initial Day 1 Schedule Submittal consist of 2 separate submittals
 1. Interim NAS Diagram Submittal: Within 21 calendar days after receipt of Notice to Proceed, the interim network diagram shall be submitted describing the activities to be accomplished and their interdependencies from the Notice to Proceed to the early phase of major construction. This Interim diagram/schedule shall cover most of the detail design activities and may include some of the more detailed early construction activities. This Interim schedule shall cover at least **first 180 calendar days** of detail activities and remainder with summary activities. While most of the design and procurement activities shall be shown in detail, the follow on major construction activities can be shown in summary format, to capture the entire contract length of the project. All work activities (including design), other than procurement activities, shall be cost loaded as specified and will be the basis for progress payments during the period prior to acceptance of the final network diagram. The interim network diagram in its original form shall contain no contract changes or delays which may have been incurred during the interim network diagram development period and shall reflect the Contractors schedule as submitted with his RFP solicitation package, or as negotiated prior to Notice to Proceed. All CPM data supporting any time extension requests, in accordance with Article ADJUSTMENT OF CONTRACT COMPLETION, will be derived from the approved final network diagram. Any changes/delays shall be entered at the first update after the final network diagram has been approved.
 - a. Final NAS Diagram Submittal: **Within 45 calendar days prior to the start of major construction**, the Contractor shall submit for the

Contracting Officer's review the detailed Final diagram and schedule for the entire project including the detail activities already contained in the Interim Diagram. The Contracting Officer's separate approval of the network diagram shall not excuse the contractor of this requirement. Logic events (non-work) will be permitted where necessary to reflect proper logic among work events, but must have a zero duration. The complete working network diagram shall reflect the Contractor's approach to scheduling the complete project. **The final network diagram in its original form shall contain no contract changes or delays which may have been incurred during the final network diagram development period and shall reflect the entire contract duration as defined in the bid documents.** These changes/delays shall be entered at the first update after the final network diagram has been approved. The Contractor should provide their requests for time and supporting time extension analysis for contract time as a result of contract changes/delays, after this update, and in accordance with Article, ADJUSTMENT OF CONTRACT COMPLETION.

C. VA RESPONSE TO INITIAL SCHEDULE SUBMITTAL - BASELINE SCHEDULE:

Interim Schedule - Within 15 calendar days after receipt of the Interim schedule, VA will review and approve this schedule for early design work, if found acceptable. However, this will not be the final Baseline schedule.

Final Baseline Schedule - Within 30 calendar days after receipt of the Final and complete project NAS diagram and Final schedule, the Contracting Officer or his representative will do one or both of the following:

1. Notify the Contractor concerning his actions, opinions, and objections.
2. A meeting with the Contractor at or near the job site for joint review, correction or adjustment of the proposed plan will be scheduled if required. Within 14 calendar days after the joint review, the Contractor shall revise and shall submit three hard copies of the revised network diagram, three copies of the revised computer-produced activity/event ID schedule and a revised electronic P6 file as specified by the Contracting Officer. The revised submission will be reviewed by the Contracting Officer and, if found to be as previously agreed upon, will be approved.

3. The contractor submitted Final NAS diagram, the corresponding computer-produced schedule(s) and the submitted supporting data, when approved, shall constitute the official Baseline Schedule until subsequently revised in accordance with the requirements of this section.

D. COMPUTER PRODUCED SCHEDULES SUBMITTALS:

1. The contractor shall submit to the VA Senior Resident Engineer (SRE) and CPM Schedule Analyst (simultaneously), computer-produced time/cost schedules and reports generated from monthly project updates. This monthly computer service will include: electronic file copies of up to five different reports (inclusive of all pages), available within the user defined reports of Primavera (P6) to the contracting officer's representatives; a hard copy listing of all project schedule changes, and associated data, made at the update; an electronic file of this data in Primavera (P6) format; and the resulting monthly updated schedule reports in a compressed electronic file in Primavera (P6), (PDM) format. These schedule reports must be submitted within 7 calendar days of monthly update meeting, along with the signed (by the contractor and VA) Look ahead report (with % complete progress) made at the previous update meeting; and substantively support the contractor's monthly payment request. The SRE shall identify the five different report formats that the contractor shall provide based upon the monthly schedule updates.
2. The contractor is responsible for the correctness and timeliness of the computer-produced reports. The Contractor is also responsible for the accurate and timely submittal (within 7 calendar days as noted above) of the updated project schedule and all CPM data necessary to produce the computer reports and payment request that is specified.

E. VA RESPONSES TO COMPUTER PRODUCED SCHEDULE submittals

The VA may report errors in computer-produced reports to the Contractor's representative within ten calendar days from receipt of reports, indicating approval or disapproval. In case of disapproval, the Contractor will reprocess the computer-produced reports and associated compact disk(s), when requested by the Contracting Officer's representative, to correct errors which affect the payment and schedule for the project. In certain large and complex project, as determined by

the Contracting Officer, this monthly reporting shall be formal submittal and approval process; meaning that the next month's update shall not proceed without timely submittal and approval.

F. FIRST UPDATE SCHEDULE SUBMITTAL:

Within 30 calendar days of VA acceptance of the project baseline schedule, the Contractor shall submit the first update of the schedule. This update shall contain any progress of the work the contractor wishes to receive payment for from contract notice to proceed. Any changes/delays shall be entered at the first update after the final network diagram has been approved. The Contractor should provide their requests for time and supporting time extension analysis for contract time as a result of contract changes/delays, after this update, and in accordance with Article, ADJUSTMENT OF CONTRACT COMPLETION. These changes/delays shall be entered at the first update after the final network diagram has been approved. The Contractor should provide their requests for time and supporting time extension analysis for contract time as a result of contract changes/delays, after this update, and in accordance with Article, ADJUSTMENT OF CONTRACT COMPLETION.

G. PERIODIC PROGRESS AND PAYMENT SUBMITTALS:

The Contractor is entitled to a periodic (not less than monthly) progress payment upon approval of the resource loaded project schedule.

1. The contractor shall submit the AIA application and certificate for payment documents G702 & G703 reflecting updated schedule activities and cost data in accordance with the provisions of the following Article 3.2, PAYMENT AND PROGRESS REPORTING, as the basis upon which progress payments will be made pursuant to Article FAR 52.232 - 5 (PAYMENTS UNDER FIXED-PRICE CONSTRUCTION), and VAAR 852.232 - Article 71 Including NAS-CPM for (PAYMENTS UNDER FIXED PRICE CONSTRUCTION).
2. If the Contractor fails or refuses to furnish to the Contracting Officer the information and the associated updated Primavera (P6), (PDM) schedule in electronic format, which, in the sole judgment of the Contracting Officer, is necessary for processing the monthly progress payment, the Contractor shall not be deemed to have provided an estimate and supporting schedule data upon which progress payment may be made.

H. RISK ANALYSIS PROCEDURE SUBMITTAL:

Within 45 calendar days (60 calendar days on projects over \$50,000,000) after receipt of Notice to Proceed, the Contractor shall submit for the Contracting Officer's review and approval:

1. The qualifications of a consultant or representative who will be conducting the Risk Analysis.
2. The software to be utilized.
3. The methodology of performing the analysis.
5. The format of presenting the data.
5. A sample of the reports to be given to VA.

I. RISK ANALYSIS REPORT SUBMITTAL:

Quarterly a risk analysis exercise shall be performed and/or updated and submitted to the VA Contracting Officer. The VA Contracting Officer can request additional risk analysis.

1. The submittal shall include three copies of a computer-produced risk analysis results, predicting the various meaningful probability curves of achieving the contract schedules. It shall also include a detailed narrative list of all major and minor potential and specific schedule and cost risk areas and impact of them on the overall project, and a contractor's recommendations of mitigating the identified risks which must be addressed by the VA Project and Resident engineer teams to maintain the contract schedule.
2. The Contractor shall, as a part of Risk Analysis Submittal, prepare a detailed Project Risk Register (PRR), identifying each risk items, risk assessment and its response plan. This PRR, at the discretion of the SRE and the CO, shall be discussed in a monthly risk management meeting for mitigation.

3.2 PAYMENT AND PROGRESS REPORTING - SCHEDULE UPDATES

- A. Schedule update meeting- Monthly job site schedule update meeting shall be held on dates mutually agreed to by the Contracting Officer (or Contracting Officer's representative) and the Contractor. Contractor and his CPM consultant will be required to attend all monthly update meetings. Presence of Subcontractors during update meeting is optional unless required by the Contracting Officer (or Contracting Officer's representative). The Contractor shall update the project schedule and all other data required by this section shall be accurately filled in and completed draft (Pencil copy) prior to the monthly update meeting. The Contractor shall provide this information to the Contracting Officer or the VA representative in completed form

three work days in advance of the update meeting. The contractor shall use only approved previous month's schedule to report progress (% complete) in "pencil copy" and shall not change this in any shape or form. Logic or duration changes for future incomplete activities should be entered later and shown in the resulting reports. Job progress will be reviewed to verify:

1. Actual start and/or finish dates for updated/completed activities/events.
2. Remaining duration, required to complete each activity/event started, or scheduled to start, but not completed.
3. Logic, time and cost data for change orders (CO), and supplemental agreements (SA) that are to be incorporated into the network diagram and computer-produced schedule. Submit "Fragnets" for each CO and SA for VA approval prior to monthly parallel run. Changes in activity/event sequence and duration should be made pursuant to the provisions of following Article, ADJUSTMENT OF CONTRACT COMPLETION.
4. Percentage for completed and partially completed activities/events.
5. Logic and duration revisions required by this section of the specifications, particularly if the Day 1 logic / sequence have changed significantly, which could potentially alter or impact the critical path of the schedule. Highlight and request VA approval prior to making any logic, durations, manpower and cost loading changes.
6. Activity/event duration and percent complete shall be updated independently, meaning that the Remaining Durations (RD) shall be reviewed for all "in-progress" activities and entered manually based on realistic remaining work content, and shall not be left to "auto-calculate" by the software.
7. Out of sequence progress - Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence progress) are not allowed except on a rare case by case basis, subject to approval by the contracting officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting updated monthly schedule. Correct out of sequence progress that continues for more than two update cycles by logic revision, as approved by the contracting officer. Also, submit complete revised schedule when

- more than 5% of the remaining activities are out of sequence.
Calculate multiple float paths option is not allowed.
8. Logic changes - Specifically identify and discuss all logic changes pertaining to change orders (see section item 3.2.E below), contractor proposed changes in work plan or sequence, correction to schedule logic for out-of-sequence progress etc. that have been made pursuant to contract provisions. VA will only approve logic revisions in order to keep the schedule valid in terms of its usefulness in calculating a realistic completion date, correcting erroneous logic ties, and accurately sequencing the work.
- B. **Schedule Narrative** - The Contractor, in addition to the 5 schedule reports noted earlier, shall submit a narrative report as a part of his monthly update reporting prepared after the update meeting, in a form agreed upon by the Contractor and the Contracting Officer. The narrative report shall be prepared by the contractor's authorized representatives (Project manager, Superintendent or other responsible official, and not by the CPM consultant); shall include, at a minimum, a description of major construction problem areas; current and anticipated delaying factors and their estimated impact on performance of other activities/events and completion dates; and an explanation of corrective action being taken or proposed. This narrative shall also include 1) any forward Logic Revisions; 2) any added or deleted activities; 3) Any cost loading or budget changes; 4) Any missed major milestones. This monthly schedule narrative should also briefly discuss the potential schedule risks / mitigation effort, as required by the item 3.1.G above. This report is in addition to the daily reports pursuant to the provisions of Article, DAILY REPORT OF WORKERS AND MATERIALS in the GENERAL CONDITIONS.
- C. Cash Flow S-curve or Schedule Variance Control (SVC) Diagram - With each schedule submission, provide a SVC diagram showing Scheduled and Actual (earned value) Project cost curve (both incremental and cumulative) based on both projected early and late activity finish dates. Also, revise the Cash Flow S-curve when the contract is modified, or as directed by the Contracting Officer.
- D. After completion of the joint review and the Contracting Officer's approval of all entries, the contractor will generate an updated computer-produced calendar-dated schedule and submit to the Contracting Officer's representative with reports in accordance with the Article,

COMPUTER PRODUCED SCHEDULES, specified. These reports shall be submitted **within 7 calendar days** after the monthly update meeting to the SRE and the VA CPM Schedule analyst simultaneously via electronic media, as noted earlier.

- E. **Parallel Runs / Time Extension Analysis** - After completing the monthly schedule update, the contractor's CPM consultant shall rerun all current period contract change(s) as a batch against the previous month's approved monthly project schedule with the approved "Fragnet" (Fragments of network or sub-network) logic and durations. The analysis shall only include original workday durations and schedule logic agreed upon by the contractor and the SRE for the contract change(s), and preferably shall be submitted as a part of the C.O. proposal and before any physical C.O. work is performed. Fragnet logic shall include only relevant procurement and physical C.O. work activities, and **shall not include any RFI (Request for Information) or non-work activities time.**
- Note: If timely resolution of a RFI is potentially impacting the contract schedule, in contractor's opinion, the contractor must provide tangible proof with CPM data and immediately submit in writing to the Contracting Officer's review and decision.** Delayed (after 30 days of RFI date) time impact submittal due to any RFI will not be accepted. When there is a disagreement on Fragnet logic and/or durations, the contractor consultant shall use the schedule logic and/or durations provided and approved by the SRE for the parallel run. The contractor must also allocate cost and average manpower loading to each CO or SA Fragnet activities as required by the section 2.3 - Network Diagram Requirements above. Note: Insertion of any CO or SA activities into the CPM database with faulty logic ties like NTP (predecessor) and Project complete (successor) and zero (0) duration will not be accepted. The proper "Fragnet" logic and durations must be used as approved by the SRE, tied to the related physical work area of the schedule. After each rerun update, the resulting electronic project schedule data file shall be appropriately identified and submitted to the VA SRE and the CPM Analyst in accordance to the requirements listed in articles 3.2.D. This electronic submission is separate from the regular monthly project schedule reports requirements and shall be submitted to the SRE within fourteen (14) calendar days of completing the regular schedule update meeting. Before inserting the contract changes durations, care must be taken to ensure that only the original planned durations of the change

will be used for the analysis, and **not the reported durations (as-built) after the progress**. In addition, once the final network diagram is approved, the contractor must recreate all manual progress payment updates on this approved network diagram and associated reruns for contract changes in each of these update periods as outlined above for regular update periods. This will require detailed record keeping for each of the manual progress payment updates.

- F. Revised NAS Diagram - After VA acceptance and approval of the final NAS diagram and the schedule, and after each monthly update, the contractor shall submit to the Contracting Officer electronic copies of a revised complete NAS diagram showing all completed and partially completed activities/ events, contract changes and logic changes made on the intervening updates or at the first update on the final diagram. The Contracting Officer may elect to have the contractor do this on a less frequent basis, but it shall be done when the Baseline schedule is revised, or when requested by the Contracting Officer.
- G. Schedule Coordination/ Progress review meeting - Following approval of the CPM schedule updates, the VA, the General Contractor, its approved CPM Consultant, RE office representatives, and all subcontractors needed, as determined by the SRE, shall meet to discuss the monthly updated schedule. This schedule coordination meeting shall be chaired by the VA SRE and will occur after each monthly project schedule update meeting utilizing the resulting schedule reports from the previous schedule update. The main emphasis shall be to address work activities to avoid slippages of project schedule and to identify any necessary corrective actions required to maintain project schedule during the reporting period. VA representatives and the Contractor should conclude the meeting with a clear understanding of those work and administrative actions necessary to maintain project schedule status during the reporting period. If the project is behind schedule, discussions should concentrate on ways to prevent further slippage as well as ways to improve the project schedule status, as appropriate. Furthermore, the critical Change Orders that impact the contract schedule, the contractor must include a reasonable "work-around" plan or re-planning effort (submit narrative) including revised logic sequence for the downstream base contract work, durations adjustments and crew re-allocation from less critical to the new critical path areas, without adversely impacting the change order cost to the government to

minimize/mitigate the impact of the time delay. This should not be implied as a direction to accelerate the schedule, rather a reasonable mitigation effort within the current work plan.

- H. Ownership of Project Float - Project float is the length of time between the contractor's predicted completion milestone and the contract completion date milestone. Project Float available in the schedule, at any time, shall not be considered for the exclusive use of either the VA or the Contractor.

3.3 RESPONSIBILITY FOR COMPLETION / PROJECT DELAY

- A. Whenever it becomes apparent from the current monthly progress review meeting or the monthly schedule update that phasing or contract completion dates will not be met, the Contractor shall execute some or all of the following remedial actions:
1. Increase construction manpower in such quantities and crafts as necessary to eliminate the backlog of work.
 2. Increase the number of working hours per shift, shifts per working day, working days per week, the amount of construction equipment, or any combination of the foregoing to eliminate the backlog of work.
 3. Reschedule the work in conformance with the specification requirements to recover all of the delay for which the contractor is responsible.
- B. Prior to proceeding with any of the above actions, the Contractor shall notify and obtain approval from the Contracting Officer for the proposed schedule changes. If such actions are approved, the contractor shall incorporate this "Recovery schedule" with all the CPM revisions into the next update, at no additional cost to the Government.

3.4 CHANGES TO NETWORK DIAGRAM AND REVISED SCHEDULE:

- A. Within 30 calendar days after VA acceptance and approval of any updated computer-produced schedule, the Contractor shall submit a revised network diagram and schedule, the associated compact disk(s), and a list of any activity/event changes including predecessors and successors for any of the following reasons:
1. Delay in completion of any activity/event or group of activities/events; that indicate an extension of the project completion by 20 working days or 10 percent of the remaining project duration, whichever is less. Such delays which may be involved with contract changes, strikes, unusual weather, and other delays will

not relieve the Contractor from the requirements specified unless the conditions are shown on the CPM as the direct cause for delaying the project is beyond the acceptable limits.

2. Delays in submittals, or deliveries, or work stoppage are encountered which make rescheduling of the work necessary.
 3. The schedule does not represent the actual prosecution and realistic progress of the project, or when more than 5% of the remaining activities are "out of sequence" as noted earlier (Ref.3.2.A.7).
 4. When there is, or has been, a substantial revision to the activity/event costs of the network diagram regardless of the cause for these revisions.
- B. CPM revisions made under this paragraph which affect the previously approved computer-produced schedules for Government furnished equipment, vacating of areas by the VA Medical Center, contract phase(s) and sub phase(s), utilities furnished by the Government to the Contractor, or any other previously contracted item, must be furnished in writing to the Contracting Officer for approval.
- C. Contracting Officer's approval for the revised NAS diagram and schedule and all relevant data is contingent upon compliance with all other paragraphs of this section and any other previous agreements by the Contracting Officer or the VA representative.
- D. The cost of revisions to the network diagram resulting from contract changes will be included in the proposal for changes in work as specified in Article, FAR 52.243 -4 (CHANGES), and will be based on the complexity of the revision or contract change, man hours expended in analyzing the change, and the total cost of the change.
- E. The cost of revisions to the network diagram not resulting from contract changes is the responsibility of the Contractor.

3.5 ADJUSTMENT OF CONTRACT COMPLETION DATE / TIME EXTENSION DUE TO CHANGES TO THE CONTRACT

- A. Contract Time Extension due to Changes to Contract - The contract completion time will be adjusted only for causes specified in this contract. The contractor shall submit Request for a time extension to the contract within reasonable time frame (within 1 month of the issuance of the Change order or before signing of the bilateral Supplemental Agreement) and must provide a justification, CPM data and supporting evidence as the Contracting Officer may deem necessary for determination as to whether or not the Contractor is entitled to a time

extension under the provisions of the contract. Submission of proof based on revised activity/event logic, durations (in work days) and costs is obligatory to any approvals. The schedule must clearly display that the Contractor has used, in full, all the float time available for the work involved in this request. The Contracting Officer's determination as to the total number of days of contract extension will be based upon the current computer-produced calendar-dated schedule for the time period in question and all other relevant information.

- B. Actual delays in activities/events which, according to the computer-produced calendar-dated schedule, do not affect the original or extended contract completion dates shown by the critical path in the network, will not be the basis for a change to the contract completion date. The Contracting Officer will within a reasonable time after receipt of such justification and supporting evidence, review the facts and advise the Contractor in writing of the Contracting Officer's decision. The burden of proof to request the time extension is the sole responsibility of the contractor, and the contractor is required to revise the Time analysis or provide further documentation when requested by the Contracting Officer.
- C. The Contractor shall submit each request for a change in the contract completion date to the Contracting Officer in accordance with the provisions specified under Article, FAR 52.243 -4 (CHANGES). The Contractor shall include, as a part of each change order proposal, a sequence of activities showing all CPM logic revisions (Fragnet), duration (in work days) changes, and cost changes, manpower loading for work in question and its relationship to other activities on the approved network diagram (as specified above in item 3.2.E - Parallel Run /Time Impact Analysis).

3.6 ADJUSTMENT OF CONTRACT COMPLETION DATE / TIME EXTENSION DUE TO WEATHER:

Weather Delays - All delays due to non-work activities/events such as RFI's, Weather, Strikes, and similar non-work activities/events shall be analyzed on a month by month basis. Any weather related delays claimed by the contractor must be "above and beyond" the "normal weather" pattern (10 year average) and shall be supported by the data shown in the National Oceanic and Atmospheric Administration (NOAA) for the region of the country where the project site is located. It is obligatory for the contractor to prove that time delays claimed due to unusual weather have directly impacted the critical path related work.

The weather delay analysis shall be submitted to the VA within 30 calendar days after the weather event has passed. VA considers Time extension due to the weather is "non-compensable" time only.

- - - E N D - - -

SECTION 01 33 23**SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES****PART 1 - GENERAL****1.1 DESCRIPTION**

- A. This specification defines the general requirements and procedures for submittals. A submittal is information submitted for VA review to establish compliance with the contract documents.
- B. Detailed submittal requirements are found in the technical sections of the contract specifications. The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective technical specifications at no additional cost to the government.
- C. VA approval of a submittal does not relieve the Contractor of the responsibility for any error which may exist. The Contractor is responsible for fully complying with all contract requirements and the satisfactory construction of all work, including the need to check, confirm, and coordinate the work of all subcontractors for the project. Non-compliant material incorporated in the work will be removed and replaced at the Contractor's expense.

1.2 DEFINITIONS

- A. Preconstruction Submittals: Submittals which are required prior to issuing contract notice to proceed or starting construction. For example, Certificates of insurance; Surety bonds; Site-specific safety plan; Construction progress schedule; Schedule of values; Submittal register; List of proposed subcontractors.
- B. Shop Drawings: Drawings, diagrams, and schedules specifically prepared to illustrate some portion of the work. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be integrated and coordinated.
- C. Product Data: Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions, and brochures, which describe and illustrate size, physical appearance, and other characteristics of materials, systems, or equipment for some portion of the work. Samples of warranty language when the contract requires extended product warranties.

- D. Samples: Physical examples of materials, equipment, or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged. Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project. Field samples and mock-ups constructed to establish standards by which the ensuing work can be judged.
- E. Design Data: Calculations, mix designs, analyses, or other data pertaining to a part of work.
- F. Test Reports: Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work. Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.
- G. Certificates: Document required of Contractor, or of a manufacturer, supplier, installer, or subcontractor through Contractor. The purpose is to document procedures, acceptability of methods, or personnel qualifications for a portion of the work.
- H. Manufacturer's Instructions: Pre-printed material describing installation of a product, system, or material, including special notices and MSDS concerning impedances, hazards, and safety precautions.
- I. Manufacturer's Field Reports: Documentation of the testing and verification actions taken by manufacturer's representative at the job site on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must indicate whether the material, product, or system has passed or failed the test.
- J. Operation and Maintenance Data: Manufacturer data that is required to operate, maintain, troubleshoot, and repair equipment, including manufacturer's help, parts list, and product line documentation. This data shall be incorporated in an operations and maintenance manual.
- K. Closeout Submittals: Documentation necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a phase of construction on a multi-phase contract.

1.3 SUBMITTAL REGISTER

- A. As part of the design-build process, the Design-Build Contractor shall develop the submittal register. The submittal register shall list items of

equipment and materials for which submittals are required by the specifications. The Design-Build Contractor is not relieved from supplying submittals required by the contract documents but which have been omitted from the submittal register.

- B. The submittal register will serve as a scheduling document for submittals and will be used to control submittal actions throughout the contract period.
- C. The VA shall provide an electronic collaboration tool, Submittal Exchange, for use by the Design-Build Contractor and VA to coordinate design documents, submittals, RFIs, etc. The Design-Build Contractor shall develop the submittal register in Submittal Exchange. Oracle provides regular training for Submittal Exchange users and this resource shall be available to the Design-Build Contractor, if necessary. The Design-Build Contractor shall track all submittals in Submittal Exchange, including completion of all data columns and dates on which submittals are received and returned by the VA.
- D. The Contractor shall update Submittal Exchange as submittal actions occur until final acceptance of all work by Contracting Officer.

1.4 SUBMITTAL SCHEDULING

- A. Submittals are to be scheduled, submitted, reviewed, and approved prior to the acquisition of the material or equipment.
- B. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow time for potential resubmittal.
- C. No delay costs or time extensions will be allowed for time lost in late submittals or resubmittals.
- D. All submittals are required to be approved prior to the start of the specified work activity.

1.5 SUBMITTAL PREPARATION

- A. Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.
- B. Collect required data for each specific material, product, unit of work, or system into a single submittal. Prominently mark choices, options, and portions applicable to the submittal. Partial submittals will not be accepted for expedition of construction effort. Submittal will be returned without review if incomplete.

- C. If available product data is incomplete, provide Contractor-prepared documentation to supplement product data and satisfy submittal requirements.
- D. All irrelevant or unnecessary data shall be removed from the submittal to facilitate accuracy and timely processing. Submittals that contain the excessive amount of irrelevant or unnecessary data will be returned with review.
- E. Provide a transmittal form for each submittal with the following information:
 - 1. Project title, location and number.
 - 2. Construction contract number.
 - 3. Date of the drawings and revisions.
 - 4. Name, address, and telephone number of subcontractor, supplier, manufacturer, and any other subcontractor associated with the submittal.
 - 5. List paragraph number of the specification section and sheet number of the contract drawings by which the submittal is required.
 - 6. When a resubmission, add alphabetic suffix on submittal description. For example, submittal 18 would become 18A, to indicate resubmission.
 - 7. Product identification and location in project.
- F. The Contractor is responsible for reviewing and certifying that all submittals are in compliance with contract requirements before submitting for VA review. Proposed deviations from the contract requirements are to be clearly identified. All deviations submitted must include a side by side comparison of item being proposed against item specified. Failure to point out deviations will result in the VA requiring removal and replacement of such work at the Contractor's expense.
- G. Stamp, sign, and date each submittal transmittal form indicating action taken.
- H. Stamp used by the Contractor on the submittal transmittal form to certify that the submittal meets contract requirements is to be similar to the following:

CONTRACTOR
(Firm Name)
_____ Approved
_____ Approved with corrections as noted on submittal data and/or attached sheets(s)
SIGNATURE: _____
TITLE: _____
DATE: _____

1.6 SUBMITTAL FORMAT AND TRANSMISSION

- A. Provide submittals in electronic format, with the exception of material samples. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer.
- B. Compile the electronic submittal file as a single, complete document. Name the electronic submittal file specifically according to its contents.
- C. Electronic files must be of sufficient quality that all information is legible. Generate PDF files from original documents so that the text included in the PDF file is both searchable and can be copied. If documents are scanned, Optical Character Resolution (OCR) routines are required.

- D. E-mail electronic submittal documents smaller than 5MB in size to e-mail addresses as directed by the Contracting Officer.
- E. Provide electronic documents over 5MB through an electronic FTP file sharing system. Confirm that the electronic FTP file sharing system can be accessed from the VA computer network. The Contractor is responsible for setting up, providing, and maintaining the electronic FTP file sharing system for the construction contract period of performance.
- F. Provide hard copies of submittals when requested by the Contracting Officer. Up to 3 additional hard copies of any submittal may be requested at the discretion of the Contracting Officer, at no additional cost to the VA.

1.7 SAMPLES

- A. Submit two sets of physical samples showing range of variation, for each required item.
- B. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified.
- C. When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.
- D. Before submitting samples, the Contractor is to ensure that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.
- E. The VA reserves the right to disapprove any material or equipment which previously has proven unsatisfactory in service.
- F. Physical samples supplied maybe requested back for use in the project after reviewed and approved.

1.8 OPERATION AND MAINTENANCE DATA

- A. Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.
- B. In the event the Contractor fails to deliver O&M Data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the item with which such O&M Data are applicable.

1.9 TEST REPORTS

Contract Officer Representative may require specific test after work has been installed or completed which could require contractor to repair test area at no additional cost to contract.

1.10 VA REVIEW OF SUBMITTALS AND RFIS

- A. The VA will review all submittals for compliance with the technical requirements of the contract documents. The Architect-Engineer for this project will assist the VA in reviewing all submittals and determining contractual compliance. Review will be only for conformance with the applicable codes, standards and contract requirements.
- B. Period of review for submittals begins when the VA COR receives submittal from the Contractor.
- C. Period of review for each resubmittal is the same as for initial submittal.
- D. VA review period is 15 working days for submittals.
- E. VA review period is 10 working days for RFIs.
- F. The VA will return submittals to the Contractor with the following notations:
 - 1. "Approved": authorizes the Contractor to proceed with the work covered.
 - 2. "Approved as noted": authorizes the Contractor to proceed with the work covered provided the Contractor incorporates the noted comments and makes the noted corrections.
 - 3. "Disapproved, revise and resubmit": indicates noncompliance with the contract requirements or that submittal is incomplete. Resubmit with appropriate changes and corrections. No work shall proceed for this item until resubmittal is approved.
 - 4. "Not reviewed": indicates submittal does not have evidence of being reviewed and approved by Contractor or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals after taking appropriate action.

1.11 APPROVED SUBMITTALS

- A. The VA approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

- B. VA approval of a submittal does not relieve the Contractor of the responsibility for any error which may exist. The Contractor is responsible for fully complying with all contract requirements and the satisfactory construction of all work, including the need to check, confirm, and coordinate the work of all subcontractors for the project. Non-compliant material incorporated in the work will be removed and replaced at the Contractor's expense.
- C. After submittals have been approved, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.
- D. Retain a copy of all approved submittals at project site, including approved samples.

1.12 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

- - - E N D - - -

**SECTION 01 35 26
SAFETY REQUIREMENTS**

TABLE OF CONTENTS

1.1	APPLICABLE PUBLICATIONS	2
1.2	DEFINITIONS	3
1.3	REGULATORY REQUIREMENTS	5
1.4	ACCIDENT PREVENTION PLAN (APP)	5
1.5	ACTIVITY HAZARD ANALYSES (AHAs)	5
1.6	PRECONSTRUCTION CONFERENCE	5
1.7	"SITE SAFETY AND HEALTH OFFICER" (SSHO) and "COMPETENT PERSON" (CP) 5	
1.8	TRAINING	6
1.9	INSPECTIONS	7
1.10	ACCIDENTS, OSHA 300 LOGS, AND MAN-HOURS	8
1.11	PERSONAL PROTECTIVE EQUIPMENT (PPE)	9
1.12	INFECTION CONTROL	9
1.14	FIRE SAFETY	16
1.15	ELECTRICAL	18
1.16	FALL PROTECTION	20
1.17	SCAFFOLDS AND OTHER WORK PLATFORMS	21
1.18	EXCAVATION AND TRENCHES	21
1.19	CRANES	24
1.20	CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)	25
1.21	CONFINED SPACE ENTRY	25
1.22	WELDING AND CUTTING	25
1.23	LADDERS	25
1.24	FLOOR & WALL OPENINGS	26

**SECTION 01 35 26
SAFETY REQUIREMENTS**

1.1 APPLICABLE PUBLICATIONS:

A. Latest publications listed below form part of this Article to extent referenced. Publications are referenced in text by basic designations only.

B. American Society of Safety Engineers (ASSE):

A10.1-2011.....Pre-Project & Pre-Task Safety and Health
Planning

A10.34-2012.....Protection of the Public on or Adjacent to
Construction Sites

A10.38-2013.....Basic Elements of an Employer's Program to
Provide a Safe and Healthful Work Environment
American National Standard Construction and
Demolition Operations

C. American Society for Testing and Materials (ASTM):

E84-2013.....Surface Burning Characteristics of Building
Materials

D. The Facilities Guidelines Institute (FGI):

FGI Guidelines-2010Guidelines for Design and Construction of
Healthcare Facilities

E. National Fire Protection Association (NFPA):

10-2018.....Standard for Portable Fire Extinguishers

30-2018.....Flammable and Combustible Liquids Code

51B-2019.....Standard for Fire Prevention During Welding,
Cutting and Other Hot Work

70-2020.....National Electrical Code

70B-2019.....Recommended Practice for Electrical Equipment
Maintenance

70E-2018Standard for Electrical Safety in the Workplace

99-2018.....Health Care Facilities Code

241-2019.....Standard for Safeguarding Construction,
Alteration, and Demolition Operations

F. The Joint Commission (TJC)

TJC ManualComprehensive Accreditation and Certification
Manual

G. U.S. Nuclear Regulatory Commission

10 CFR 20Standards for Protection Against Radiation

H. U.S. Occupational Safety and Health Administration (OSHA):

29 CFR 1910Safety and Health Regulations for General
Industry

29 CFR 1926Safety and Health Regulations for Construction
Industry

I. VHA Directive 2005-007

1.2 DEFINITIONS:

A. Critical Lift. A lift with the hoisted load exceeding 75% of the crane's maximum capacity; lifts made out of the view of the operator (blind picks); lifts involving two or more cranes; personnel being hoisted; and special hazards such as lifts over occupied facilities, loads lifted close to power-lines, and lifts in high winds or where other adverse environmental conditions exist; and any lift which the crane operator believes is critical.

B. OSHA "Competent Person" (CP). One who is capable of identifying existing and predictable hazards in the surroundings and working conditions which are unsanitary, hazardous or dangerous to employees, and who has the authorization to take prompt corrective measures to eliminate them (see 29 CFR 1926.32(f)).

C. "Qualified Person" means one who, by possession of a recognized degree, certificate, or professional standing, or who by extensive knowledge, training and experience, has successfully demonstrated his ability to solve or resolve problems relating to the subject matter, the work, or the project.

- D. High Visibility Accident. Any mishap which may generate publicity or high visibility.
- E. Accident/Incident Criticality Categories:
1. No impact - near miss incidents that should be investigated but are not required to be reported to the VA;
 2. Minor incident/impact - incidents that require first aid or result in minor equipment damage (less than \$5000). These incidents must be investigated but are not required to be reported to the VA;
 3. Moderate incident/impact - Any work-related injury or illness that results in:
 - a. Days away from work (any time lost after day of injury/illness onset);
 - b. Restricted work;
 - c. Transfer to another job;
 - d. Medical treatment beyond first aid;
 - e. Loss of consciousness;
 4. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (1) through (5) above or,
 5. Any incident that leads to major equipment damage (greater than \$5000).
- F. These incidents must be investigated and are required to be reported to the VA;
- 1 Major incident/impact - Any mishap that leads to fatalities, hospitalizations, amputations, and losses of an eye as a result of contractors' activities. Or any incident which leads to major property damage (greater than \$20,000) and/or may generate publicity or high visibility. These incidents must be investigated and are required to be reported to the VA as soon as practical, but not later than 2 hours after the incident.

- G. Medical Treatment. Treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.

1.3 REGULATORY REQUIREMENTS:

- A. In addition to the detailed requirements included in the provisions of this contract, comply with 29 CFR 1926, comply with 29 CFR 1910 as incorporated by reference within 29 CFR 1926, comply with ASSE A10.34, and all applicable federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern except with specific approval and acceptance by the Contracting Officer Representative

1.4 ACCIDENT PREVENTION PLAN (APP): NOT USED

1.5 ACTIVITY HAZARD ANALYSES (AHAS): NOT USED

1.6 PRECONSTRUCTION CONFERENCE:

- A. Contractor representatives who have a responsibility or significant role in implementation of the accident prevention program, as required by 29 CFR 1926.20(b)(1), on the project shall attend the preconstruction conference to gain a mutual understanding of its implementation. This includes the project superintendent, subcontractor superintendents, and any other assigned safety and health professionals.

1.7 "SITE SAFETY AND HEALTH OFFICER" (SSHO) AND "COMPETENT PERSON" (CP):

- A. The Prime Contractor shall designate a minimum of one SSHO at each project site that will be identified as the SSHO to administer the Contractor's safety program and government-accepted Accident Prevention Plan. Each subcontractor shall designate a minimum of one CP in compliance with 29 CFR 1926.20 (b)(2) that will be identified as a CP to administer their individual safety programs.
- B. Further, all specialized Competent Persons for the work crews will be supplied by the respective contractor as required by 29 CFR 1926 (i.e. Asbestos, Electrical, Cranes, & Derricks, Demolition, Fall Protection,

Fire Safety/Life Safety, Ladder, Rigging, Scaffolds, and Trenches/Excavations).

- C. These Competent Persons can have collateral duties as the subcontractor's superintendent and/or work crew lead persons as well as fill more than one specialized CP role (i.e. Asbestos, Electrical, Cranes, & Derricks, Demolition, Fall Protection, Fire Safety/Life Safety, Ladder, Rigging, Scaffolds, and Trenches/Excavations).
- D. The SSHO or an equally-qualified Designated Representative/alternate will maintain a presence on the site during construction operations in accordance with FAR Clause 52.236-6: *Superintendence by the Contractor*. CPs will maintain presence during their construction activities in accordance with above mentioned clause. A listing of the designated SSHO and all known CPs shall be submitted prior to the start of work as part of the APP with the training documentation and/or AHA as listed in Section 1.8 below.
- E. The repeated presence of uncontrolled hazards during a contractor's work operations will result in the designated CP as being deemed incompetent and result in the required removal of the employee in accordance with FAR Clause 52.236-5: Material and Workmanship, Paragraph (c).

1.8 TRAINING:

- A. The designated Prime Contractor SSHO must meet the requirements of all applicable OSHA standards and be capable (through training, experience, and qualifications) of ensuring that the requirements of 29 CFR 1926.16 and other appropriate Federal, State and local requirements are met for the project. As a minimum the SSHO must have completed the OSHA 30-hour Construction Safety class and have five (5) years of construction industry safety experience or three (3) years if he/she possesses a Certified Safety Professional (CSP) or certified Construction Safety and Health Technician (CSHT) certification or have a safety and health degree from an accredited university or college.
- B. All designated CPs shall have completed the OSHA 30-hour Construction Safety course within the past 5 years.

- C. In addition to the OSHA 30 Hour Construction Safety Course, all CPs with high hazard work operations such as operations involving asbestos, electrical, cranes, demolition, work at heights/fall protection, fire safety/life safety, ladder, rigging, scaffolds, and trenches/excavations shall have a specialized formal course in the hazard recognition & control associated with those high hazard work operations. Documented "repeat" deficiencies in the execution of safety requirements will require retaking the requisite formal course.
- D. All other construction workers shall have the OSHA 10-hour Construction Safety Outreach course and any necessary safety training to be able to identify hazards within their work environment.
- E. Submit training records associated with the above training requirements to the Contracting Officer Representative for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES 15 calendar days prior to the date of the preconstruction conference for acceptance.
- F. Prior to any worker for the contractor or subcontractors beginning work, they shall undergo a safety briefing provided by the SSHO or his/her designated representative. As a minimum, this briefing shall include information on the site-specific hazards, construction limits, VAMC safety guidelines, means of egress, break areas, work hours, locations of restrooms, use of VAMC equipment, emergency procedures, accident reporting etc... Documentation shall be provided to the Resident Engineer that individuals have undergone contractor's safety briefing.
- G. Ongoing safety training will be accomplished in the form of weekly documented safety meeting.

1.9 INSPECTIONS:

- A. The SSHO shall conduct frequent and regular safety inspections (daily) of the site and each of the subcontractors CPs shall conduct frequent and regular safety inspections (daily) of the their work operations as required by 29 CFR 1926.20(b)(2). Each week, the SSHO shall conduct a formal documented inspection of the entire construction areas with the subcontractors' "Trade Safety and Health CPs" present in their work areas. Coordinate with, and report findings and corrective actions weekly to the Contracting Officer Representative .

1.10 ACCIDENTS, OSHA 300 LOGS, AND MAN-HOURS:

- A. The prime contractor shall establish and maintain an accident reporting, recordkeeping, and analysis system to track and analyze all injuries and illnesses, high visibility incidents, and accidental property damage (both government and contractor) that occur on site. Notify the Contracting Officer Representative as soon as practical, but no more than four hours after any accident meeting the definition of a Moderate or Major incidents, High Visibility Incidents, , or any weight handling and hoisting equipment accident. Within notification include contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (to include type of construction equipment used, PPE used, etc.). Preserve the conditions and evidence on the accident site until the Contracting Officer Representative determine whether a government investigation will be conducted.
- B. Conduct an accident investigation for all Minor, Moderate and Major incidents as defined in paragraph DEFINITIONS, and property damage accidents resulting in at least \$20,000 in damages, to establish the root cause(s) of the accident. Complete the VA Form 2162 (or equivalent) , and provide the report to the Contracting Officer Representative within 5 calendar days of the accident. The Contracting Officer Representative will provide copies of any required or special forms.
- C. A summation of all man-hours worked by the contractor and associated sub-contractors for each month will be reported to the Contracting Officer Representative monthly.
- D. A summation of all Minor, Moderate, and Major incidents experienced on site by the contractor and associated sub-contractors for each month will be provided to the Contracting Officer Representative monthly. The contractor and associated sub-contractors' OSHA 300 logs will be made available to the Contracting Officer Representative as requested.

1.11 PERSONAL PROTECTIVE EQUIPMENT (PPE):

- A. PPE is governed in all areas by the nature of the work the employee is performing. For example, specific PPE required for performing work on electrical equipment is identified in NFPA 70E, Standard for Electrical Safety in the Workplace.
- B. Mandatory PPE includes:
 - 1. Hard Hats - unless written authorization is given by the Contracting Officer Representative in circumstances of work operations that have limited potential for falling object hazards such as during finishing work or minor remodeling. With authorization to relax the requirement of hard hats, if a worker becomes exposed to an overhead falling object hazard, then hard hats would be required in accordance with the OSHA regulations.
 - 2. Safety glasses - unless written authorization is given by the Contracting Officer Representative in circumstances of no eye hazards, appropriate safety glasses meeting the ANSI Z.87.1 standard must be worn by each person on site.
 - 3. Appropriate Safety Shoes - based on the hazards present, safety shoes meeting the requirements of ASTM F2413-11 shall be worn by each person on site unless written authorization is given by the Contracting Officer Representative in circumstances of no foot hazards.
 - 4. Hearing protection - Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks.

1.12 INFECTION CONTROL

- A. Infection Control is critical in all medical center facilities. Interior construction activities causing disturbance of existing dust, or creating new dust, must be conducted within ventilation-controlled areas that minimize the flow of airborne particles into patient areas. Exterior construction activities causing disturbance of soil or creates dust in some other manner must be controlled.

B. An AHA associated with infection control will be performed by VA personnel in accordance with FGI Guidelines (i.e. Infection Control Risk Assessment (ICRA)). The ICRA procedure found on the American Society for Healthcare Engineering (ASHE) website will be utilized. Risk classifications of Class II or lower will require approval by the or Contracting Officer Representative before beginning any construction work. Risk classifications of Class III or higher will require a permit before beginning any construction work. Infection Control permits will be issued by the Contracting Officer Representative. The Infection Control Permits will be posted outside the appropriate construction area. More than one permit may be issued for a construction project if the work is located in separate areas requiring separate classes. The primary project scope area for this project is: **Class [I]**, however, work outside the primary project scope area may vary. The required infection control precautions with each class are as follows:

1. Class I requirements:

a. During Construction Work:

- 1) Notify the or Contracting Officer Representative
- 2) Execute work by methods to minimize raising dust from construction operations.
- 3) Ceiling tiles: Immediately replace a ceiling tiles displaced for visual inspection.

b. Upon Completion:

- 1) Clean work area upon completion of task
- 2) Notify the Contracting Officer Representative

2. Class II requirements:

a. During Construction Work:

- 1) Notify the Contracting Officer Representative

- 2) Provide active means to prevent airborne dust from dispersing into atmosphere such as wet methods or tool mounted dust collectors where possible.
- 3) Water mist work surfaces to control dust while cutting.
- 4) Seal unused doors with duct tape.
- 5) Block off and seal air vents.
- 6) Remove or isolate HVAC system in areas where work is being performed.

b. Upon Completion:

- 1) Wipe work surfaces with cleaner/disinfectant.
- 2) Contain construction waste before transport in tightly covered containers.
- 3) Wet mop and/or vacuum with HEPA filtered vacuum before leaving work area.
- 4) Upon completion, restore HVAC system where work was performed
- 5) Notify the Contracting Officer Representative

3. Class III requirements:

a. During Construction Work:

- 1) Obtain permit from the Contracting Officer Representative
- 2) Remove or Isolate HVAC system in area where work is being done to prevent contamination of duct system.
- 3) Complete all critical barriers i.e. sheetrock, plywood, plastic, to seal area from non-work area or implement control cube method (cart with plastic covering and sealed connection to work site with HEPA vacuum for vacuuming prior to exit) before construction begins. Install construction barriers and ceiling protection carefully, outside of normal work hours.
- 4) Maintain negative air pressure, 0.01 inches of water gauge, within work site utilizing HEPA equipped air filtration units and continuously monitored with a digital display, recording

and alarm instrument, which must be calibrated on installation, maintained with periodic calibration and monitored by the contractor.

- 5) Contain construction waste before transport in tightly covered containers.
- 6) Cover transport receptacles or carts. Tape covering unless solid lid.

b. Upon Completion:

- 1) Do not remove barriers from work area until completed project is inspected by the Contracting Officer Representative and thoroughly cleaned by the VA Environmental Services Department.
- 2) Remove construction barriers and ceiling protection carefully to minimize spreading of dirt and debris associated with construction, outside of normal work hours.
- 3) Vacuum work area with HEPA filtered vacuums.
- 4) Wet mop area with cleaner/disinfectant.
- 5) Upon completion, restore HVAC system where work was performed.
- 6) Return permit to the Contracting Officer Representative

4. Class IV requirements:

a. During Construction Work:

- 1) Obtain permit from the Contracting Officer Representative
- 2) Isolate HVAC system in area where work is being done to prevent contamination of duct system.
- 3) Complete all critical barriers i.e. sheetrock, plywood, plastic, to seal area from non work area or implement control cube method (cart with plastic covering and sealed connection to work site with HEPA vacuum for vacuuming prior to exit) before construction begins. Install construction barriers and ceiling protection carefully, outside of normal work hours.

- 4) Maintain negative air pressure, 0.01 inches of water gauge, within work site utilizing HEPA equipped air filtration units and continuously monitored with a digital display, recording and alarm instrument, which must be calibrated on installation, maintained with periodic calibration and monitored by the contractor.
- 5) Seal holes, pipes, conduits, and punctures.
- 6) Construct anteroom and require all personnel to pass through this room so they can be vacuumed using a HEPA vacuum cleaner before leaving work site or they can wear cloth or paper coveralls that are removed each time they leave work site.
- 7) All personnel entering work site are required to wear shoe covers. Shoe covers must be changed each time the worker exits the work area.

b. Upon Completion:

- 1) Do not remove barriers from work area until completed project is inspected by the Contracting Officer Representative with thorough cleaning by the VA Environmental Services Dept.
- 2) Remove construction barriers and ceiling protection carefully to minimize spreading of dirt and debris associated with construction, outside of normal work hours.
- 3) Contain construction waste before transport in tightly covered containers.
- 4) Cover transport receptacles or carts. Tape covering unless solid lid.
- 5) Vacuum work area with HEPA filtered vacuums.
- 6) Wet mop area with cleaner/disinfectant.
- 7) Upon completion, restore HVAC system where work was performed.
- 8) Return permit to the Contracting Officer Representative

C. Barriers shall be erected as required based upon classification (Class III & IV requires barriers) and shall be constructed as follows:

1. Class III and IV - closed door with masking tape applied over the frame and door is acceptable for projects that can be contained in a single room.
 2. Construction, demolition or reconstruction not capable of containment within a single room must have the following barriers erected and made presentable on hospital occupied side:
 - a. Class III & IV (where dust control is the only hazard, and an agreement is reached with the Resident Engineer and Medical Center) - Airtight plastic barrier that extends from the floor to ceiling. Seams must be sealed with duct tape to prevent dust and debris from escaping
 - b. Class III & IV - Drywall barrier erected with joints covered or sealed to prevent dust and debris from escaping.
 - c. Class III & IV - Seal all penetrations in existing barrier airtight
 - d. Class III & IV - Barriers at penetration of ceiling envelopes, chases and ceiling spaces to stop movement air and debris
 - e. Class IV only - Anteroom or double entrance openings that allow workers to remove protective clothing or vacuum off existing clothing
 - f. Class III & IV - At elevators shafts or stairways within the field of construction, overlapping flap minimum of two feet wide of polyethylene enclosures for personnel access.
- D. Products and Materials:
1. Barrier Doors: Two-hour fire-rated solid core wood in steel frame, painted
 2. Disinfectant: Hospital-approved disinfectant or equivalent product
- E. Before any construction on site begins, all contractor personnel involved in the construction or renovation activity shall be educated and trained in infection prevention measures established by the medical center.

- F. A dust control program will be establish and maintained as part of the contractor's infection preventive measures in accordance with the FGI Guidelines for Design and Construction of Healthcare Facilities. Prior to start of work, prepare a plan detailing project-specific dust protection measures with associated product data, including periodic status reports, and submit to Contracting Officer Representative for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES.
- G. Medical center Infection Control personnel will monitor for airborne disease (e.g. aspergillosis) during construction. A baseline of conditions will be established by the medical center prior to the start of work and periodically during the construction stage to determine impact of construction activities on indoor air quality with safe thresholds established.
- H. In general, the following preventive measures shall be adopted during construction to keep down dust and prevent mold.
1. Contractor shall verify that construction exhaust to exterior is not reintroduced to the medical center through intake vents, or building openings. HEPA filtration is required where the exhaust dust may reenter the medical center.
 2. Exhaust hoses shall be exhausted so that dust is not reintroduced to the medical center.
 3. Adhesive Walk-off/Carpet Walk-off Mats shall be used at all interior transitions from the construction area to occupied medical center area. These mats shall be changed as often as required to maintain clean work areas directly outside construction area at all times.
 4. There shall be no standing water during construction. This includes water in equipment drip pans and open containers within the construction areas. All accidental spills must be cleaned up and dried within 12 hours. Remove and dispose of porous materials that remain damp for more than 72 hours.
 5. At completion, remove construction barriers and ceiling protection carefully, outside of normal work hours. Vacuum and clean all surfaces free of dust after the removal.

I. Final Cleanup:

1. Upon completion of project, or as work progresses, remove all construction debris from above ceiling, vertical shafts and utility chases that have been part of the construction.
2. Perform HEPA vacuum cleaning of all surfaces in the construction area. This includes walls, ceilings, cabinets, furniture (built-in or free standing), partitions, flooring, etc.
3. All new air ducts shall be cleaned prior to final inspection.

J. Exterior Construction

1. Contractor shall verify that dust will not be introduced into the medical center through intake vents, or building openings. HEPA filtration on intake vents is required where dust may be introduced.
2. Dust created from disturbance of soil such as from vehicle movement will be wetted with use of a water truck as necessary
3. All cutting, drilling, grinding, sanding, or disturbance of materials shall be accomplished with tools equipped with either local exhaust ventilation (i.e. vacuum systems) or wet suppression controls.

1.14 FIRE SAFETY

- A. Fire Safety Plan: Establish and maintain a site-specific fire protection program in accordance with 29 CFR 1926. Prior to start of work, prepare a plan detailing project-specific fire safety measures, including periodic status reports, and submit to Contracting Officer Representative for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES. This plan may be an element of the Accident Prevention Plan.
- B. Site and Building Access: Maintain free and unobstructed access to facility emergency services and for fire, police and other emergency response forces in accordance with NFPA 241.
- C. Separate temporary facilities, such as trailers, storage sheds, and dumpsters, from existing buildings and new construction by distances in

accordance with NFPA 241. For small facilities with less than 6 m (20 feet) exposing overall length, separate by 3m (10 feet).

D. Temporary Construction Partitions:

1. Install and maintain temporary construction partitions to provide smoke-tight separations between construction areas and adjoining areas. Construct partitions of gypsum board or treated plywood (flame spread rating of 25 or less in accordance with ASTM E84) on both sides of fire retardant treated wood or metal steel studs. Extend the partitions through suspended ceilings to floor slab deck or roof. Seal joints and penetrations. At door openings, install Class C, $\frac{3}{4}$ hour fire/smoke rated doors with self-closing devices.
2. Install two-hour fire-rated temporary construction partitions as shown on drawings to maintain integrity of existing exit stair enclosures, exit passageways, fire-rated enclosures of hazardous areas, horizontal exits, smoke barriers, vertical shafts and openings enclosures.
3. Close openings in smoke barriers and fire-rated construction to maintain fire ratings. Seal penetrations with listed through-penetration firestop materials in accordance with Section 07 84 00, FIRESTOPPING.

E. Temporary Heating and Electrical: Install, use and maintain installations in accordance with 29 CFR 1926, NFPA 241 and NFPA 70.

F. Means of Egress: Do not block exiting for occupied buildings, including paths from exits to roads. Minimize disruptions and coordinate with the Contracting Officer Representative

G. Egress Routes for Construction Workers: Maintain free and unobstructed egress. Inspect daily. Report findings and corrective actions weekly to the Contracting Officer Representative.

H. Fire Extinguishers: Provide and maintain extinguishers in construction areas and temporary storage areas in accordance with 29 CFR 1926, NFPA 241 and NFPA 10.

I. Flammable and Combustible Liquids: Store, dispense and use liquids in accordance with 29 CFR 1926, NFPA 241 and NFPA 30.

- J. Standpipes: Install and extend standpipes up with each floor in accordance with 29 CFR 1926 and NFPA 241. Do not charge wet standpipes subject to freezing until weather protected.
- K. Sprinklers: Install, test and activate new automatic sprinklers prior to removing existing sprinklers.
- L. Existing Fire Protection: Do not impair automatic sprinklers, smoke and heat detection, and fire alarm systems, except for portions immediately under construction, and temporarily for connections. Provide fire watch for impairments more than 4 hours in a 24-hour period. Request interruptions in accordance with Article, OPERATIONS AND STORAGE AREAS, and coordinate with the Contracting Officer Representative. All existing or temporary fire protection systems (fire alarms, sprinklers) located in construction areas shall be tested as coordinated with the medical center. Parameters for the testing and results of any tests performed shall be recorded by the medical center and copies provided to the Resident Engineer.
- M. Smoke Detectors: Prevent accidental operation. Remove temporary covers at end of work operations each day. Coordinate with the Contracting Officer Representative
- N. Hot Work: Perform and safeguard hot work operations in accordance with NFPA 241 and NFPA 51B. Coordinate with Contracting Officer Representative. Obtain permits from Contracting Officer Representative at least 72 hours in advance. Designate contractor's responsible project-site fire prevention program manager to permit hot work.
- O. Fire Hazard Prevention and Safety Inspections: Inspect entire construction areas weekly. Coordinate with, and report findings and corrective actions weekly to Contracting Officer Representative.
- P. Smoking: Ft Meade VAMC is a tobacco free campus.
- Q. Dispose of waste and debris in accordance with NFPA 241. Remove from buildings daily.

1.15 ELECTRICAL

- A. All electrical work shall comply with NFPA 70 (NEC), NFPA 70B, NFPA 70E, 29 CFR Part 1910 Subpart J - General Environmental Controls, 29

CFR Part 1910 Subpart S - Electrical, and 29 CFR 1926 Subpart K in addition to other references required by contract.

- B. All qualified persons performing electrical work under this contract shall be licensed journeyman or master electricians. All apprentice electricians performing under this contract shall be deemed unqualified persons unless they are working under the immediate supervision of a licensed electrician or master electrician.
- C. All electrical work will be accomplished de-energized and in the Electrically Safe Work Condition (refer to NFPA 70E for Work Involving Electrical Hazards, including Exemptions to Work Permit). Any Contractor, subcontractor or temporary worker who fails to fully comply with this requirement is subject to immediate termination in accordance with FAR clause 52.236-5(c). Only in rare circumstance where achieving an electrically safe work condition prior to beginning work would increase or cause additional hazards, or is infeasible due to equipment design or operational limitations is energized work permitted. The Contracting Officer Representative with approval of the Medical Center Director will make the determination if the circumstances would meet the exception outlined above. An AHA and permit specific to energized work activities will be developed, reviewed, and accepted by the VA prior to the start of that activity.
1. Development of a Hazardous Electrical Energy Control Procedure is required prior to de-energization. A single Simple Lockout/Tagout Procedure for multiple work operations can only be used for work involving qualified person(s) de-energizing one set of conductors or circuit part source. Task specific Complex Lockout/Tagout Procedures are required at all other times.
 2. Verification of the absence of voltage after de-energization and lockout/tagout is considered "energized electrical work" (live work) under NFPA 70E, and shall only be performed by qualified persons wearing appropriate shock protective (voltage rated) gloves and arc rate personal protective clothing and equipment, using Underwriters Laboratories (UL) tested and appropriately rated contact electrical testing instruments or equipment appropriate for the environment in which they will be used.

3. Personal Protective Equipment (PPE) and electrical testing instruments will be readily available for inspection by the Contracting Officer Representative.
- D. Before beginning any electrical work, an Activity Hazard Analysis (AHA) will be conducted to include Shock Hazard and Arc Flash Hazard analyses (NFPA Tables can be used only as a last alternative and it is strongly suggested a full Arc Flash Hazard Analyses be conducted). Work shall not begin until the AHA for the work activity and permit for energized work has been reviewed and accepted by the Contracting Officer Representative and discussed with all engaged in the activity, including the Contractor, subcontractor(s), and Government on-site representatives at preparatory and initial control phase meetings.
- E. Ground-fault circuit interrupters. GFCI protection shall be provided where an employee is operating or using cord- and plug-connected tools related to construction activity supplied by 125-volt, 15-, 20-, or 30-ampere circuits. Where employees operate or use equipment supplied by greater than 125-volt, 15-, 20-, or 30- ampere circuits, GFCI protection or an assured equipment grounding conductor program shall be implemented in accordance with NFPA 70E - 2015, Chapter 1, Article 110.4(C) (2)..

1.16 FALL PROTECTION

- A. The fall protection (FP) threshold height requirement is 6 ft (1.8 m) for ALL WORK, unless specified differently or the OSHA 29 CFR 1926 requirements are more stringent, to include steel erection activities, systems-engineered activities (prefabricated) metal buildings, residential (wood) construction and scaffolding work.
 1. The use of a Safety Monitoring System (SMS) as a fall protection method is prohibited.
 2. The use of Controlled Access Zone (CAZ) as a fall protection method is prohibited.
 3. A Warning Line System (WLS) may ONLY be used on floors or flat or low-sloped roofs (between 0 - 18.4 degrees or 4:12 slope) and shall be erected around all sides of the work area (See 29 CFR 1926.502(f) for construction of WLS requirements). Working within the WLS does not require FP. No worker shall be allowed in the area between the

roof or floor edge and the WLS without FP. FP is required when working outside the WLS.

4. Fall protection while using a ladder will be governed by the OSHA requirements.

1.17 SCAFFOLDS AND OTHER WORK PLATFORMS

- A. All scaffolds and other work platforms construction activities shall comply with 29 CFR 1926 Subpart L.
- B. The fall protection (FP) threshold height requirement is 6 ft (1.8 m) as stated in Section 1.16.
- C. The following hierarchy and prohibitions shall be followed in selecting appropriate work platforms.
 1. Scaffolds, platforms, or temporary floors shall be provided for all work except that can be performed safely from the ground or similar footing.
 2. Ladders less than 20 feet may be used as work platforms only when use of small hand tools or handling of light material is involved.
 3. Ladder jacks, lean-to, and prop-scaffolds are prohibited.
 4. Emergency descent devices shall not be used as working platforms.
- D. Contractors shall use a scaffold tagging system in which all scaffolds are tagged by the Competent Person. Tags shall be color-coded: green indicates the scaffold has been inspected and is safe to use; red indicates the scaffold is unsafe to use. Tags shall be readily visible, made of materials that will withstand the environment in which they are used, be legible and shall include:
 1. The Competent Person's name and signature;
 2. Dates of initial and last inspections.
- E. Mast Climbing work platforms: When access ladders, including masts designed as ladders, exceed 20 ft (6 m) in height, positive fall protection shall be used.

1.18 EXCAVATION AND TRENCHES

- A. All excavation and trenching work shall comply with 29 CFR 1926 Subpart P. Excavations less than 5 feet in depth require evaluation by the

contractor's "Competent Person" (CP) for determination of the necessity of an excavation protective system where kneeling, laying in, or stooping within the excavation is required.

- B. All excavations and trenches 24 inches in depth or greater shall require a written trenching and excavation permit (NOTE - some States and other local jurisdictions require separate state/jurisdiction-issued excavation permits). The permit shall have two sections, one section will be completed prior to digging or drilling and the other will be completed prior to personnel entering the excavations greater than 5 feet in depth. Each section of the permit shall be provided to the Contracting Officer Representative prior to proceeding with digging or drilling and prior to proceeding with entering the excavation. After completion of the work and prior to opening a new section of an excavation, the permit shall be closed out and provided to the Contracting Officer Representative. The permit shall be maintained onsite and the first section of the permit shall include the following:
1. Estimated start time & stop time
 2. Specific location and nature of the work.
 3. Indication of the contractor's "Competent Person" (CP) in excavation safety with qualifications and signature. Formal course in excavation safety is required by the contractor's CP.
 4. Indication of whether soil or concrete removal to an offsite location is necessary.
 5. Indication of whether soil samples are required to determine soil contamination.
 6. Indication of coordination with local authority (i.e. "One Call") or contractor's effort to determine utility location with search and survey equipment.
 7. Indication of review of site drawings for proximity of utilities to digging/drilling.
- C. The second section of the permit for excavations greater than five feet in depth shall include the following:

1. Determination of OSHA classification of soil. Soil samples will be from freshly dug soil with samples taken from different soil type layers as necessary and placed at a safe distance from the excavation by the excavating equipment. A pocket penetrometer will be utilized in determination of the unconfined compression strength of the soil for comparison against OSHA table (Less than 0.5 Tons/FT² - Type C, 0.5 Tons/FT² to 1.5 Tons/FT² - Type B, greater than 1.5 Tons/FT² - Type A without condition to reduce to Type B).
 2. Indication of selected protective system (sloping/benching, shoring, shielding). When soil classification is identified as "Type A" or "Solid Rock", only shoring or shielding or Professional Engineer designed systems can be used for protection. A Sloping/Benching system may only be used when classifying the soil as Type B or Type C. Refer to Appendix B of 29 CFR 1926, Subpart P for further information on protective systems designs.
 3. Indication of the spoil pile being stored at least 2 feet from the edge of the excavation and safe access being provided within 25 feet of the workers.
 4. Indication of assessment for a potential toxic, explosive, or oxygen deficient atmosphere where oxygen deficiency (atmospheres containing less than 19.5 percent oxygen) or a hazardous atmosphere exists or could reasonably be expected to exist. Internal combustion engine equipment is not allowed in an excavation without providing force air ventilation to lower the concentration to below OSHA PELs, providing sufficient oxygen levels, and atmospheric testing as necessary to ensure safe levels are maintained.
- D As required by OSHA 29 CFR 1926.651(b)(1), the estimated location of utility installations, such as sewer, telephone, fuel, electric, water lines, or any other underground installations that reasonably may be expected to be encountered during excavation work, shall be determined prior to opening an excavation.
1. The planned dig site will be outlined/marked in white prior to locating the utilities.

2. Used of the American Public Works Association Uniform Color Code is required for the marking of the proposed excavation and located utilities.
 3. 811 will be called two business days before digging on all local or State lands and public Right-of Ways.
 4. Digging will not commence until all known utilities are marked.
 5. Utility markings will be maintained
- E. Excavations will be hand dug or excavated by other similar safe and acceptable means as excavation operations approach within 3 to 5 feet of identified underground utilities. Exploratory bar or other detection equipment will be utilized as necessary to further identify the location of underground utilities.
- F. Excavations greater than 20 feet in depth require a Professional Engineer designed excavation protective system.

1.19 CRANES

- A. All crane work shall comply with 29 CFR 1926 Subpart CC.
- B. Prior to operating a crane, the operator must be licensed, qualified or certified to operate the crane. Thus, all the provisions contained with Subpart CC are effective and there is no "Phase In" date.
- C. A detailed lift plan for all lifts shall be submitted to the Contracting Officer Representative 14 days prior to the scheduled lift complete with route for truck carrying load, crane load analysis, siting of crane and path of swing and all other elements of a critical lift plan where the lift meets the definition of a critical lift. Critical lifts require a more comprehensive lift plan to minimize the potential of crane failure and/or catastrophic loss. The plan must be reviewed and accepted by the General Contractor before being submitted to the VA for review. The lift will not be allowed to proceed without prior acceptance of this document.
- D. Crane operators shall not carry loads
 1. over the general public or VAMC personnel
 2. over any occupied building unless

- a. the top two floors are vacated
- b. or overhead protection with a design live load of 300 psf is provided

1.20 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

- A. All installation, maintenance, and servicing of equipment or machinery shall comply with 29 CFR 1910.147 except for specifically referenced operations in 29 CFR 1926 such as concrete & masonry equipment [1926.702(j)], heavy machinery & equipment [1926.600(a)(3)(i)], and process safety management of highly hazardous chemicals (1926.64). Control of hazardous electrical energy during the installation, maintenance, or servicing of electrical equipment shall comply with Section 1.15 to include NFPA 70E and other VA specific requirements discussed in the section.

1.21 CONFINED SPACE ENTRY

- A. All confined space entry shall comply with 29 CFR 1926, Subpart AA except for specifically referenced operations in 29 CFR 1926 such as excavations/trenches [1926.651(g)].
- B. A site-specific Confined Space Entry Plan (including permitting process) shall be developed and submitted to the Contracting Officer Representative.

1.22 WELDING AND CUTTING

As specified in section 1.14, Hot Work: Perform and safeguard hot work operations in accordance with NFPA 241 and NFPA 51B. Coordinate with Contracting Officer Representative. Obtain permits from Contracting Officer Representative at least 72 hours in advance. Designate contractor's responsible project-site fire prevention program manager to permit hot work.

1.23 LADDERS

- A. All Ladder use shall comply with 29 CFR 1926 Subpart X.
- B. All portable ladders shall be of sufficient length and shall be placed so that workers will not stretch or assume a hazardous position.
- C. Manufacturer safety labels shall be in place on ladders
- D. Step Ladders shall not be used in the closed position

- E. Top steps or cap of step ladders shall not be used as a step
- F. Portable ladders, used as temporary access, shall extend at least 3 ft (0.9 m) above the upper landing surface.
 - 1. When a 3 ft (0.9-m) extension is not possible, a grasping device (such as a grab rail) shall be provided to assist workers in mounting and dismounting the ladder.
 - 2. In no case shall the length of the ladder be such that ladder deflection under a load would, by itself, cause the ladder to slip from its support.
- G. Ladders shall be inspected for visible defects on a daily basis and after any occurrence that could affect their safe use. Broken or damaged ladders shall be immediately tagged "DO NOT USE," or with similar wording, and withdrawn from service until restored to a condition meeting their original design.

1.24 FLOOR & WALL OPENINGS

- A. All floor and wall openings shall comply with 29 CFR 1926 Subpart M.
- B. Floor and roof holes/openings are any that measure over 2 in (51 mm) in any direction of a walking/working surface which persons may trip or fall into or where objects may fall to the level below. Skylights located in floors or roofs are considered floor or roof hole/openings.
- C. All floor, roof openings or hole into which a person can accidentally walk or fall through shall be guarded either by a railing system with toeboards along all exposed sides or a load-bearing cover. When the cover is not in place, the opening or hole shall be protected by a removable guardrail system or shall be attended when the guarding system has been removed, or other fall protection system.
 - 1. Covers shall be capable of supporting, without failure, at least twice the weight of the worker, equipment and material combined.
 - 2. Covers shall be secured when installed, clearly marked with the word "HOLE", "COVER" or "Danger, Roof Opening-Do Not Remove" or color-coded or equivalent methods (e.g., red or orange "X"). Workers must be made aware of the meaning for color coding and equivalent methods.

3. Roofing material, such as roofing membrane, insulation or felts, covering or partly covering openings or holes, shall be immediately cut out. No hole or opening shall be left unattended unless covered.
4. Non-load-bearing skylights shall be guarded by a load-bearing skylight screen, cover, or railing system along all exposed sides.
5. Workers are prohibited from standing/walking on skylights.

- - - E N D - - -

SECTION 01 42 19
REFERENCE STANDARDS

PART 1 - GENERAL

1.1 DESCRIPTION

This section specifies the availability and source of references and standards specified in the project manual under paragraphs APPLICABLE PUBLICATIONS and/or shown on the drawings.

1.2 AVAILABILITY OF SPECIFICATIONS LISTED IN THE GSA INDEX OF FEDERAL SPECIFICATIONS, STANDARDS AND COMMERCIAL ITEM DESCRIPTIONS FPMR PART 101-29 (FAR 52.211-1) (AUG 1998)

- A. The GSA Index of Federal Specifications, Standards and Commercial Item Descriptions, FPMR Part 101-29 and copies of specifications, standards, and commercial item descriptions cited in the solicitation may be obtained for a fee by submitting a request to - GSA Federal Supply Service, Specifications Section, Suite 8100, 470 East L'Enfant Plaza, SW, Washington, DC 20407, Telephone (202) 619-8925, Facsimile (202) 619-8978.
- B. If the General Services Administration, Department of Agriculture, or Department of Veterans Affairs issued this solicitation, a single copy of specifications, standards, and commercial item descriptions cited in this solicitation may be obtained free of charge by submitting a request to the addressee in paragraph (a) of this provision. Additional copies will be issued for a fee.

1.3 AVAILABILITY FOR EXAMINATION OF SPECIFICATIONS NOT LISTED IN THE GSA INDEX OF FEDERAL SPECIFICATIONS, STANDARDS AND COMMERCIAL ITEM DESCRIPTIONS (FAR 52.211-4) (JUN 1988)

The specifications and standards cited in this solicitation can be examined at the following location:

DEPARTMENT OF VETERANS AFFAIRS
Office of Construction & Facilities Management
Facilities Quality Service (00CFM1A)
425 Eye Street N.W, (sixth floor)
Washington, DC 20001
Telephone Numbers: (202) 632-5249 or (202) 632-5178
Between 9:00 AM - 3:00 PM

1.4 AVAILABILITY OF SPECIFICATIONS NOT LISTED IN THE GSA INDEX OF FEDERAL SPECIFICATIONS, STANDARDS AND COMMERCIAL ITEM DESCRIPTIONS (FAR 52.211-3) (JUN 1988)

The specifications cited in this solicitation may be obtained from the associations or organizations listed below.

AA Aluminum Association Inc.
<http://www.aluminum.org>

AABC Associated Air Balance Council
<http://www.aabchg.com>

AAMA American Architectural Manufacturer's Association
<http://www.aamanet.org>

AASHTO American Association of State Highway and Transportation
Officials
<http://www.aashto.org>

AATCC American Association of Textile Chemists and Colorists
<http://www.aatcc.org>

ACGIH American Conference of Governmental Industrial Hygienists
<http://www.acgih.org>

ACI American Concrete Institute
<http://www.aci-int.net>

ACPA American Concrete Pipe Association
<http://www.concrete-pipe.org>

ACPPA American Concrete Pressure Pipe Association
<http://www.acppa.org>

ADC Air Diffusion Council
<http://flexibleduct.org>

AGA American Gas Association
<http://www.aga.org>

AGC Associated General Contractors of America
<http://www.agc.org>

AGMA American Gear Manufacturers Association, Inc.
<http://www.agma.org>

AH American Hort
<https://www.americanhort.org>

AHAM Association of Home Appliance Manufacturers
<http://www.aham.org>

AIA American Institute of Architects
<http://www.aia.org>

AISC American Institute of Steel Construction
<http://www.aisc.org>

AISI American Iron and Steel Institute
<http://www.steel.org>

AITC American Institute of Timber Construction
<https://aitc-glulam.org>

AMCA Air Movement and Control Association, Inc.
<http://www.amca.org>

ANSI American National Standards Institute, Inc.
<http://www.ansi.org>

APA The Engineered Wood Association
<http://www.apawood.org>

ARI Air-Conditioning and Refrigeration Institute
<http://www.ari.org>

ARPM Association for Rubber Product Manufacturers
<https://arpm.com>

ASABE American Society of Agricultural and Biological Engineers
<https://www.asabe.org>

ASCE American Society of Civil Engineers
<http://www.asce.org>

ASHRAE American Society of Heating, Refrigerating, and
Air-Conditioning Engineers
<http://www.ashrae.org>

ASME American Society of Mechanical Engineers
<http://www.asme.org>

ASSE American Society of Sanitary Engineering International
<http://www.asse-plumbing.org>

ASTM American Society for Testing and Materials International
<http://www.astm.org>

AWI Architectural Woodwork Institute
<https://www.awinet.org>

AWS American Welding Society
<https://www.aws.org>

AWWA American Water Works Association
<https://www.awwa.org>

BHMA Builders Hardware Manufacturers Association
<https://www.buildershardware.com>

BIA The Brick Industry Association
<http://www.gobrick.com>

CAGI Compressed Air and Gas Institute
<https://www.cagi.org>

CGA Compressed Gas Association, Inc.
<https://www.cganet.com>

CI The Chlorine Institute, Inc.
<https://www.chlorineinstitute.org>

CISCA Ceilings and Interior Systems Construction Association
<https://www.cisca.org>

CISPI Cast Iron Soil Pipe Institute
<https://www.cispi.org>

CLFMI Chain Link Fence Manufacturers Institute
<https://www.chainlinkinfo.org>

CPA Composite Panel Association
<https://www.compositepanel.org>

CPMB Concrete Plant Manufacturers Bureau
<https://www.cpmb.org>

CRA California Redwood Association
<http://www.calredwood.org>

CRSI Concrete Reinforcing Steel Institute
<https://www.crsi.org>

CTI Cooling Technology Institute
<https://www.cti.org>

DHA Decorative Hardwoods Association
<https://www.decorativehardwood.org>

DHI Door and Hardware Institute
<https://www.dhi.org>

EGSA Electrical Generating Systems Association
<http://www.egsa.org>

EEI Edison Electric Institute
<https://www.eei.org>

EPA United States Environmental Protection Agency
<https://www.epa.gov>

ETL ETL Testing Services
<http://www.intertek.com>

FAA Federal Aviation Administration
<https://www.faa.gov>

FCC Federal Communications Commission
<https://www.fcc.gov>

FPS Forest Products Society
<http://www.forestprod.org>

GANNA Glass Association of North America
<http://www.glasswebsite.com>

FM Factory Mutual Global Insurance
<https://www.fmglobal.com>

GA Gypsum Association
<https://gypsum.org>

GSA General Services Administration
<https://www.gsa.gov>

HI Hydraulic Institute
<http://www.pumps.org>

ICC International Code Council
<https://shop.iccsafe.org>

ICEA Insulated Cable Engineers Association
<https://www.icea.net>

ICAC Institute of Clean Air Companies
<http://www.icac.com>

IEEE Institute of Electrical and Electronics Engineers
<https://www.ieee.org/>

IGMA Insulating Glass Manufacturers Alliance
<https://www.igmaonline.org>

IMSA International Municipal Signal Association
<http://www.imsasafety.org>

MBMA Metal Building Manufacturers Association
<https://www.mbma.com>

MSS Manufacturers Standardization Society of the Valve and Fittings Industry
<http://msshq.org>

NAAMM National Association of Architectural Metal Manufacturers
<https://www.naamm.org>

PHCC Plumbing-Heating-Cooling Contractors Association
<https://www.phccweb.org>

NBS National Bureau of Standards
See - NIST

NBBI The National Board of Boiler and Pressure Vessel Inspectors
<https://www.nationalboard.org>

NEC National Electric Code
See - NFPA National Fire Protection Association

NEMA National Electrical Manufacturers Association
<https://www.nema.org>

NFPA National Fire Protection Association
<https://www.nfpa.org>

NHLA National Hardwood Lumber Association
<https://www.nhla.com>

NIH National Institute of Health
<https://www.nih.gov>

NIST National Institute of Standards and Technology
<https://www.nist.gov>

NELMA Northeastern Lumber Manufacturers Association, Inc.
<http://www.nelma.org>

NPA National Particleboard Association
(See CPA, Composite Panel Association)

NSF National Sanitation Foundation
<http://www.nsf.org>

OSHA Occupational Safety and Health Administration
Department of Labor
<https://www.osha.gov>

PCA Portland Cement Association
<https://www.cement.org>

PCI Precast Prestressed Concrete Institute
<https://www.pci.org>

PPI Plastics Pipe Institute
<https://www.plasticpipe.org>

PEI Porcelain Enamel Institute
<http://www.porcelainenamel.com>

PTI Post-Tensioning Institute
<http://www.post-tensioning.org>

RFCI	Resilient Floor Covering Institute https://www.rfci.com
RIS	Redwood Inspection Service (See Western Wood Products Association) https://www.wwpa.org
SCMA	Southern Cypress Manufacturers Association http://www.cypressinfo.org
SDI	Steel Door Institute http://www.steeldoor.org
SJI	Steel Joist Institute https://www.steeljoist.org
SMACNA	Sheet Metal & Air-Conditioning Contractors' National Association https://www.smacna.org
SSPC	The Society for Protective Coatings https://www.sspc.org
STI	Steel Tank Institute https://www.steeltank.com
SWI	Steel Window Institute https://www.steelwindows.com
TCNA	Tile Council of North America https://www.tcnatile.com
TEMA	Tubular Exchanger Manufacturers Association http://www.tema.org
TPI	Truss Plate Institute https://www.tpinst.org
UBC	The Uniform Building Code (See ICC)
UL	Underwriters' Laboratories Incorporated https://www.ul.com

ULC Underwriters' Laboratories of Canada
<https://www.ulc.ca>

WCLB West Coast Lumber Inspection Bureau
<http://www.wclib.org>

WDMA Window and Door Manufacturers Association
<https://www.wdma.com>

WRCLA Western Red Cedar Lumber Association
<https://www.realcedar.com>

WWPA Western Wood Products Association
<http://www.wwpa.org>

- - - E N D - - -

SECTION 01 45 00
QUALITY CONTROL

PART 1 - GENERAL

1.1 DESCRIPTION

This section specifies requirements for Contractor Quality Control (CQC) for Design-Bid-Build (DBB) or Design-Build (DB) construction projects. This section can be used for both project types.

1.2 APPLICABLE PUBLICATIONS

- A. The publication listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.
- B. ASTM International (ASTM)
 - 1. D3740 - (2012a) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
 - 2. E329 - (2014a) Standard Specification for Agencies Engaged in the Testing and/or Inspection of Materials Used in Construction

1.3 SUBMITTALS

Government approval is required for all submittals. CQC inspection reports shall be submitted under this Specification section and follow the [Applicable CQC Control Phase (Preparatory, Initial, or Follow-Up)]: [Applicable Specification section] naming convention.

- 1. Preconstruction Submittals
 - a. Interim CQC Plan
 - b. CQC Plan
 - c. Additional Requirements for Design Quality Control (DQC) Plan
- 2. Design Data
 - a. Discipline-Specific Checklists
 - b. Design Quality Control
- 3. Test Reports
 - a. Verification Statement

PART 2 PRODUCTS - NOT USED**PART 3 - EXECUTION****3.1 GENERAL REQUIREMENTS**

Establish and maintain an effective quality control (QC) system. that complies with the FAR Clause 52.246.12 titled "Inspection of Construction". QC consists of plans, procedures, and organization necessary to produce an end product which complies with the Contract requirements. The QC system covers all design and construction operations, both onsite and offsite, and be keyed to the proposed design and construction sequence. The project superintendent will be held responsible for the quality of work and is subject to removal by the Contracting Office or Authorized designee for non-compliance with the quality requirements specified in the Contract. In this context the highest level manager responsible for the overall construction activities at the site, including quality and production is the project superintendent. The project superintendent maintains a physical presence at the site at all times and is responsible for all construction and related activities at the site, except as otherwise acceptable to the Contracting Officer.

3.2 CQC PLAN:

- A. Submit the CQC Plan no later than 15 days after receipt of Notice to Proceed (NTP) proposed to implement the requirements of the FAR Clause 52.246.12 titled "Inspection of Construction". The Government will consider an Interim CQC Plan for the first 15 days of operation, which must be accepted within 5 business days of NTP. Design and/or construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an Interim plan applicable to the particular feature of work to be started. Work outside of the accepted Interim CQC Plan will not be permitted to begin until acceptance of a CQC Plan or another Interim CQC Plan containing the additional work scope is accepted.
- B. Content of the CQC Plan: Include, as a minimum, the following to cover all design and construction operations, both onsite and offsite, including work by subcontractors, designers of record consultants, architects/engineers (A/E), fabricators, suppliers, and purchasing agents:

1. A description of the QC organization, including a chart showing lines of authority and acknowledgement that the CQC staff will implement the three phase control system for all aspects of the work specified. Include a CQC System Manager that reports to the project superintendent.
2. The name, qualifications (in resume format) duties, responsibilities, and authorities of each person assigned a CQC function.
3. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will to the Contracting Officer or Authorized designee. be issued by the CQC System Manager. Furnish copies of these letters
4. Procedures for scheduling, reviewing, certifying, and managing submittals including those of subcontractors, designers of record, consultants, A/E's offsite fabricators, suppliers and purchasing agents. These procedures must be in accordance with Section 01 33 23 Shop Drawings, Product Data, and Samples.
5. Control, verification, and acceptance of testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer or Authorized designee are required to be used)
6. Procedures for tracking Preparatory, Initial, and Follow-Up control phases and control, verification, and acceptance tests including documentation.
7. Procedures for tracking design and construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.
8. Reporting procedures, including proposed reporting formats.
9. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks has

separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of specifications can generally be considered as a definable feature of work, there are frequently more than one definable feature under a particular section. This list will be agreed upon during the Coordination meeting.

10. Coordinate schedule work with Special Inspections required by Section 01 45 35 Special Inspections, the Statement of Special Inspections and Schedule of Special Inspections. Where the applicable Code issue by the International Code Council (ICC) calls for inspections by the Building Official, the Contractor must include the inspections in the CQC Plan and must perform the inspections required by the applicable ICC. The Contractor must perform these inspections using independent qualified inspectors. Include the Special Inspection Plan requirements in the CQC Plan.

C. Additional Requirements for Design Quality Control (DQC) Plan: The following additional requirements apply to the DQC Plan for DB projects only and not DBB projects:

1. Submit and maintain a DQC Plan as an effective QC program which assures that all services required by this contract are performed and provided in a manner that meets professional architectural and engineering quality standards. As a minimum, all documents must be technically reviewed by competent, independent reviewers identified in the DQC Plan. The same element that produced the product may not perform the independent technical review (ITR). Correct errors and deficiencies in the design documents prior to submitting them to the Government.
2. Include the design schedule in the master project schedule, showing the sequence of events involved in carrying out the project design tasks within the specific Contract period. This should be at a detailed level of scheduling sufficient to identify all major design tasks, including those that control the flow of work. Include review and correction periods associated with each item. This should be a forward planning as well as a project monitoring tool. The schedule reflects calendar days and not dates for each activity. If the schedule is changed, submit a revised schedule reflecting the change within 7 calendar days. Include in the DQC Plan the discipline-specific checklists to be used during the design and quality control

of each submittal. Submit at each design phase as part of the project documentation these completed discipline-specific checklists.

3. Implement the DQC Plan by a DQC Manager who has the responsibility of being cognizant of and assuring that all documents on the project have been coordinated. This individual must be a person who has verifiable engineering or architectural design experience and is a Professional Engineer or Registered Architect within the state of Construction location. Notify the Contracting Officer or Authorized designee, in writing, of the name of the individual, and the name of an alternate person assigned to the position.
- D. Acceptance of Plan: Acceptance of the Contractor's plan is required prior to the start of design and construction. Acceptance is conditional and will be predicated on satisfactory performance during the design and construction. The Government reserves the right to require the Contractor to make changes in the CQC Plan and operations including removal of personnel as necessary, to obtain the quality specified.
- E. Notification of Changes: After acceptance of the CQC Plan, notify the Contracting Officer or Authorized designee in writing of any proposed change. Proposed changes are subject to acceptance by the Government prior to implementation by the Contractor.

3.3 COORDINATION MEETING:

After the Preconstruction Conference Post-award Conference before start of design or construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer or Authorized designee to discuss the Contractor's quality control system. Submit the CQC Plan a minimum of 5 business days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CC operations, design activities (if applicable), control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the Government, signed by both the Contractor and Contracting Officer or Authorized designee and will become a part of the contract file. There can be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or

address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

3.4 SUBMITTALS AND DELIVERABLES: Submittals have to comply with the requirements in Section 01 33 23 Shop Drawings, Product Data, and Samples. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 01 91 00 General Commissioning Requirements is included in the contract, the submittals required by the section have to be coordinated with the Section 01 33 23 Shop Drawings, Product Data, and Samples to ensure adequate time is allowed for each type of submittal required.

3.5 COMPLETION INSPECTION

- A. **Punch-Out Inspection:** Conduct an inspection of the work by the CQC system Manager near the end of the work, or any increment of the work established by the specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. Make a second inspection the CQC System Manager or staff to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final Inspection.
- B. **Pre-Final Inspection:** The Government will perform the Pre-Final Inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final Acceptance Inspection with the customer can be scheduled. Correct any items noted on the Pre-Final Inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate construction completion dates.
- C. **Final Acceptance Inspection:** The Contractor's QC Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Authorized designee is required to be in attendance at the Final Acceptance Inspection. Additional Government

personnel can also be in attendance. The Final Acceptance Inspection will be formally scheduled by the Contracting Officer's or Authorized designee based upon results of the Pre-Final Inspection. Notify the Contracting Officer through the Resident Engineer office at least 14 days prior to the Final Acceptance Inspection and include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date schedule for the Final Acceptance Inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with FAR Clause 52.246-12 titled "Inspection of Construction".

3.6 DOCUMENTATION

- A. Quality Control Activities: Maintain current records providing factual evidence that required QC activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:
1. The name and area of responsibility of the Contractor/Subcontractor
 2. Operating plant/equipment with hours worked, idle, or down for repair.
 3. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
 4. Test and control activities performed with results and references to specification/drawing requirements. Identify the Control Phase (Preparatory, Initial, and/or Follow-Up). List deficiencies noted, along with corrective action.
 5. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specification/drawing requirements.
 6. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.
 7. Offsite surveillance activities, including actions taken.
 8. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

9. Instructions given/received and conflicts in plans and specifications.
 10. Provide documentation of design quality control activities. For independent design reviews, provide, as a minimum, identification of the Independent Technical Reviewer (ITR) team, the ITR review comments, responses, and the record of resolution of the comments.
- B. Verification Statement: Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy of these records in report form to the Government daily with 1 week after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, prepare and submit on report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the CQC System Manager. Include copies of test reports and copies of reports prepared by all subordinate QC personnel within the CQC System Manager Report.

3.7 **SAMPLE FORMS**

Templates of various quality control reports can be found on the Whole Building Design Guide website at

https://www.wbdg.org/FFC/NAVGRAPH/quality_control_reports.pdf

- 3.8 **NOTIFICATION OF NONCOMPLIANCE:** The Contracting Officer or Authorized designee will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor should take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

--- End of Section ---

SECTION 01 45 35
SPECIAL INSPECTIONS

PART 1 - GENERAL

1.1 DESCRIPTION

- A. This guide specification will be applicable to both new buildings and existing building rehabilitations/renovations. In addition to the Special Inspection and testing specified requirements, a registered design professional must perform structural observations during construction. All observed deficiencies will be immediately reported to the Contracting Officer. The registered design professional performing these observations will be a representative of the Designer of Record (DOR) for the building being constructed.
- B. Structural observations are required for the following project conditions per IBC Chapter 17:
1. Seismic Design Category D, E or F; and assigned to Risk Cat III, IV or V.
 2. Seismic Design Category D, E or F; and with a height greater than 22860 mm 75 ft.
 3. Seismic Design Category E, assigned to Risk Category I or II and the building is greater than two stories above grade plane.
 4. Nominal design wind speed in excess of 49 m/sec 110 mph; and assigned to Risk Cat III, IV or V.
 5. Nominal design wind speed in excess of 49 m/sec 110 mph; and with a height greater than 23 m 75 ft.

1.2 APPLICABLE PUBLICATIONS

- A. The publication listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.
- B. American Society of Civil Engineers (ASCE)
1. ASCE 7 - (2010; Errata 2011; Supp 2 2013) Minimum Design Loads for Buildings and Other Structures
- C. International Code Council (ICC)
2. ICC IBC - (2015) International Building Code

1.3 GENERAL REQUIREMENTS

- A. Perform Special Inspections in accordance with the Statement of Special Inspections, Schedule of Special Inspections and Chapter 17 of ICC IBC.

The Statement of Special Inspections and Schedule of Special Inspections are included as an attachment to this specification. Special Inspections are to be performed by an independent third party and are intended to ensure that the work of the prime contractor is in accordance with the Contract Documents and applicable building codes. Special inspections do not take the place of the three phases of control inspections performed by the Contractor's QC Manager or any testing and inspections required by other sections of the specifications.

- B. Structural observations will be performed by the Government. The contractor must provide notification to the Contracting Officer 14 days prior to the following points of construction that structural observations need to occur:

1.4 **DEFINITIONS**

- A. Continuous Special Inspections - The constant monitoring of specific tasks by a special inspector. These inspections must be carried out continuously over the duration of the particular tasks.
- B. Periodic Special Inspections - Special Inspections by the special inspector who is intermittently present where the work to be inspected has been or is being performed. Specific time interval on a specific Special Inspection should be indicated on the Schedule of Special Inspections.
- C. Perform - Perform these Special Inspections tasks for each welded joint or member.
- D. Observe - Observe these Special Inspections items on a random daily basis. Operations need not be delayed pending these inspections.
- E. Special Inspector (SI) - A qualified person retained by the contractor and approved by the Contracting Officer as having the competence necessary to inspect a particular type of construction requiring Special Inspections. The SI must be an independent third party hired directly by the Prime Contractor.
- F. Associate Special Inspector (ASI) - A qualified person who assists the SI in performing Special Inspections but must perform inspection under the direct supervision of the SI and cannot perform inspections without the SI on site.
- G. Third Party - A third party inspector must not be company employee of the Contractor or any Sub-Contractor performing the work to be inspected.

- H. Special Inspector of Record (SIOR) - SIOR must be an independent third party hired directly by the Prime Contractor and is required for the following project conditions:
1. Seismic Design Category D, E, or F; and assigned to Risk Category III, IV, or V.
 2. Seismic Design Category D, E, or F; and with a height greater than 22860mm 75 ft.
 3. Seismic Design Category E, assigned to Risk Category I or II and the building is greater than two (2) stories above grade plane.
 4. Nominal design wind speed in excess of 49 m/sec 100 mph; and assigned to Risk Category III, IV, or V.
 5. Nominal design wind speed in excess of 49 mm/sec 100mph; and with a height greater than 23m 75ft.
 6. In addition to these conditions, the DOR is encouraged to consider using an SIOR on large magnitude or critical projects where this additional level of quality control is affordable.
- I. Contracting Officer - The Government official having overall authority for administrative contracting actions. Certain contracting actions may be delegated to the Contracting Officer's Representative (COR).
- J. Contractor's Quality Control (QC) Manager - An individual retained by the prime contractor and qualified in accordance with the Section 01 45 00.00 10 QUALITY CONTROL having the overall responsibility for the contractor's QC organization.
- K. Designer of Record (DOR) - A registered design professional is contracted by the Government as an A/E responsible for the overall design and review of submittal documents prepared by others. The DOR is registered or licensed to practice their respective design profession as defined by the statutory requirements of the professional registration laws in state in which the design professional works. The DOR is also referred to as the Engineer of Record (EOR) in design code documents.
- L. Statement of Special Inspections (SSI) - A document developed by the DOR identifying the material, systems, components and work required to have Special Inspections and covering the following:
1. List of the Architectural Designated Seismic Systems - these components are in or attached to a Risk Category IV or V structure and are needed for continued operation of the facility or their failure could impair the continued operation of the facility.

2. List of the Mechanical Designated Seismic Systems
 - a. For Seismic Design Category C or Risk V, list the following:
 - 1) Heating, ventilation, and air-conditioning (HVAC) ductwork containing hazardous materials and anchorage of such ductwork
 - 2) Piping systems and mechanical units containing flammable, combustible, or highly toxic materials.
 - b. For Seismic Design Category D, E, or F or Risk Category V list mechanical system that meet one of the following:
 - 1) Life safety component required to function after an earthquake
 - 2) Component that contains hazardous content,
 - 3) All components in an essential facility needed for continued operation after an earthquake.
3. List of the Electrical Designated Systems
 - a. For Seismic Design Category C or Risk V, list the anchorage of electrical equipment used for emergency or standby power systems.
 - b. For Seismic Design Category D, E or F list electrical system that meet one of the following:
 - 1) Life safety component required to function after an earthquake
 - 2) Component that contains hazardous content,
 - 3) All components in an essential facility needed for continued operation after an earthquake.
4. List of elements that are part of the progressive collapse resistance system.
 - a. Provide a description of the following as they apply:
 - 1) Elements of the tie force system consisting of internal longitudinal and transverse, vertical, and peripheral ties.
 - 2) Elements of the alternate path system.
 - 3) Elements having enhanced local resistance. The Statement of Special Inspections and the Schedule of Special Inspections will be included as an attachment to this specification
 - a) Schedule of Special Inspections - A schedule which lists each of the required Special Inspections, the extent to which each Special Inspections is to be performed, and the required frequency for each in accordance with ICC IBC Chapter 17. Template found here:



SECTION 01 45 35 SECTION 01 45 35
 Schedule of SI Template Statement of SI Template

- b) Designated Seismic System - Those nonstructural components that require design in accordance with ASCE 7 Chapter 13 and for which the component importance factor, I_p , is greater than 1.0. This designation applies to systems that are required to be operational following the Design Earthquake for RC I - IV structures and following the MCER for RC V structures. All systems in RC V facilities designated as MC-1 in accordance with UFC 3-310-04 are considered part of the Designated Seismic Systems.
- b. Submittals: Government approval is required for all submittals. CQC Special Inspection reports shall be submitted under this Specification section and follow the [Special Inspection]: [Applicable Specification section or description] naming convention. Submit the following:
- 1) SD-01 Preconstruction Submittals;
 - 2) SIOR Letter of Acceptance;
 - 3) Special Inspections Project Manual;
 - 4) Special Inspections Agency's Written Practices
 - 5) NDT Procedures and Equipment' Calibration Records;
 - 6) SD-06 Test Reports;
 - 7) Special Inspections
 - 8) Daily Reports;
 - 9) Special Inspections; Biweekly Reports;
 - 10) SD-07 Certificates;
 - 11) Fabrication Plant
 - 12) Steel Truss Plant;
 - 13) Wood Truss Plant;
 - 14) AC472 Accreditation;
 - 15) Steel Joist Institute Membership;
 - 16) Precast Concrete Institute (PCI) Certified Plant;
 - 17) Certificate of Compliance;

- 18) Special Inspector of Record Qualifications;
- 19) Special Inspector Qualifications;
- 20) Qualification Records for NDT technicians;
- 21) SD-11 Closeout Submittals;
- 22) Interim Final Report of Special Inspections;
- 23) Comprehensive Final Report of Special Inspections;
- c. Special Inspector Qualifications: Submit qualifications for each SI, ASI, and the SIOR from the following certifying associations: Associated Air Balance Council (AABC); American Concrete Institute (ACI); Association of the Wall and Ceiling Industry (AWCI); American Welding Society (AWS); Factory Mutual (FM); International Code Council (ICC); Nondestructive Testing (NDT); National Institute for Certification in Engineering Technologies (NICET); Precast/Prestressed Concrete Institute (PCI); Post-Tensioning Institute (PTI); Underwriters Laboratories (UL). Qualifications should be in accordance with the following minimums:

QUALIFICATIONS

Area	Special Inspector	Associated Special Inspector	SIOR
Steel Construction and High Strength Bolting	ICC Structural Steel and Bolting Special Inspector certificate with on year of related experience, or Registered Professional Engineer with related experience.	Engineer-In-Training with one year of related experience.	
Welding Structural Steel (For highly complex steel use only AWS Certified Welding Inspectors)	ICC Welding Special Inspector certificate with one year of related experience or AWS Certified Welding Inspector	AWS Certified Associate Welding Inspector	
Nondestructive Testing of Welds	NDT Level II Certificate	NDT Level II Certificate plus one year of related experience	

Area	Special Inspector	Associated Special Inspector	SIOR
Cold Formed Steel Framing	ICC Structural Steel and Bolting Special Inspector certificate with on year of related experience, or ICC Commercial Building Inspector with one year of experience; or Registered Professional Engineer with related experience.	Engineer-In-Training with one year of related experience.	
Concrete Construction	ICC Reinforced Concrete Special Inspector Certificate with one year of related experience, or ACI Concrete Construction Special Inspector, or NICET Concrete Technician Level III Certificate in Construction Materials Testing, or, Registered Professional Engineer with related experience	ACI Concrete Construction Special Inspector in Training, or Engineer-In-Training with one year of related experience	
Prestressed Concrete Construction	ICC Pre-stressed Special Inspector Certificate with one year of related experience, or PCI Quality Control Technician/ Inspector Level II Certificate with one year of related experience, or Registered Professional Engineer with related experience.	PCI Quality Control Technician/ Inspector Level I Certificate with one year of related experience, or Engineer-In-Training with one year of related experience	
Post-Tensioned Concrete Construction	PTI Level 2 Unbonded PT Inspector Certificate, or Registered Professional Engineer	PTI Level 1 Unbonded PT Inspector Certificate with one year of related experience, or	

Area	Special Inspector	Associated Special Inspector	SIOR
	with related experience	Engineer-In-Training with one year of related experience	
Masonry Construction	ICC Structural Masonry Special Inspector Certificate with one year of related experience, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience	
Wood	ICC Commercial Building Inspector Certificate with one year of related experience, or ICC Residential Building Inspector with one year of experience, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience	
Verification of Site Soil Condition, Fill Placement, and Load-Bearing Requirements	ICC Soils Special Inspector Certificate with one year of related experience, or NICET Soils Technician Level II Certificate in Construction Material Testing, or NICET Geotechnical Engineering Technician Level II Construction or Generalist Certificate, or Geologist-In-Training with one year of related experience, or Registered Professional Engineer with related experience	NICET Soils Technician Level I Certificate in Construction Material Testing with one year of related experience, or NICET Geotechnical Engineering Technician Level I Construction, or Generalist Certificate with one year of related experience, or Engineer-In-Training with one year of related experience	
Deep Foundations	NICET Soils Technician Level II	NICET Soils Technician Level I	

Area	Special Inspector	Associated Special Inspector	SIOR
	Certificate in Construction Material Testing, or NICET Geotechnical Engineering Technician Level II Construction or Generalist Certificate, or Geologist-In-Training with one year of related experience, or Registered Professional Engineer with related experience	Certificate in Construction Material Testing with one year of related experience, or NICET Geotechnical Engineering Technician Level I Construction or Generalist Certificate with one year of related experience, or Engineer-In-Training with one year of related experience	
Sprayed Fire Resistant Manual	ICC Spray-applied Fireproofing Special Inspector Certificate, or ICC Fire Inspector I Certificate with one year of related experience, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience	
Mastic and Intumescent Fire Resistant Coatings	ICC Spray-applied Fireproofing Special Inspector Certificate, or ICC Fire Inspector I Certificate with one year of related experience, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience.	
Exterior Insulation and Finish Systems (EIFS)	AWCI EIFS Inspector Certificate, or Exterior Design Institute Certificate, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience	

Area	Special Inspector	Associated Special Inspector	SIOR
Fire-Resistant Penetrations and Joints	Passed the UL Firestop Exam with one year of related experience, or Passed the FM Firestop Exam with one year of related experience, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience.	
Smoke Control	AABC Technician Certification with one year of related experience, or Registered Professional Engineer with related experience	Engineer-In-Training with one year of related experience.	
SIOR			Registered Professional Engineer

PART 2 - PRODUCTS

2.1 FABRICATORS SPECIAL INSPECTION

- A. Special Inspections of fabricator's work performed in the fabricator's shop is required to be inspected in accordance with the Statement of Special Inspections and the Schedule of Special Inspections unless the fabricator is certified by the approved agency to perform such work without Special Inspections. Submit the applicable certification(s) from the following list to the Contracting Officer for information to allow work performed in the fabricator's shop to not be subjected to Special Inspections.
- B. The following certifications meet the requirements for fabricator approval in accordance with paragraph 1704.2.5.2 of IBC:
1. American Institute of Steel Construction (AISC) Certified Fabrication Plant, Category STD.
 2. Truss Plate Institute (TPI) steel truss plate quality assurance program certification.

3. Truss Plate Institute (TPI) wood truss plate quality assurance program certification.
 4. International Accreditation Service, AC472 Accreditation Steel Joist Institute Membership
 5. Precast Concrete Institute (PCI) Certified Plant, Group C
- C. At the completion of fabrication, submit a certificate of compliance, to be included with the comprehensive final report of Special **Inspections, stating that the materials supplied and work performed by the fabricator are in accordance the construction documents.**

PART 3 - EXECUTION

3.1 RESPONSIBILITIES MATRIX

Inspector	Responsibility	Condition
SIOR	a. Supervise all Special Inspectors required by the contract documents and the IBC. b. Submit a SIOR Letter of Acceptance to the Contracting Officer attesting to acceptance of the duties of SIOR, signed and sealed by the SIOR. c. Verify the qualifications of all of the Special Inspectors. d. Verify the qualifications of fabricators.	Applicable when SIOR is required
	e. Submit Special Inspections agency's written practices for the monitoring and control of the agency's operations to include the following: <ol style="list-style-type: none"> 1. The agency's procedures for the selection and administration of inspection personnel, describing the training, experience and examination requirements for qualifications and certification of inspection personnel. 2. The agency's inspection procedures, including general inspection, material controls, and visual welding inspection. f. Submit qualification records for nondestructive testing (NDT) technicians designated for the project. Submit NDT procedures and equipment calibration records for NDT to be performed and equipment to be used for the project.	Applicable when SIOR is required and when the structural design is required to follow AISC341 for seismic design of steel structures
	g. Prepare a Special Inspections Project Manual, which will cover the following: <ol style="list-style-type: none"> 1. Roles and responsibilities of the following individuals during Special Inspections: SIOR, 	Applicable when SIOR is required

Inspector	Responsibility	Condition
	<p>SI, General Contractor, Subcontractors, QC Manager, and DOR.</p> <ol style="list-style-type: none"> 2. Organizational chart and/or communication plan, indicating lines of communication 3. Contractor's internal plan for scheduling inspections. Address items such as timeliness of inspection requests, who to contact for inspection requests, and availability of alternate inspectors. Contractor's internal plan for scheduling inspections. Address items such as timeliness of inspection requests, who to contact for inspection requests, and availability of alternate inspectors. 4. Indicate the government reporting procedures. 5. Propose forms or templates to be used by SI and SIOR to document inspections. 6. Indicate procedures for tracking nonconforming work and verification that corrective work is complete. 7. Indicate how the SIOR and/or SI will participate in weekly QC meetings. 8. Indicate how Special Inspections of shop fabricated items will be handled when the fabricator's shop is not certified per paragraph FABRICATOR SPECIAL INSPECTIONS. 9. Include a section in the manual that covers each specific item requiring Special Inspections that is indicated on the Schedule of Special Inspections. Provide names and qualifications of each special inspector who will be performing the Special Inspections for each specific item. Provide detail on how the Special Inspections are to be carried out for each item so that the expectations are clear for the General Contractor and the Subcontractor performing the work. Make a copy of the Special Inspections Project Manual available on the job site during construction. Submit a copy of the Special Inspections Project Manual for approval. h. Attend coordination and mutual understanding meeting where the information in the Special Inspections Project Manual will be reviewed to verify that all parties have a clear understanding of the Special Inspections provisions and the individual duties and responsibilities of each party. i. Maintain a 3- ring binder for the Special Inspector's daily and biweekly reports and the 	

Inspector	Responsibility	Condition
	<p>Special Inspections Project Manual. This file must be located in a conspicuous place in the project trailer/office to allow review by the Contracting Officer and the DOR.</p> <p>j. Submit a copy of the Special Inspector's daily reports to the QC Manager.</p> <p>k. Discrepancies that are observed during Special Inspections must be reported to the QC Manager for correction. If discrepancies are not corrected before the special inspector leaves the site the observed discrepancies must be documented in the daily report.</p> <p>l. Submit a biweekly Special Inspections report until all work requiring Special Inspections is complete. A report is required for each biweekly period in which Special Inspections activity occurs, and must include the following:</p> <ol style="list-style-type: none"> 1. A brief summary of the work performed during the reporting time frame. 2. Changes and/or discrepancies with the drawings, specifications, and mechanical or electrical component certification if they require seismic systems, that were observed during the reporting period. 3. Discrepancies which were resolved or corrected. 4. A list of nonconforming items requiring resolution. 5. All applicable test results including nondestructive testing reports. 	
QC Manager	<p>a. If there is no SIOR, QC Manager must Supervise all Special Inspectors required by the contract documents and the IBC; Verify the qualifications of all of the Special Inspectors; Verify the qualifications of fabricators; Maintain a 3-ring binder for the Special Inspector's daily and biweekly reports. This file must be located in a conspicuous place in the project trailer/office to allow review by the Contracting Officer and the DOR.</p>	Applicable when SIOR is not required
	<p>b. Maintain a rework items list that includes discrepancies noted on the Special Inspectors daily report.</p>	n/a
Special Inspectors	<p>a. Inspect all elements of the project for which the special inspector is qualified to inspect and are identified in the Schedule of Special Inspections.</p>	

Inspector	Responsibility	Condition
	b. Attend preparatory phase meetings related to the Definable Feature of Work (DFOW) for which the special inspector is qualified to inspect.	
	c. Submit Special Inspections agency's written practices for the monitoring and control of the agency's operations to include the following: <ol style="list-style-type: none"> 1. The agency's procedures for the selection and administration of inspection personnel, describing the training, experience and examination requirements for qualifications and certification of inspection personnel. 2. The agency's inspection procedures, including general inspection, material controls, and visual welding inspection. d. Submit qualification records for nondestructive testing (NDT) technicians designated for the project. e. Submit NDT procedures and equipment calibration records for NDT to be performed and equipment to be used for the project.]	Applicable when SIOR is NOT required and when the structural design is required to follow AISC 341 for seismic design of steel structures
	f. Submit a copy of the daily reports to the QC Manager. g. Discrepancies that are observed during Special Inspections must be reported to the QC Manager for correction. If discrepancies are not corrected before the special inspector leaves the site the observed discrepancies must be documented in the daily report. h. Submit a biweekly Special Inspection Report until all inspections are complete. A report is required for each biweekly period in which Special Inspections activity occurs, and must include the following: <ol style="list-style-type: none"> 1. A brief summary of the work performed during the reporting time frame 2. Changes and/or discrepancies with the drawings, specifications, and mechanical or electrical component certification if they require seismic systems that were observed during the reporting period. 3. Discrepancies which were resolved or corrected. 4. A list of nonconforming items requiring resolution. 5. All applicable test result including nondestructive testing reports. 	Applicable when SIOR is not required

Inspector	Responsibility	Condition
	j. At the completion of the project submit a comprehensive final report of Special Inspections that documents the Special Inspections completed for the project and corrections of all discrepancies noted in the daily reports. The comprehensive final report of Special Inspections must be signed, dated and indicate the certification of the special inspector qualifying them to conduct the inspection.	
	k. Submit daily reports to the SIOR	Applicable when SIOR is required

3.2 DEFECTIVE WORK

Check work as it progresses, but failure to detect any defective work or materials must in no way prevent later rejection if defective work or materials are discovered, nor obligate the Government to accept such work.

-- End of Section -

SECTION 01 57 19
TEMPORARY ENVIRONMENTAL CONTROLS

PART 1 - GENERAL

1.1 DESCRIPTION

- A. This section specifies the control of environmental pollution and damage that the Contractor must consider for air, water, and land resources. It includes management of visual aesthetics, noise, solid waste, radiant energy, and radioactive materials, as well as other pollutants and resources encountered or generated by the Contractor. The Contractor is obligated to consider specified control measures with the costs included within the various contract items of work.
- B. Environmental pollution and damage is defined as the presence of chemical, physical, or biological elements or agents which:
1. Adversely effect human health or welfare,
 2. Unfavorably alter ecological balances of importance to human life,
 3. Effect other species of importance to humankind, or;
 4. Degrade the utility of the environment for aesthetic, cultural, and historical purposes.
- C. Definitions of Pollutants:
1. Chemical Waste: Petroleum products, bituminous materials, salts, acids, alkalis, herbicides, pesticides, organic chemicals, and inorganic wastes.
 2. Debris: Combustible and noncombustible wastes, such as leaves, tree trimmings, ashes, and waste materials resulting from construction or maintenance and repair work.
 3. Sediment: Soil and other debris that has been eroded and transported by runoff water.
 4. Solid Waste: Rubbish, debris, garbage, and other discarded solid materials resulting from industrial, commercial, and agricultural operations and from community activities.
 5. Surface Discharge: The term "Surface Discharge" implies that the water is discharged with possible sheeting action and subsequent soil erosion may occur. Waters that are surface discharged may terminate in drainage ditches, storm sewers, creeks, and/or "water of the United States" and would require a permit to discharge water from the governing agency.

- 6. Rubbish: Combustible and noncombustible wastes such as paper, boxes, glass and crockery, metal and lumber scrap, tin cans, and bones.
- 7. Sanitary Wastes:
 - a. Sewage: Domestic sanitary sewage and human and animal waste.
 - b. Garbage: Refuse and scraps resulting from preparation, cooking, dispensing, and consumption of food.

1.2 QUALITY CONTROL

- A. Establish and maintain quality control for the environmental protection of all items set forth herein.
- B. Record on daily reports any problems in complying with laws, regulations, and ordinances. Note any corrective action taken.

1.3 REFERENCES

- A. The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.
- B. U.S. National Archives and Records Administration (NARA):
33 CFR 328.....Definitions

1.4 SUBMITTALS

- A. In accordance with Section, 01 33 23, SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES, furnish the following:
 - 1. Environmental Protection Plan: After the contract is awarded and prior to the commencement of the work, the Contractor shall meet with the Resident Engineer to discuss the proposed Environmental Protection Plan and to develop mutual understanding relative to details of environmental protection. Not more than 20 days after the meeting, the Contractor shall prepare and submit to the Resident Engineer and the Contracting Officer for approval, a written and/or graphic Environmental Protection Plan including, but not limited to, the following:
 - a. Name(s) of person(s) within the Contractor's organization who is (are) responsible for ensuring adherence to the Environmental Protection Plan.
 - b. Name(s) and qualifications of person(s) responsible for manifesting hazardous waste to be removed from the site.
 - c. Name(s) and qualifications of person(s) responsible for training the Contractor's environmental protection personnel.
 - d. Description of the Contractor's environmental protection personnel training program.

- e. A list of Federal, State, and local laws, regulations, and permits concerning environmental protection, pollution control, noise control and abatement that are applicable to the Contractor's proposed operations and the requirements imposed by those laws, regulations, and permits.
 - f. Methods for protection of features to be preserved within authorized work areas including trees, shrubs, vines, grasses, ground cover, landscape features, air and water quality, fish and wildlife, soil, historical, and archeological and cultural resources.
 - g. Procedures to provide the environmental protection that comply with the applicable laws and regulations. Describe the procedures to correct pollution of the environment due to accident, natural causes, or failure to follow the procedures as described in the Environmental Protection Plan.
 - h. Permits, licenses, and the location of the solid waste disposal area.
 - i. Drawings showing locations of any proposed temporary excavations or embankments for haul roads, material storage areas, structures, sanitary facilities, and stockpiles of excess or spoil materials. Include as part of an Erosion Control Plan approved by the District Office of the U.S. Soil Conservation Service and the Department of Veterans Affairs.
 - j. Environmental Monitoring Plans for the job site including land, water, air, and noise.
 - k. Work Area Plan showing the proposed activity in each portion of the area and identifying the areas of limited use or nonuse. Plan should include measures for marking the limits of use areas. This plan may be incorporated within the Erosion Control Plan.
- B. Approval of the Contractor's Environmental Protection Plan will not relieve the Contractor of responsibility for adequate and continued control of pollutants and other environmental protection measures.

1.5 PROTECTION OF ENVIRONMENTAL RESOURCES

- A. Protect environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire period of this contract. Confine activities to areas defined by the specifications and drawings.

- B. Protection of Land Resources: Prior to construction, identify all land resources to be preserved within the work area. Do not remove, cut, deface, injure, or destroy land resources including trees, shrubs, vines, grasses, top soil, and land forms without permission from the Resident Engineer. Do not fasten or attach ropes, cables, or guys to trees for anchorage unless specifically authorized, or where special emergency use is permitted.
1. Work Area Limits: Prior to any construction, mark the areas that require work to be performed under this contract. Mark or fence isolated areas within the general work area that are to be saved and protected. Protect monuments, works of art, and markers before construction operations begin. Convey to all personnel the purpose of marking and protecting all necessary objects.
 2. Protection of Landscape: Protect trees, shrubs, vines, grasses, land forms, and other landscape features shown on the drawings to be preserved by marking, fencing, or using any other approved techniques.
 - a. Box and protect from damage existing trees and shrubs to remain on the construction site.
 - b. Immediately repair all damage to existing trees and shrubs by trimming, cleaning, and painting with antiseptic tree paint.
 - c. Do not store building materials or perform construction activities closer to existing trees or shrubs than the farthest extension of their limbs.
 3. Reduction of Exposure of Unprotected Erodible Soils: Plan and conduct earthwork to minimize the duration of exposure of unprotected soils. Clear areas in reasonably sized increments only as needed to use. Form earthwork to final grade as shown. Immediately protect side slopes and back slopes upon completion of rough grading.
 4. Temporary Protection of Disturbed Areas: Construct diversion ditches, benches, and berms to retard and divert runoff from the construction site to protected drainage areas approved under paragraph 208 of the Clean Water Act.
 - a. Sediment Basins: Trap sediment from construction areas in temporary or permanent sediment basins that accommodate the runoff of a local storm. After each storm, pump the basins dry and remove the accumulated sediment. Control overflow/drainage

with paved weirs or by vertical overflow pipes, draining from the surface.

- b. Reuse or conserve the collected topsoil sediment as directed by the Resident Engineer. Topsoil use and requirements are specified in Section 31 20 00, EARTH MOVING.
 - c. Institute effluent quality monitoring programs as required by Federal, State, and local environmental agencies.
5. Erosion and Sedimentation Control Devices: The erosion and sediment controls selected and maintained by the Contractor shall be such that water quality standards are not violated as a result of the Contractor's activities. Construct or install all temporary and permanent erosion and sedimentation control features. Maintain temporary erosion and sediment control measures such as berms, dikes, drains, sedimentation basins, grassing, and mulching, until permanent drainage and erosion control facilities are completed and operative.
 6. Manage borrow areas on Government property to minimize erosion and to prevent sediment from entering nearby water courses or lakes.
 7. Manage and control spoil areas on Government property to limit spoil to areas and prevent erosion of soil or sediment from entering nearby water courses or lakes.
 8. Protect adjacent areas from despoilment by temporary excavations and embankments.
 9. Handle and dispose of solid wastes in such a manner that will prevent contamination of the environment. Place solid wastes (excluding clearing debris) in containers that are emptied on a regular schedule. Transport all solid waste off Government property and dispose of waste in compliance with Federal, State, and local requirements.
 10. Store chemical waste away from the work areas in corrosion resistant containers and dispose of waste in accordance with Federal, State, and local regulations.
 11. Handle discarded materials other than those included in the solid waste category as directed by the Resident Engineer.
- C. Protection of Water Resources: Keep construction activities under surveillance, management, and control to avoid pollution of surface and ground waters and sewer systems. Implement management techniques to

control water pollution by the listed construction activities that are included in this contract.

1. Washing and Curing Water: Do not allow wastewater directly derived from construction activities to enter water areas. Collect and place wastewater in retention ponds allowing the suspended material to settle, the pollutants to separate, or the water to evaporate.
 2. Control movement of materials and equipment at stream crossings during construction to prevent violation of water pollution control standards of the Federal, State, or local government.
 3. Monitor water areas affected by construction.
- D. Protection of Fish and Wildlife Resources: Keep construction activities under surveillance, management, and control to minimize interference with, disturbance of, or damage to fish and wildlife. Prior to beginning construction operations, list species that require specific attention along with measures for their protection.
- E. Protection of Air Resources: Keep construction activities under surveillance, management, and control to minimize pollution of air resources. Burning is not permitted on the job site. Keep activities, equipment, processes, and work operated or performed, in strict accordance with the State of South Dakota and Federal emission and performance laws and standards. Maintain ambient air quality standards set by the Environmental Protection Agency, for those construction operations and activities specified.
1. Particulates: Control dust particles, aerosols, and gaseous by-products from all construction activities, processing, and preparation of materials (such as from asphaltic batch plants) at all times, including weekends, holidays, and hours when work is not in progress.
 2. Particulates Control: Maintain all excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and all other work areas within or outside the project boundaries free from particulates which would cause a hazard or a nuisance. Sprinklering, chemical treatment of an approved type, light bituminous treatment, baghouse, scrubbers, electrostatic precipitators, or other methods are permitted to control particulates in the work area.
 3. Hydrocarbons and Carbon Monoxide: Control monoxide emissions from equipment to Federal and State allowable limits.

4. Odors: Control odors of construction activities and prevent obnoxious odors from occurring.

F. Reduction of Noise: Minimize noise using every action possible. Perform noise-producing work in less sensitive hours of the day or week as directed by the Resident Engineer. Maintain noise-produced work at or below the decibel levels and within the time periods specified.

1. Perform construction activities involving repetitive, high-level impact noise only between 8:00 a.m. and 6:00 p.m unless otherwise permitted by local ordinance or the Resident Engineer. Repetitive impact noise on the property shall not exceed the following dB limitations:

Time Duration of Impact Noise	Sound Level in dB
More than 12 minutes in any hour	70
Less than 30 seconds of any hour	85
Less than three minutes of any hour	80
Less than 12 minutes of any hour	75

2. Provide sound-deadening devices on equipment and take noise abatement measures that are necessary to comply with the requirements of this contract, consisting of, but not limited to, the following:

a. Maintain maximum permissible construction equipment noise levels at 15 m (50 feet) (dBA):

EARTHMOVING		MATERIALS HANDLING	
FRONT LOADERS	75	CONCRETE MIXERS	75
BACKHOES	75	CONCRETE PUMPS	75
DOZERS	75	CRANES	75
TRACTORS	75	DERRICKS IMPACT	75
SCAPERS	80	PILE DRIVERS	95
GRADERS	75	JACK HAMMERS	75
TRUCKS	75	ROCK DRILLS	80
PAVERS, STATIONARY	80	PNEUMATIC TOOLS	80
PUMPS	75	SAWS	75
GENERATORS	75	VIBRATORS	75
COMPRESSORS	75		

- b. Use shields or other physical barriers to restrict noise transmission.
 - c. Provide soundproof housings or enclosures for noise-producing machinery.
 - d. Use efficient silencers on equipment air intakes.
 - e. Use efficient intake and exhaust mufflers on internal combustion engines that are maintained so equipment performs below noise levels specified.
 - f. Line hoppers and storage bins with sound deadening material.
 - g. Conduct truck loading, unloading, and hauling operations so that noise is kept to a minimum.
3. Measure sound level for noise exposure due to the construction at least once every five successive working days while work is being performed above 55 dB(A) noise level. Measure noise exposure at the property line or 15 m (50 feet) from the noise source, whichever is greater. Measure the sound levels on the A weighing network of a General Purpose sound level meter at slow response. To minimize the effect of reflective sound waves at buildings, take measurements at 900 to 1800 mm (three to six feet) in front of any building face. Submit the recorded information to the Resident Engineer noting any problems and the alternatives for mitigating actions.
- G. Restoration of Damaged Property: If any direct or indirect damage is done to public or private property resulting from any act, omission, neglect, or misconduct, the Contractor shall restore the damaged property to a condition equal to that existing before the damage at no additional cost to the Government. Repair, rebuild, or restore property as directed or make good such damage in an acceptable manner.
- H. Final Clean-up: On completion of project and after removal of all debris, rubbish, and temporary construction, Contractor shall leave the construction area in a clean condition satisfactory to the Resident Engineer. Cleaning shall include off the station disposal of all items and materials not required to be salvaged, as well as all debris and rubbish resulting from demolition and new work operations.

- - - E N D - - -

MODIFICATION

**06-01-12 CONTENT REVISED IN REFERENCE TO REQUIREMENT FOR RECYCLING OF
CONSTRUCTION AND DEMOLITION WASTE.**

SECTION 01 74 19
CONSTRUCTION WASTE MANAGEMENT

PART 1 - GENERAL

1.1 DESCRIPTION

- A. This section specifies the requirements for the management of non-hazardous building construction and demolition waste.
- B. Waste disposal in landfills shall be minimized to the greatest extent possible. Of the inevitable waste that is generated, as much of the waste material as economically feasible shall be salvaged, recycled or reused.
- C. Contractor shall use all reasonable means to divert construction and demolition waste from landfills and incinerators, and facilitate their salvage and recycle not limited to the following:
 - 1. Waste Management Plan development and implementation.
 - 2. Techniques to minimize waste generation.
 - 3. Sorting and separating of waste materials.
 - 4. Salvage of existing materials and items for reuse or resale.
 - 5. Recycling of materials that cannot be reused or sold.
- D. At a minimum the following waste categories shall be diverted from landfills:
 - 1. Soil.
 - 2. Inerts (eg, concrete, masonry and asphalt).
 - 3. Clean dimensional wood and palette wood.
 - 4. Green waste (biodegradable landscaping materials).
 - 5. Engineered wood products (plywood, particle board and I-joists, etc).
 - 6. Metal products (eg, steel, wire, beverage containers, copper, etc).
 - 7. Cardboard, paper and packaging.
 - 8. Bitumen roofing materials.
 - 9. Plastics (eg, ABS, PVC).
 - 10. Carpet and/or pad.
 - 11. Gypsum board.
 - 12. Insulation.
 - 13. Paint.
 - 14. Fluorescent lamps.

1.2 RELATED WORK

- A. Section 02 41 00, DEMOLITION.
- B. Section 01 00 00, GENERAL REQUIREMENTS.

C. Lead Paint: Section 02 83 33.13, LEAD BASED PAINT REMOVAL AND DISPOSAL.

1.3 QUALITY ASSURANCE

- A. Contractor shall practice efficient waste management when sizing, cutting and installing building products. Processes shall be employed to ensure the generation of as little waste as possible. Construction /Demolition waste includes products of the following:
1. Excess or unusable construction materials.
 2. Packaging used for construction products.
 3. Poor planning and/or layout.
 4. Construction error.
 5. Over ordering.
 6. Weather damage.
 7. Contamination.
 8. Mishandling.
 9. Breakage.
- B. Establish and maintain the management of non-hazardous building construction and demolition waste set forth herein. Conduct a site assessment to estimate the types of materials that will be generated by demolition and construction.
- C. Contractor shall develop and implement procedures to recycle construction and demolition waste to a minimum of 50 percent.
- D. Contractor shall be responsible for implementation of any special programs involving rebates or similar incentives related to recycling. Any revenues or savings obtained from salvage or recycling shall accrue to the contractor.
- E. Contractor shall provide all demolition, removal and legal disposal of materials. Contractor shall ensure that facilities used for recycling, reuse and disposal shall be permitted for the intended use to the extent required by local, state, federal regulations. The Whole Building Design Guide website <http://www.wbdg.org/tools/cwm.php> provides a Construction Waste Management Database that contains information on companies that haul, collect, and process recyclable debris from construction projects.
- F. Contractor shall assign a specific area to facilitate separation of materials for reuse, salvage, recycling, and return. Such areas are to be kept neat and clean and clearly marked in order to avoid contamination or mixing of materials.

- G. Contractor shall provide on-site instructions and supervision of separation, handling, salvaging, recycling, reuse and return methods to be used by all parties during waste generating stages.
- H. Record on daily reports any problems in complying with laws, regulations and ordinances with corrective action taken.

1.4 TERMINOLOGY

- A. Class III Landfill: A landfill that accepts non-hazardous resources such as household, commercial and industrial waste resulting from construction, remodeling, repair and demolition operations.
- B. Clean: Untreated and unpainted; uncontaminated with adhesives, oils, solvents, mastics and like products.
- C. Construction and Demolition Waste: Includes all non-hazardous resources resulting from construction, remodeling, alterations, repair and demolition operations.
- D. Dismantle: The process of parting out a building in such a way as to preserve the usefulness of its materials and components.
- E. Disposal: Acceptance of solid wastes at a legally operating facility for the purpose of land filling (includes Class III landfills and inert fills).
- F. Inert Backfill Site: A location, other than inert fill or other disposal facility, to which inert materials are taken for the purpose of filling an excavation, shoring or other soil engineering operation.
- G. Inert Fill: A facility that can legally accept inert waste, such as asphalt and concrete exclusively for the purpose of disposal.
- H. Inert Solids/Inert Waste: Non-liquid solid resources including, but not limited to, soil and concrete that does not contain hazardous waste or soluble pollutants at concentrations in excess of water-quality objectives established by a regional water board, and does not contain significant quantities of decomposable solid resources.
- I. Mixed Debris: Loads that include commingled recyclable and non-recyclable materials generated at the construction site.
- J. Mixed Debris Recycling Facility: A solid resource processing facility that accepts loads of mixed construction and demolition debris for the purpose of recovering re-usable and recyclable materials and disposing non-recyclable materials.
- K. Permitted Waste Hauler: A company that holds a valid permit to collect and transport solid wastes from individuals or businesses for the purpose of recycling or disposal.

- L. Recycling: The process of sorting, cleansing, treating, and reconstituting materials for the purpose of using the altered form in the manufacture of a new product. Recycling does not include burning, incinerating or thermally destroying solid waste.
 - 1. On-site Recycling - Materials that are sorted and processed on site for use in an altered state in the work, i.e. concrete crushed for use as a sub-base in paving.
 - 2. Off-site Recycling - Materials hauled to a location and used in an altered form in the manufacture of new products.
- M. Recycling Facility: An operation that can legally accept materials for the purpose of processing the materials into an altered form for the manufacture of new products. Depending on the types of materials accepted and operating procedures, a recycling facility may or may not be required to have a solid waste facilities permit or be regulated by the local enforcement agency.
- N. Reuse: Materials that are recovered for use in the same form, on-site or off-site.
- O. Return: To give back reusable items or unused products to vendors for credit.
- P. Salvage: To remove waste materials from the site for resale or re-use by a third party.
- Q. Source-Separated Materials: Materials that are sorted by type at the site for the purpose of reuse and recycling.
- R. Solid Waste: Materials that have been designated as non-recyclable and are discarded for the purposes of disposal.
- S. Transfer Station: A facility that can legally accept solid waste for the purpose of temporarily storing the materials for re-loading onto other trucks and transporting them to a landfill for disposal, or recovering some materials for re-use or recycling.

1.5 SUBMITTALS

- A. In accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA, and SAMPLES, furnish the following:
- B. Prepare and submit to the Resident Engineer a written demolition debris management plan. The plan shall include, but not be limited to, the following information:
 - 1. Procedures to be used for debris management.
 - 2. Techniques to be used to minimize waste generation.
 - 3. Analysis of the estimated job site waste to be generated:

- a. List of each material and quantity to be salvaged, reused, recycled.
 - b. List of each material and quantity proposed to be taken to a landfill.
4. Detailed description of the Means/Methods to be used for material handling.
- a. On site: Material separation, storage, protection where applicable.
 - b. Off site: Transportation means and destination. Include list of materials.
 - 1) Description of materials to be site-separated and self-hauled to designated facilities.
 - 2) Description of mixed materials to be collected by designated waste haulers and removed from the site.
 - c. The names and locations of mixed debris reuse and recycling facilities or sites.
 - d. The names and locations of trash disposal landfill facilities or sites.
 - e. Documentation that the facilities or sites are approved to receive the materials.
- C. Designated Manager responsible for instructing personnel, supervising, documenting and administer over meetings relevant to the Waste Management Plan.
- D. Monthly summary of construction and demolition debris diversion and disposal, quantifying all materials generated at the work site and disposed of or diverted from disposal through recycling.

1.6 APPLICABLE PUBLICATIONS

- A Publications listed below form a part of this specification to the extent referenced. Publications are referenced by the basic designation only. In the event that criteria requirements conflict, the most stringent requirements shall be met.
- B. U.S. Green Building Council (USGBC):
LEED Green Building Rating System for New Construction

1.7 RECORDS

Maintain records to document the quantity of waste generated; the quantity of waste diverted through sale, reuse, or recycling; and the quantity of waste disposed by landfill or incineration. Records shall be kept in accordance with the LEED Reference Guide and LEED Template.

PART 2 - PRODUCTS**2.1 MATERIALS**

- A. List of each material and quantity to be salvaged, recycled, reused.
- B. List of each material and quantity proposed to be taken to a landfill.
- C. Material tracking data: Receiving parties, dates removed, transportation costs, weight tickets, tipping fees, manifests, invoices, net total costs or savings.

PART 3 - EXECUTION**3.1 COLLECTION**

- A. Provide all necessary containers, bins and storage areas to facilitate effective waste management.
- B. Clearly identify containers, bins and storage areas so that recyclable materials are separated from trash and can be transported to respective recycling facility for processing.
- C. Hazardous wastes shall be separated, stored, disposed of according to local, state, federal regulations.

3.2 DISPOSAL

- A. Contractor shall be responsible for transporting and disposing of materials that cannot be delivered to a source-separated or mixed materials recycling facility to a transfer station or disposal facility that can accept the materials in accordance with state and federal regulations.
- B. Construction or demolition materials with no practical reuse or that cannot be salvaged or recycled shall be disposed of at a landfill or incinerator.

3.3 REPORT

- A. With each application for progress payment, submit a summary of construction and demolition debris diversion and disposal including beginning and ending dates of period covered.
- B. Quantify all materials diverted from landfill disposal through salvage or recycling during the period with the receiving parties, dates removed, transportation costs, weight tickets, manifests, invoices. Include the net total costs or savings for each salvaged or recycled material.
- C. Quantify all materials disposed of during the period with the receiving parties, dates removed, transportation costs, weight tickets, tipping fees, manifests, invoices. Include the net total costs for each disposal.

- - - E N D - - -

SECTION 01 81 13
SUSTAINABLE CONSTRUCTION REQUIREMENTS

PART 1 - GENERAL

1.1 DESCRIPTION

- A. This Section describes general requirements and procedures to comply with federal mandates and U.S. Department of Veterans Affairs (VA) policies for sustainable construction.
- B. The Design Professional has selected materials and utilized integrated design processes that achieve the Government's objectives. Contractor is responsible to maintain and support these objectives in developing means and methods for performing work and in proposing product substitutions or changes to specified processes. Obtain approval from Contracting Officer for all changes and substitutions to materials or processes. Proposed changes must meet, or exceed, materials or processes specified.

1.2 RELATED WORK

- A. Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.
- B. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT.
- C. Section 01 91 00 GENERAL COMMISSIONING REQUIREMENTS.

1.3 DEFINITIONS

- A. Recycled Content: Recycled content of materials is defined according to Federal Trade Commission Guides for the Use of Environmental Marketing Claims (16 CFR Part 260). Recycled content value of a material assembly is determined by weight. Recycled fraction of assembly is multiplied by cost of assembly to determine recycled content value.
 - 1. "Post-Consumer" material is defined as waste material generated by households or by commercial, industrial, and institutional facilities in their role as end users of the product, which can no longer be used for its intended purpose.
 - 2. "Pre-Consumer" material is defined as material diverted from waste stream during the manufacturing process. Excluded is reutilization of materials such as rework, regrind, or scrap generated in a process and capable of being reclaimed within the same process that generated it.
- B. Biobased Products: Biobased products are derived from plants and other renewable agricultural, marine, and forestry materials and provide an alternative to conventional petroleum derived products. Biobased

products include diverse categories such as lubricants, cleaning products, inks, fertilizers, and bioplastics.

- C. Low Pollutant-Emitting Materials: Materials and products which are minimally odorous, irritating, or harmful to comfort and well-being of installers and occupants.
- D. Volatile Organic Compounds (VOC): Chemicals that are emitted as gases from certain solids or liquids. VOCs include a variety of chemicals, some of which may have short- and long-term adverse health effects.

1.4 REFERENCE STANDARDS

- A. Carpet and Rug Institute Green Label Plus program.
- B. U.S. Department of Agriculture BioPreferred program (USDA BioPreferred).
- C. U.S. Environmental Protection Agency Comprehensive Procurement Guidelines (CPG).
- D. U.S. Environmental Protection Agency WaterSense Program (WaterSense).
- E. U.S. Environmental Protection Agency ENERGY STAR Program (ENERGY STAR).
- F. U. S. Department of Energy Federal Energy Management Program (FEMP).
- G. Green Electronic Council EPEAT Program (EPEAT).

1.5 SUBMITTALS

- A. All submittals to be provided by contractor to COR.
- B. Sustainability Action Plan:
 - 1. Submit documentation as required by this section; provide additional copies of typical submittals required under technical sections when sustainable construction requires copies of record submittals.
 - 2. Within 30 days after Preconstruction Meeting provide a narrative plan for complying with requirements stipulated within this section.
 - 3. Sustainability Action Plan must:
 - a. Make reference to sustainable construction submittals defined by this section.
 - b. Address all items listed under PERFORMANCE CRITERIA.
 - c. Indicate individual(s) responsible for implementing the plan.
- C. Low Pollutant-Emitting Materials Tracking Spreadsheet: Within 30 days after Preconstruction Meeting provide a preliminary Low Pollutant-Emitting Materials Tracking Spreadsheet. The Low Pollutant-Emitting Materials Tracking Spreadsheet must be an electronic file and include all materials on Project in categories described under Low Pollutant-Emitting Materials in 01 81 13.

D. Construction Indoor Air Quality (IAQ) Management Plan:

1. Not more than 30 days after Preconstruction Meeting provide a Construction IAQ Management Plan as an electronic file including descriptions of the following:
 - a. Instruction procedures for meeting or exceeding minimum requirements of ANSI/SMACNA 008-2008, Chapter 3, including procedures for HVAC Protection, Source Control, Pathway Interruption, Housekeeping, and Scheduling.
 - b. Instruction procedures for protecting absorptive materials stored on-site or installed from moisture damage.
 - c. Schedule of submission of photographs of on-site construction IAQ management measures such as protection of ducts and on-site stored oil installed absorptive materials.
 - d. Instruction procedures if air handlers must be used during construction, including a description of filtration media to be used at each return air grille.
 - e. Instruction procedure for replacing all air-filtration media immediately prior to occupancy after completion of construction, including a description of filtration media to be used at each air handling or air supply unit.
 - f. Instruction procedures and schedule for implementing building flush-out.

E. Product Submittals:

1. Recycled Content: Submit product data from manufacturer indicating percentages by weight of post-consumer and pre-consumer recycled content for products having recycled content (excluding MEP systems equipment and components).
2. Biobased Content: Submit product data for products to be installed or used which are included in any of the USDA BioPreferred program's product categories. Data to include percentage of biobased content and source of biobased material.
3. Low Pollutant-Emitting Materials: Submit product data confirming compliance with relevant requirements for all materials on Project in categories described under Low Pollutant-Emitting Materials in 01 81 13.
4. For applicable products and equipment, submit product documentation confirming ENERGY STAR label, FEMP certification, WaterSense, and/or EPEAT certification.

- F. Sustainable Construction Progress Reports: Concurrent with each Application for Payment, submit a Sustainable Construction Progress Report to confirm adherence with Sustainability Action Plan.
1. Include narratives of revised strategies for bringing work progress into compliance with plan and product submittal data.
 2. Include updated and current Low Pollutant-Emitting Materials Tracking Spreadsheet.
 3. Include construction waste tracking, in tons or cubic yards, including waste description, whether diverted or landfilled, hauler, and percent diverted for comingled quantities; and excluding land-clearing debris and soil. Provide haul receipts and documentation of diverted percentages for comingled wastes.
- G. Closeout Submittals: Within 14 days after Substantial Completion provide the following:
1. Final version of Low Pollutant-Emitting Materials Tracking Spreadsheet.
 2. Manufacturer's cut sheets and product data highlighting the Minimum Efficiency Reporting Value (MERV) for filtration media installed at return air grilles during construction if permanently installed air handling units are used during construction.
 3. Manufacturer's cut sheets and product data highlighting the Minimum Efficiency Reporting Value (MERV) for final filtration media in air handling units.
 4. Minimum 18 construction photographs including six photographs taken on three different occasions during construction of ANSI/SMACNA 008-2008, Chapter 3 approaches employed, along with a brief description of each approach, documenting implementation of IAQ management measures, such as protection of ducts and on-site stored or installed absorptive materials.
 5. Flush-out Documentation:
 - a. Product data for filtration media used during flush-out.
 - b. Product data for filtration media installed immediately prior to occupancy.
 - c. Signed statement describing building air flush-out procedures including dates when flush-out was begun and completed and statement that filtration media was replaced after flush-out.

1.6 QUALITY ASSURANCE

- A. Preconstruction Meeting: After award of Contract and prior to commencement of Work, schedule and conduct meeting with COR/Resident Engineer and Architect to discuss the Project Sustainable Action Plan content as it applies to submittals, project delivery, required Construction Indoor Air Quality (IAQ) Management Plan, and other Sustainable Construction Requirements. The purpose of this meeting is to develop a mutual understanding of the Sustainable Construction Requirements and coordination of contractor's management of these requirements with the Contracting Officer and the Construction Quality Manager.
- B. Construction Job Conferences: Status of compliance with Sustainable Construction Requirements of these specifications will be an agenda item at regular job meetings conducted during the course of work at the site.

1.7 APPLICABLE PUBLICATIONS

- A. Publications listed below form a part of this specification to extent referenced. Publications are referenced in text by basic designation only. Comply with applicable provisions and recommendations of the following, except as otherwise shown or specified.
- B. Green Seal Standard GS-11, Paints, 1st Edition, May 20, 1993.
- C. Green Seal Standard GC-03, Anti-Corrosive Paints, 2nd Edition, January 7, 1997.
- D. Green Seal Standard GC-36, Commercial Adhesives, October 19, 2000.
- E. South Coast Air Quality Management District (SCAQMD) Rule 1113, Architectural Coatings, rules in effect on January 1, 2004.
- F. South Coast Air Quality Management District (SCAQMD) Rule 1168, July 1, 2005 and rule amendment date of January 7, 2005.
- G. Sheet Metal and Air Conditioning National Contractors' Association (SMACNA) IAQ Guidelines for Occupied Buildings under Construction, 2nd Edition (ANSI/SMACNA 008-2008), Chapter 3.
- H. California Department of Public Health Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers, Version 1.1, Emission Testing method for California Specification 01350 (CDPH Standard Method V1.1-2010).
- I. Federal Trade Commission Guides for the Use of Environmental Marketing Claims (16 CFR Part 260).
- J. ASHRAE Standard 52.2-2007.

PART 2 - PRODUCTS

2.1 PERFORMANCE CRITERIA

- A. Construction waste diversion from landfill disposal must comprise at least 50 percent of total construction waste, excluding land clearing debris and soil. Alternative daily cover (ADC) does not qualify as material diverted from disposal.
- B. Low Pollutant-Emitting Materials:
 - 1. Adhesives, sealants and sealant primers applied on site within the weatherproofing membrane must comply with VOC limits of SCAQMD Rule 1168:
 - a. Flooring Adhesives and Sealants:
 - 1) Indoor carpet adhesives: 50 g/L.
 - 2) Wood Flooring Adhesive: 100 g/L.
 - 3) Rubber Floor Adhesives: 60 g/L.
 - 4) Subfloor Adhesives: 50 g/L.
 - 5) Ceramic Tile Adhesives and Grout: 65 g/L.
 - 6) Cove Base Adhesives: 50 g/L.
 - 7) Multipurpose Construction Adhesives: 70 g/L.
 - 8) Porous Material (Except Wood) Substrate: 50 g/L.
 - 9) Wood Substrate: 30 g/L.
 - 10) Architectural Non-Porous Sealant Primer: 250 g/L.
 - 11) Architectural Porous Sealant Primer: 775 g/L.
 - 12) Other Sealant Primer: 750 g/L.
 - 13) Structural Wood Member Adhesive: 140 g/L.
 - 14) Sheet-Applied Rubber Lining Operations: 850 g/L.
 - 15) Top and Trim Adhesive: 250 g/L.
 - 16) Architectural Sealant: 250 g/L.
 - 17) Other Sealant: 420 g/L.
 - b. Non-Flooring Adhesives and Sealants:
 - 1) Drywall and Panel Adhesives: 50 g/L.
 - 2) Multipurpose Construction Adhesives: 70 g/L.
 - 3) Structural Glazing Adhesives: 100 g/L.
 - 4) Metal-to-Metal Substrate Adhesives: 30 g/L.
 - 5) Plastic Foam Substrate Adhesive: 50 g/L.
 - 6) Porous Material (Except Wood) Substrate Adhesive: 50 g/L.
 - 7) Wood Substrate Adhesive: 30 g/L.
 - 8) Fiberglass Substrate Adhesive: 80 g/L.
 - 9) Architectural Non-Porous Sealant Primer: 250 g/L.

- 10) Architectural Porous Sealant Primer: 775 g/L.
 - 11) Other Sealant Primer: 750 g/L.
 - 12) PVC Welding Adhesives: 510 g/L.
 - 13) CPVC Welding Adhesives: 490 g/L.
 - 14) ABS Welding Adhesives: 325 g/L.
 - 15) Plastic Cement Welding Adhesives: 250 g/L.
 - 16) Adhesive Primer for Plastic: 550 g/L.
 - 17) Contact Adhesive: 80 g/L.
 - 18) Special Purpose Contact Adhesive: 250 g/L.
 - 19) Structural Wood Member Adhesive: 140 g/L.
 - 20) Sheet Applied Rubber Lining Operations: 850 g/L.
 - 21) Top and Trim Adhesive: 250 g/L.
 - 22) Architectural Sealants: 250 g/L.
 - 23) Other Sealants: 420 g/L.
2. Aerosol adhesives applied on site within the weatherproofing membrane must comply with the following Green Seal GS-36.
 - a. Aerosol Adhesive, General-Purpose Mist Spray: 65 percent VOCs by weight.
 - b. Aerosol Adhesive, General-Purpose Web Spray: 55 percent VOCs by weight.
 - c. Special-Purpose Aerosol Adhesive (All Types): 70 percent VOCs by weight.
 3. Paints and coatings applied on site within the weatherproofing membrane must comply with the following criteria:
 - a. VOC content limits for paints and coatings established in Green Seal Standard GS-11.
 - b. VOC content limit for anti-corrosive and anti-rust paints applied to interior ferrous metal substrates of 250 g/L established in Green Seal GC-03.
 - c. Clear wood finishes, floor coatings, stains, primers, sealers, and shellacs applied to interior elements must not exceed VOC content limits established in SCAQMD Rule 1113.
 - d. Comply with the following VOC content limits:
 - 1) Anti-Corrosive/Antirust Paints: 250 g/L.
 - 2) Clear Wood Finish, Lacquer: 550 g/L.
 - 3) Clear Wood Finish, Sanding Sealer: 350 g/L.
 - 4) Clear Wood Finish, Varnish: 350 g/L.
 - 5) Floor Coating: 100 g/L.

- 6) Interior Flat Paint, Coating or Primer: 50 g/L.
 - 7) Interior Non-Flat Paint, Coating or Primer: 150 g/L.
 - 8) Sealers and Undercoaters: 200 g/L.
 - 9) Shellac, Clear: 730 g/L.
 - 10) Shellac, Pigmented: 550 g/L.
 - 11) Stain: 250 g/L.
 - 12) Clear Brushing Lacquer: 680 g/L.
 - 13) Concrete Curing Compounds: 350 g/L.
 - 14) Japans/Faux Finishing Coatings: 350 g/L.
 - 15) Magnesite Cement Coatings: 450 g/L.
 - 16) Pigmented Lacquer: 550 g/L.
 - 17) Waterproofing Sealers: 250 g/L.
 - 18) Wood Preservatives: 350 g/L.
 - 19) Low-Solids Coatings: 120 g/L.
4. Carpet installed in building interior must comply with one of the following:
 - a. Meet testing and product requirements of the Carpet and Rug Institute Green Label Plus program.
 - b. Maximum VOC concentrations specified in CDPH Standard Method V1.1-2010, using office scenario at the 14 day time point.
 5. Each non-carpet flooring element installed in building interior which is not inherently non-emitting (stone, ceramic, powder-coated metals, plated or anodized metal, glass, concrete, clay brick, and unfinished or untreated solid wood flooring) must comply with one of the following:
 - a. Meet requirements of the FloorScore standard as shown with testing by an independent third-party.
 - b. Maximum VOC concentrations specified in CDPH Standard Method V1.1-2010, using office scenario at 14 day time point.
 6. Composite wood and agrifiber products used within the weatherproofing membrane must contain no added urea-formaldehyde resins.
 7. Laminating adhesives used to fabricate on-site and shop-applied composite wood and agrifiber assemblies must not contain added urea-formaldehyde.
- C. Recycled Content:
1. Any products being installed or used that are listed on EPA Comprehensive Procurement Guidelines designated product list must

meet or exceed the EPA's recycled content recommendations. The EPA Comprehensive Procurement Guidelines categories include:

- a. Building insulation.
- b. Cement and concrete.
- c. Consolidated and reprocessed latex paint.
- d. Floor tiles.
- e. Flowable fill.
- f. Laminated paperboard.
- g. Modular threshold ramps.
- h. Nonpressure pipe.
- i. Patio blocks.
- j. Railroad grade crossing surfaces.
- k. Roofing materials.
- l. Shower and restroom dividers/partitions.
- m. Structural fiberboard.
- n. Nylon carpet and nylon carpet backing.
- o. Compost and fertilizer made from recovered organic materials.
- p. Hydraulic mulch.
- q. Lawn and garden edging.
- r. Plastic lumber landscaping timbers and posts.
- s. Park benches and picnic tables.
- t. Plastic fencing.
- u. Playground equipment.
- v. Playground surfaces.
- w. Bike racks.

D. Biobased Content:

1. Materials and equipment being installed or used that are listed on the USDA BioPreferred program product category list must meet or exceed USDA's minimum biobased content threshold. Refer to individual specification sections for detailed requirements applicable to that section.
 - a. USDA BioPreferred program categories include:
 - 1) Adhesive and Mastic Removers.
 - 2) Carpets.
 - 3) Cleaners.
 - 4) Composite Panels.
 - 5) Corrosion Preventatives.
 - 6) Erosion Control Materials.

- 7) Dust Suppressants.
- 8) Fertilizers.
- 9) Floor Cleaners and Protectors.
- 10) Floor Coverings (Non-Carpet).
- 11) Glass Cleaners.
- 12) Hydraulic Fluids.
- 13) Industrial Cleaners.
- 14) Interior Paints and Coatings.
- 15) Mulch and Compost Materials.
- 16) Multipurpose Cleaners.
- 17) Multipurpose Lubricants.
- 18) Packaging Films.
- 19) Paint Removers.
- 20) Plastic Insulating Foam.
- 21) Pneumatic Equipment Lubricants.
- 22) Roof Coatings.
- 23) Wastewater Systems Coatings.
- 24) Water Tank Coatings.
- 25) Wood and Concrete Sealers.
- 26) Wood and Concrete Stains.

E. Materials, products, and equipment being installed which fall into a category covered by the WaterSense program must be WaterSense-labeled or meet or exceed WaterSense program performance requirements, unless disallowed for infection control reasons.

1. WaterSense categories include:

- a. Bathroom Faucets
- b. Commercial Toilets
- c. Irrigation Controllers
- d. Pre-Rinse Spray Valves
- e. Residential Toilets
- f. Showerheads
- g. Spray Sprinkler Bodies
- h. Urinals

F. Materials, products, and equipment being installed which fall into any of the following product categories must be Energy Star-labeled.

1. Applicable Energy Star product categories as of 09/14/2017 include:

- a. Appliances:
 - 1) Air Purifiers and Cleaners.

- 2) Clothes Dryers (Residential).
 - 3) Clothes Washers (Commercial & Residential).
 - 4) Dehumidifiers.
 - 5) Dishwashers (Residential).
 - 6) Freezers (Residential).
 - 7) Refrigerators (Residential).
- b. Electronics and Information Technology:
- 1) Audio/Video Equipment.
 - 2) Computers.
 - 3) Data Center Storage.
 - 4) Digital Media Player.
 - 5) Enterprise Servers.
 - 6) Imaging Equipment.
 - 7) Monitors.
 - 8) Professional Displays.
 - 9) Set-Top and Cable Boxes.
 - 10) Telephones.
 - 11) Televisions.
 - 12) Uninterruptible Power Supplies.
 - 13) Voice over Internet Protocol (VoIP) Phones.
- c. Food Service Equipment (Commercial):
- 1) Dishwashers.
 - 2) Fryers.
 - 3) Griddles.
 - 4) Hot Food Holding Cabinets.
 - 5) Ice Makers.
 - 6) Ovens.
 - 7) Refrigerators and Freezers.
 - 8) Steam Cookers.
 - 9) Vending Machines.
- d. Heating and Cooling Equipment:
- 1) Air-Source Heat Pumps (Residential).
 - 2) Boilers.
 - 3) Ceiling Fans (Residential).
 - 4) Central Air Conditioners (Residential).
 - 5) Ductless Heating and Cooling (Residential).
 - 6) Furnaces (Residential).
 - 7) Water Heaters.

- 8) Geothermal Heat Pumps (Residential).
- 9) Light Commercial Heating and Cooling Equipment.
- 10) Room Air Conditioners (Residential).
- 11) Ventilation Fans (Residential).

e. Other:

- 1) Decorative Light Strings.
- 2) Electric Vehicle Supply Equipment.
- 3) Laboratory-Grade Refrigerators and Freezers.
- 4) Light Bulbs.
- 5) Light Fixtures.
- 6) Pool Pumps.
- 7) Roof Products.
- 8) Water Coolers.
- 9) Windows, Doors, and Skylights.

G. Materials, products, and equipment being installed which fall into any of the following categories must be FEMP-designated. FEMP-designated product categories as of 09/14/2017 include:

- 1. Boilers (Commercial).
- 2. Dishwashers (Commercial).
- 3. Electric Chillers, Air-Cooled (Commercial).
- 4. Electric Chillers, Water-Cooled (Commercial).
- 5. Exterior Lighting.
- 6. Fluorescent Ballasts.
- 7. Fluorescent Lamps, General Service.
- 8. Ice Machines, Water-Cooled.
- 9. Industrial Lighting (High/Low Bay).
- 10. Light Emitting Diode (LED) Luminaires.

H. Electronic products and equipment being installed which fall into any of the following categories shall be EPEAT registered. Electronic products and equipment covered by EPEAT program as of 09/14/2017 include:

- 1. Computers.
- 2. Displays.
- 3. Imaging Equipment.
- 4. Televisions.

PART 3 - EXECUTION

3.1 FIELD QUALITY CONTROL

A. Construction Indoor Air Quality Management:

1. During construction, meet or exceed recommended control measures of ANSI/SMACNA 008-2008, Chapter 3.
2. Protect stored on-site and installed absorptive materials from moisture damage.
3. If permanently installed air handlers are used during construction, filtration media with a minimum efficiency reporting value (MERV) of 8 must be used at each return air grille, as determined by ASHRAE Standard 52.2-1999 (with errata but without addenda). Replace all filtration media immediately prior to occupancy.
4. Perform building flush-out as follows:
 - a. After construction ends, prior to occupancy and with interior finishes installed, perform a building flush-out by supplying a total volume of 14000 cu. ft. of outdoor air per sq. ft. of floor area while maintaining an internal temperature of at least 60 degrees Fahrenheit and a relative humidity no higher than 60 percent. OR
 - b. If occupancy is desired prior to flush-out completion, the space may be occupied following delivery of a minimum of 3500 cu. ft. of outdoor air per sq. ft. of floor area to the space. Once a space is occupied, it must be ventilated at a minimum rate of 0.30 cfm per sq. ft. of outside air or design minimum outside air rate determined until a total of 14000 cu. ft./sq. ft. of outside air has been delivered to the space. During each day of flush-out period, ventilation must begin a minimum of three hours prior to occupancy and continue during occupancy.

-----END-----

SECTION 01 91 00**GENERAL COMMISSIONING REQUIREMENTS****PART 1 - GENERAL****1.1 COMMISSIONING DESCRIPTION**

- A. This Section 01 91 00 GENERAL COMMISSIONING REQUIREMENTS shall form the basis of the construction phase commissioning process and procedures. The Commissioning Agent shall add, modify, and refine the commissioning procedures, as approved by the Department of Veterans Affairs (VA), to suit field conditions and actual manufacturer's equipment, incorporate test data and procedure results, and provide detailed scheduling for all commissioning tasks.
- B. Various sections of the project specifications require equipment startup, testing, and adjusting services. Requirements for startup, testing, and adjusting services specified in the Division 7, Division 21, Division 22, Division 23, Division 26, Division 27, Division 28, and Division 31 series sections of these specifications are intended to be provided in coordination with the commissioning services and are not intended to duplicate services. The Contractor shall coordinate the work required by individual specification sections with the commissioning services requirements specified herein.
- C. Where individual testing, adjusting, or related services are required in the project specifications and not specifically required by this commissioning requirements specification, the specified services shall be provided and copies of documentation, as required by those specifications shall be submitted to the VA and the Commissioning Agent to be indexed for future reference.
- D. Where training or educational services for VA are required and specified in other sections of the specifications, including but not limited to Division 7, Division 8, Division 21, Division 22, Division 23, Division 26, Division 27, Division 28, and Division 31 series sections of the specification, these services are intended to be provided in addition to the training and educational services specified herein.
- E. Commissioning is a systematic process of verifying that the building systems perform interactively according to the construction documents and the VA's operational needs. The commissioning process shall encompass and coordinate the system documentation, equipment startup,

control system calibration, testing and balancing, performance testing and training. Commissioning during the construction and post-occupancy phases is intended to achieve the following specific objectives according to the contract documents:

1. Verify that the applicable equipment and systems are installed in accordance with the contract documents and according to the manufacturer's recommendations.
 2. Verify and document proper integrated performance of equipment and systems.
 3. Verify that Operations & Maintenance documentation is complete.
 4. Verify that all components requiring servicing can be accessed, serviced and removed without disturbing nearby components including ducts, piping, cabling or wiring.
 5. Verify that the VA's operating personnel are adequately trained to enable them to operate, monitor, adjust, maintain, and repair building systems in an effective and energy-efficient manner.
 6. Document the successful achievement of the commissioning objectives listed above.
- F. The commissioning process does not take away from or reduce the responsibility of the Contractor to provide a finished and fully functioning product.

1.2 CONTRACTUAL RELATIONSHIPS

- A. For this construction project, the Department of Veterans Affairs contracts with a Contractor to provide construction services. The contracts are administered by the VA Contracting Officer and the Resident Engineer as the designated representative of the Contracting Officer. On this project, the authority to modify the contract in any way is strictly limited to the authority of the Contracting Officer.
- B. In this project, only two contract parties are recognized and communications on contractual issues are strictly limited to VA Resident Engineer and the Contractor. It is the practice of the VA to require that communications between other parties to the contracts (Subcontractors and Vendors) be conducted through the Resident Engineer and Contractor. It is also the practice of the VA that communications between other parties of the project (Commissioning Agent and Architect/Engineer) be conducted through the Resident Engineer.
- C. Whole Building Commissioning is a process that relies upon frequent and direct communications, as well as collaboration between all parties to

the construction process. By its nature, a high level of communication and cooperation between the Commissioning Agent and all other parties (Architects, Engineers, Subcontractors, Vendors, third party testing agencies, etc.) is essential to the success of the Commissioning effort.

- D. With these fundamental practices in mind, the commissioning process described herein has been developed to recognize that, in the execution of the Commissioning Process, the Commissioning Agent must develop effective methods to communicate with every member of the construction team involved in delivering commissioned systems while simultaneously respecting the exclusive contract authority of the Contracting Officer and Resident Engineer. Thus, the procedures outlined in this specification must be executed within the following limitations:
1. No communications (verbal or written) from the Commissioning Agent shall be deemed to constitute direction that modifies the terms of any contract between the Department of Veterans Affairs and the Contractor.
 2. Commissioning Issues identified by the Commissioning Agent will be delivered to the Resident Engineer and copied to the designated Commissioning Representatives for the Contractor and subcontractors on the Commissioning Team for information only in order to expedite the communication process. These issues must be understood as the professional opinion of the Commissioning Agent and as suggestions for resolution.
 3. In the event that any Commissioning Issues and suggested resolutions are deemed by the Resident Engineer to require either an official interpretation of the construction documents or require a modification of the contract documents, the Contracting Officer or Resident Engineer will issue an official directive to this effect.
 4. All parties to the Commissioning Process shall be individually responsible for alerting the Resident Engineer of any issues that they deem to constitute a potential contract change prior to acting on these issues.
 5. Authority for resolution or modification of design and construction issues rests solely with the Contracting Officer or Resident Engineer, with appropriate technical guidance from the Architect/Engineer and/or Commissioning Agent.

1.3 RELATED WORK

- A. Section 01 00 00 GENERAL REQUIREMENTS.
- B. Section 01 33 23 SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES
- C. Section 01 81 13 SUSTAINABLE CONSTRUCTION REQUIREMENTS

1.4 SUMMARY

- A. This Section includes general requirements that apply to implementation of commissioning without regard to systems, subsystems, and equipment being commissioned.
- B. The commissioning activities have been developed to support the VA requirements to meet guidelines for Federal Leadership in Environmental, Energy, and Economic Performance.
- C. The commissioning activities have been developed to support the United States Green Building Council's (USGBC) LEED™ rating program and to support delivery of project performance in accordance with the VA requirements developed for the project to support the following credits:
 - 1. Commissioning activities and documentation for the LEED™ section on "Energy and Atmosphere" and the prerequisite of "Fundamental Building Systems Commissioning."
 - 2. Commissioning activities and documentation for the LEED™ section on "Energy and Atmosphere" requirements for the "Enhanced Building System Commissioning" credit.
 - 3. Activities and documentation for the LEED™ section on "Measurement and Verification" requirements for the Measurement and Verification credit.
- D. The commissioning activities have been developed to support the Green Buildings Initiative's Green Globes rating program and to support delivery of project performance in accordance with the VA requirements developed for the project.

1.5 ACRONYMS

List of Acronyms	
Acronym	Meaning
A/E	Architect / Engineer Design Team
AHJ	Authority Having Jurisdiction
ASHRAE	Association Society for Heating Air Condition and Refrigeration Engineers
BOD	Basis of Design

List of Acronyms	
Acronym	Meaning
BSC	Building Systems Commissioning
CCTV	Closed Circuit Television
CD	Construction Documents
CMMS	Computerized Maintenance Management System
CO	Contracting Officer (VA)
COR	Contracting Officer's Representative (see also VA-RE)
COBie	Construction Operations Building Information Exchange
CPC	Construction Phase Commissioning
Cx	Commissioning
CxA	Commissioning Agent
CxM	Commissioning Manager
CxR	Commissioning Representative
DPC	Design Phase Commissioning
FPT	Functional Performance Test
GBI-GG	Green Building Initiative - Green Globes
HVAC	Heating, Ventilation, and Air Conditioning
LEED	Leadership in Energy and Environmental Design
NC	Department of Veterans Affairs National Cemetery
NCA	Department of Veterans Affairs National Cemetery Administration
NEBB	National Environmental Balancing Bureau
O&M	Operations & Maintenance
OPR	Owner's Project Requirements
PFC	Pre-Functional Checklist
PFT	Pre-Functional Test
SD	Schematic Design
SO	Site Observation
TAB	Test Adjust and Balance
VA	Department of Veterans Affairs
VAMC	VA Medical Center
VA CFM	VA Office of Construction and Facilities Management
VACO	VA Central Office
VA PM	VA Project Manager
VA-RE	VA Resident Engineer
USGBC	United States Green Building Council

1.6 DEFINITIONS

Acceptance Phase Commissioning: Commissioning tasks executed after most construction has been completed, most Site Observations and Static Tests have been completed and Pre-Functional Testing has been completed and accepted. The main commissioning activities performed during this phase are verification that the installed systems are functional by conducting Systems Functional Performance tests and Owner Training.

Accuracy: The capability of an instrument to indicate the true value of a measured quantity.

Back Check: A back check is a verification that an agreed upon solution to a design comment has been adequately addressed in a subsequent design review

Basis of Design (BOD): The Engineer's Basis of Design is comprised of two components: the Design Criteria and the Design Narrative, these documents record the concepts, calculations, decisions, and product selections used to meet the Owner's Project Requirements (OPR) and to satisfy applicable regulatory requirements, standards, and guidelines.

Benchmarks: Benchmarks are the comparison of a building's energy usage to other similar buildings and to the building itself.. For example, ENERGY STAR Portfolio Manager is a frequently used and nationally recognized building energy benchmarking tool.

Building Information Modeling (BIM): Building Information Modeling is a parametric database which allows a building to be designed and constructed virtually in 3D, and provides reports both in 2D views and as schedules. This electronic information can be extracted and reused for pre-populating facility management CMMS systems. Building Systems Commissioning (BSC): NEBB acronym used to designate its commissioning program.

Calibrate: The act of comparing an instrument of unknown accuracy with a standard of known accuracy to detect, correlate, report, or eliminate by adjustment any variation in the accuracy of the tested instrument.

CCTV: Closed circuit Television. Normally used for security surveillance and alarm detections as part of a special electrical security system.

COBie: Construction Operations Building Information Exchange (COBie) is an electronic industry data format used to transfer information developed during design, construction, and commissioning into the

Computer Maintenance Management Systems (CMMS) used to operate facilities. See the Whole Building Design Guide website for further information (<http://www.wbdg.org/resources/cobie.php>)

Commissionability: Defines a design component or construction process that has the necessary elements that will allow a system or component to be effectively measured, tested, operated and commissioned

Commissioning Agent (CxA): The qualified Commissioning Professional who administers the Cx process by managing the Cx team and overseeing the Commissioning Process. Where CxA is used in this specification it means the Commissioning Agent, members of his staff or appointed members of the commissioning team. Note that LEED uses the term Commissioning Authority in lieu of Commissioning Agent.

Commissioning Checklists: Lists of data or inspections to be verified to ensure proper system or component installation, operation, and function. Verification checklists are developed and used during all phases of the commissioning process to verify that the Owner's Project Requirements (OPR) is being achieved.

Commissioning Design Review: The commissioning design review is a collaborative review of the design professionals design documents for items pertaining to the following: owner's project requirements; basis of design; operability and maintainability (O&M) including documentation; functionality; training; energy efficiency, control systems' sequence of operations including building automation system features; commissioning specifications and the ability to functionally test the systems.

Commissioning Issue: A condition identified by the Commissioning Agent or other member of the Commissioning Team that adversely affects the commissionability, operability, maintainability, or functionality of a system, equipment, or component. A condition that is in conflict with the Contract Documents and/or performance requirements of the installed systems and components. (See also - Commissioning Observation).

Commissioning Manager (CxM): A qualified individual appointed by the Contractor to manage the commissioning process on behalf of the Contractor.

Commissioning Observation: An issue identified by the Commissioning Agent or other member of the Commissioning Team that does not conform to the project OPR, contract documents or standard industry best practices. (See also Commissioning Issue)

Commissioning Plan: A document that outlines the commissioning process, commissioning scope and defines responsibilities, processes, schedules, and the documentation requirements of the Commissioning Process.

Commissioning Process: A quality focused process for enhancing the delivery of a project. The process focuses upon verifying and documenting that the facility and all of its systems, components, and assemblies are planned, designed, installed, tested, can be operated, and maintained to meet the Owner's Project Requirements.

Commissioning Report: The final commissioning document which presents the commissioning process results for the project. Cx reports include an executive summary, the commissioning plan, issue log, correspondence, and all appropriate check sheets and test forms.

Commissioning Representative (CxR): An individual appointed by a sub-contractor to manage the commissioning process on behalf of the sub-contractor.

Commissioning Specifications: The contract documents that detail the objective, scope and implementation of the commissioning process as developed in the Commissioning Plan.

Commissioning Team: Individual team members whose coordinated actions are responsible for implementing the Commissioning Process.

Construction Phase Commissioning: All commissioning efforts executed during the construction process after the design phase and prior to the Acceptance Phase Commissioning.

Contract Documents (CD): Contract documents include design and construction contracts, price agreements and procedure agreements. Contract Documents also include all final and complete drawings, specifications and all applicable contract modifications or supplements.

Construction Phase Commissioning (CPC): All commissioning efforts executed during the construction process after the design phase and prior to the Acceptance Phase Commissioning.

Coordination Drawings: Drawings showing the work of all trades that are used to illustrate that equipment can be installed in the space allocated without compromising equipment function or access for maintenance and replacement. These drawings graphically illustrate and dimension manufacturers' recommended maintenance clearances. On mechanical projects, coordination drawings include structural steel,

ductwork, major piping and electrical conduit and show the elevations and locations of the above components.

Data Logging: The monitoring and recording of temperature, flow, current, status, pressure, etc. of equipment using stand-alone data recorders.

Deferred System Test: Tests that cannot be completed at the end of the acceptance phase due to ambient conditions, schedule issues or other conditions preventing testing during the normal acceptance testing period.

Deficiency: See "Commissioning Issue".

Design Criteria: A listing of the VA Design Criteria outlining the project design requirements, including its source. These are used during the design process to show the design elements meet the OPR.

Design Intent: The overall term that includes the OPR and the BOD. It is a detailed explanation of the ideas, concepts, and criteria that are defined by the owner to be important. The design intent documents are utilized to provide a written record of these ideas, concepts and criteria.

Design Narrative: A written description of the proposed design solutions that satisfy the requirements of the OPR.

Design Phase Commissioning (DPC): All commissioning tasks executed during the design phase of the project.

Environmental Systems: Systems that use a combination of mechanical equipment, airflow, water flow and electrical energy to provide heating, ventilating, air conditioning, humidification, and dehumidification for the purpose of human comfort or process control of temperature and humidity.

Executive Summary: A section of the Commissioning report that reviews the general outcome of the project. It also includes any unresolved issues, recommendations for the resolution of unresolved issues and all deferred testing requirements.

Functionality: This defines a design component or construction process which will allow a system or component to operate or be constructed in a manner that will produce the required outcome of the OPR.

Functional Test Procedure (FTP): A written protocol that defines methods, steps, personnel, and acceptance criteria for tests conducted on components, equipment, assemblies, systems, and interfaces among systems.

Industry Accepted Best Practice: A design component or construction process that has achieved industry consensus for quality performance and functionality. Refer to the current edition of the NEBB Design Phase Commissioning Handbook for examples.

Installation Verification: Observations or inspections that confirm the system or component has been installed in accordance with the contract documents and to industry accepted best practices.

Integrated System Testing: Integrated Systems Testing procedures entail testing of multiple integrated systems performance to verify proper functional interface between systems. Typical Integrated Systems Testing includes verifying that building systems respond properly to loss of utility, transfer to emergency power sources, re-transfer from emergency power source to normal utility source; interface between HVAC controls and Fire Alarm systems for equipment shutdown, interface between Fire Alarm system and elevator control systems for elevator recall and shutdown; interface between Fire Alarm System and Security Access Control Systems to control access to spaces during fire alarm conditions; and other similar tests as determined for each specific project.

Issues Log: A formal and ongoing record of problems or concerns - and their resolution - that have been raised by members of the Commissioning Team during the course of the Commissioning Process.

Lessons Learned Workshop: A workshop conducted to discuss and document project successes and identify opportunities for improvements for future projects.

Maintainability: A design component or construction process that will allow a system or component to be effectively maintained. This includes adequate room for access to adjust and repair the equipment. Maintainability also includes components that have readily obtainable repair parts or service.

Manual Test: Testing using hand-held instruments, immediate control system readouts or direct observation to verify performance (contrasted to analyzing monitored data taken over time to make the 'observation').

Owner's Project Requirements (OPR): A written document that details the project requirements and the expectations of how the building and its systems will be used and operated. These include project goals, measurable performance criteria, cost considerations, benchmarks, success criteria, and supporting information.

Peer Review: A formal in-depth review separate from the commissioning review processes. The level of effort and intensity is much greater than a typical commissioning facilitation or extended commissioning review. The VA usually hires an independent third-party (called the IDIQ A/E) to conduct peer reviews.

Precision: The ability of an instrument to produce repeatable readings of the same quantity under the same conditions. The precision of an instrument refers to its ability to produce a tightly grouped set of values around the mean value of the measured quantity.

Pre-Design Phase Commissioning: Commissioning tasks performed prior to the commencement of design activities that includes project programming and the development of the commissioning process for the project

Pre-Functional Checklist (PFC): A form used by the contractor to verify that appropriate components are onsite, correctly installed, set up, calibrated, functional and ready for functional testing.

Pre-Functional Test (PFT): An inspection or test that is done before functional testing. PFT's include installation verification and system and component start up tests.

Procedure or Protocol: A defined approach that outlines the execution of a sequence of work or operations. Procedures are used to produce repeatable and defined results.

Range: The upper and lower limits of an instrument's ability to measure the value of a quantity for which the instrument is calibrated.

Resolution: This word has two meanings in the Cx Process. The first refers to the smallest change in a measured variable that an instrument can detect. The second refers to the implementation of actions that correct a tested or observed deficiency.

Site Observation Visit: On-site inspections and observations made by the Commissioning Agent for the purpose of verifying component, equipment, and system installation, to observe contractor testing, equipment start-up procedures, or other purposes.

Site Observation Reports (SO): Reports of site inspections and observations made by the Commissioning Agent. Observation reports are intended to provide early indication of an installation issue which will need correction or analysis.

Special System Inspections: Inspections required by a local code authority prior to occupancy and are not normally a part of the commissioning process.

Static Tests: Tests or inspections that validate a specified static condition such as pressure testing. Static tests may be specification or code initiated.

Start Up Tests: Tests that validate the component or system is ready for automatic operation in accordance with the manufactures requirements.

Systems Manual: A system-focused composite document that includes all information required for the owners operators to operate the systems.

Test Procedure: A written protocol that defines methods, personnel, and expectations for tests conducted on components, equipment, assemblies, systems, and interfaces among systems.

Testing: The use of specialized and calibrated instruments to measure parameters such as: temperature, pressure, vapor flow, air flow, fluid flow, rotational speed, electrical characteristics, velocity, and other data in order to determine performance, operation, or function.

Testing, Adjusting, and Balancing (TAB): A systematic process or service applied to heating, ventilating and air-conditioning (HVAC) systems and other environmental systems to achieve and document air and hydronic flow rates. The standards and procedures for providing these services are referred to as "Testing, Adjusting, and Balancing" and are described in the Procedural Standards for the Testing, Adjusting and Balancing of Environmental Systems, published by NEBB or AABC.

Thermal Scans: Thermographic pictures taken with an Infrared Thermographic Camera. Thermographic pictures show the relative temperatures of objects and surfaces and are used to identify leaks, thermal bridging, thermal intrusion, electrical overload conditions, moisture containment, and insulation failure.

Training Plan: A written document that details, in outline form the expectations of the operator training. Training agendas should include instruction on how to obtain service, operate, startup, shutdown and maintain all systems and components of the project.

Trending: Monitoring over a period of time with the building automation system.

Unresolved Commissioning Issue: Any Commissioning Issue that, at the time that the Final Report or the Amended Final Report is issued that has not been either resolved by the construction team or accepted by the VA. **Validation:** The process by which work is verified as complete and operating correctly:

1. First party validation occurs when a firm or individual verifying the task is the same firm or individual performing the task.
2. Second party validation occurs when the firm or individual verifying the task is under the control of the firm performing the task or has other possibilities of financial conflicts of interest in the resolution (Architects, Designers, General Contractors and Third Tier Subcontractors or Vendors).
3. Third party validation occurs when the firm verifying the task is not associated with or under control of the firm performing or designing the task.

Verification: The process by which specific documents, components, equipment, assemblies, systems, and interfaces among systems are confirmed to comply with the criteria described in the Owner's Project Requirements.

Warranty Phase Commissioning: Commissioning efforts executed after a project has been completed and accepted by the Owner. Warranty Phase Commissioning includes follow-up on verification of system performance, measurement and verification tasks and assistance in identifying warranty issues and enforcing warranty provisions of the construction contract.

Warranty Visit: A commissioning meeting and site review where all outstanding warranty issues and deferred testing is reviewed and discussed.

Whole Building Commissioning: Commissioning of building systems such as Building Envelope, HVAC, Electrical, Special Electrical (Fire Alarm, Security & Communications), Plumbing and Fire Protection as described in this specification.

1.7 SYSTEMS TO BE COMMISSIONED

- A. Commissioning of a system or systems specified for this project is part of the construction process. Documentation and testing of these systems, as well as training of the VA's Operation and Maintenance personnel, is required in cooperation with the VA and the Commissioning Agent.
- B. The following systems will be commissioned as part of this project, as applicable:

Systems To Be Commissioned

System	Description
Building Exterior Closure	
Foundations (excluding structural)	Standard, special, slab-on-grade, vapor barriers, air barriers
Basements	Basement walls, crawl spaces, waterproofing, drainage
Superstructure	Floor construction, roof construction, sunshades, connections to adjacent structures
Exterior Closure	Exterior walls, exterior windows, exterior doors, louvers, grilles and sunscreens,
Roofing	Roof system (including parapet), roof openings (skylights, pipe chases, ducts, equipment curbs, etc.)
Note:	The emphasis on commissioning the above building envelope systems is on control of air flow, heat flow, noise, infrared, ultraviolet, rain penetration, moisture, durability, security, reliability, constructability, maintainability, and sustainability.
Specialties	
Patient Bed Service Walls	Medical gas certification and cross check, electrical connections
Equipment	
Parking Control Equipment	Barriers
Laboratory Fume Hoods	Fume Hood Certification
Biological Safety Cabinets	Cabinet Certification
Packaged Incinerators	Combustion Testing, Cycle Certification
Conveying Equipment	
Electric Dumbwaiters	Interface with other systems (Fire Alarm, etc.) [ASTM testing and certification by others]
Elevators	Interface with other systems (fire alarm, etc.) [ASTM testing and certification by others]

Systems To Be Commissioned	
System	Description
Escalators	Interface with other systems (fire alarm, etc.) [ASTM testing and certification by others]
Material Delivery Systems	Interface with other systems (fire alarm, elevators, etc.)
Pneumatic Tube Systems	Interface with other systems (fire alarm, etc.)
Fire Suppression	
Fire Pump	Fire Pump, jockey pump, fire pump controller/ATS
Fire Sprinkler Systems	Wet pipe system, dry pipe system, pre-action system, special agent systems
Plumbing	
Domestic Water Distribution	Booster pumps, backflow preventers, water softeners, potable water storage tanks
Domestic Hot Water Systems	Water heaters**, heat exchangers, circulation pumps, point-of-use water heaters*
Sewerage Pump Systems	Sewage ejectors
Wastewater Pump Systems	Sump pumps
Sanitary Waste Interceptors	Grease interceptors, acid neutralizers
General Service Air Systems	Packaged compressor systems, air dryers, filtration
Medical Air Systems	Packaged medical air compressor units. Outlet certification, cross-connection verification
Medical Vacuum Systems	Packaged medical vacuum units, outlet certification, cross-connection verification
Dental Air Systems	Packaged dental air compressor units, outlet certification, cross-connect verification
Dental Evacuation and Vacuum Systems	Packaged Dental Evacuation units, packaged dental vacuum units, outlet certification, cross-connection verification
Waste Anesthesia Gas Systems	Packaged Waste Anesthesia Gas units, outlet certification, cross-connection verification

Systems To Be Commissioned	
System	Description
Medical Gas Systems (other than Medical Air Systems)	Medical gas (oxygen, nitrogen, nitrous oxide, etc.) tank/manifold systems, outlet certification, cross-connection verification
Chemical Waste Systems	Chemical storage tanks, neutralization systems, ventilation, process control
Reverse-Osmosis Systems	Packaged Reverse-Osmosis systems
Water De-Alkalizing Systems	Package Water De-Alkalizing systems
HVAC	
Noise and Vibration Control	Noise and vibration levels for critical equipment such as Air Handlers, Chillers, Cooling Towers, Boilers, Generators, etc. will be commissioned as part of the system commissioning
Direct Digital Control System**	Operator Interface Computer, Operator Work Station (including graphics, point mapping, trends, alarms), Network Communications Modules and Wiring, Integration Panels. [DDC Control panels will be commissioned with the systems controlled by the panel]
Chilled Water System**	Chillers (centrifugal, rotary screw, air-cooled), pumps (primary, secondary, variable primary), VFDs associated with chilled water system components, DDC Control Panels (including integration with Building Control System)
Condenser Water System**	Cooling Towers, Fluid Coolers, heat exchangers/economizers, pumps, VFDs associated with condenser water system components, DDC control panels.

Systems To Be Commissioned	
System	Description
Steam/Heating Hot Water System**	Boilers, boiler feed water system, economizers/heat recovery equipment, condensate recovery, water treatment, boiler fuel system, controls, interface with facility DDC system.
HVAC Air Handling Systems**	Air handling Units, packaged rooftop AHU, Outdoor Air conditioning units, humidifiers, DDC control panels
HVAC Ventilation/Exhaust Systems	General exhaust, toilet exhaust, laboratory exhaust, isolation exhaust, room pressurization control systems
HVAC Energy Recovery Systems**	Heat Wheels, Heat Recovery Loops, AHU Integrated Heat Recovery
HVAC Terminal Unit Systems**	VAV Terminal Units, CAV terminal units, fan coil units, fin-tube radiation, unit heaters
Decentralized Unitary HVAC Systems*	Split-system HVAC systems, controls, interface with facility DDC
Unitary Heat Pump Systems**	Water-source heat pumps, controls, interface with facility DDC
Humidity Control Systems	Humidifiers, de-humidifiers, controls, interface with facility DDC
Hydronic Distribution Systems	Pumps, DDC control panels, heat exchangers,
Facility Fuel Systems	Boiler fuel system, generator fuel system
Geothermal Energy Direct Use Heating **	Geothermal well, ground heat exchanger, geothermal pumps, heat exchanger, valves, instrumentation
Solar Energy Heating Systems **	Solar collectors, heat exchangers, storage tanks, solar-boosted domestic hot water heater, pumps, valves, instrumentation
Facility Fuel Gas Systems	Witness Natural gas piping pressure testing, natural gas compressors and storage, propane storage

Systems To Be Commissioned	
System	Description
Smoke Evacuation System	Atrium smoke evacuation, other smoke evacuation and smoke management systems, controls, interface with other systems (fire alarm), emergency operation.
Electrical	
Medium-Voltage Electrical Distribution Systems	Medium-Voltage Switchgear, Medium-Voltage Switches, Underground ductbank and distribution, Pad-Mount Transformers, Medium-Voltage Load Interrupter Switches,
Grounding & Bonding Systems	Witness 3rd party testing, review reports
Electric Power Monitoring Systems	Metering, sub-metering, power monitoring systems, PLC control systems
Electrical System Protective Device Study	Review reports, verify field settings consistent with Study
Secondary Unit Substations	Medium-voltage components, transformers, low-voltage distribution, verify breaker testing results (injection current, etc)
Low-Voltage Distribution System	Normal power distribution system, Life-safety power distribution system, critical power distribution system, equipment power distribution system, switchboards, distribution panels, panelboards, verify breaker testing results (injection current, etc)
Emergency Power Generation Systems	Generators, Generator paralleling switchgear, automatic transfer switches, PLC and other control systems
Lighting & Lighting Control** Systems	Emergency lighting, occupancy sensors, lighting control systems, architectural dimming systems, theatrical dimming systems, exterior lighting and controls
Cathodic Protection Systems	Review 3rd party testing results.

Systems To Be Commissioned	
System	Description
Lightning Protection System	Witness 3rd party testing, review reports
Communications	
Grounding & Bonding System	Witness 3rd party testing, review reports
Structured Cabling System	Witness 3rd party testing, review reports
Master Antenna Television System	Witness 3rd party testing, review reports
Public Address & Mass Notification Systems	Witness 3rd party testing, review reports
Intercom & Program Systems	Witness 3rd party testing, review reports
Nurse Call & Code Blue Systems	Witness 3rd party testing, review reports
Security Emergency Call Systems	Witness 3rd party testing, review reports
Duress Alarm Systems	Witness 3rd party testing, review reports
Electronic Safety and Security	
Grounding & Bonding	Witness 3rd party testing, review reports
Physical Access Control Systems	Witness 3rd party testing, review reports
Access Control Systems	Witness 3rd party testing, review reports
Security Access Detection Systems	Witness 3rd party testing, review reports
Video Surveillance System	Witness 3rd party testing, review reports
Electronic Personal Protection System	Witness 3rd party testing, review reports
Fire Detection and Alarm System	100% device acceptance testing, battery draw-down test, verify system monitoring, verify interface with other systems.
Renewable Energy Sources	

Systems To Be Commissioned	
System	Description
Geothermal Energy Electrical Generation Systems **	Geothermal well, DC-AC Inverters, storage batteries, turbine generator modules, switchgear, combiner boxes, instrumentation, monitoring and control systems
Solar Energy Electrical Power Generation Systems **	Solar collector modules, DC-AC inverter, storage batteries, combiners, Switchgear, instrumentation, monitoring and control systems
Wind Energy Electrical Power Generation Systems **	Wind Turbines, DC-AC inverter, storage batteries, combiners, switchgear, instrumentation, monitoring and control systems
Site Utilities	
Water Utilities	City Water Service Entrance, Backflow Prevention, Pressure Control, Booster Pumps, Irrigation Systems
Sanitary Sewerage Utilities	City Sanitary Connection, Waste Treatment Systems
Storm Drainage Utilities	City Storm Water Connection, Site Storm Water Distribution
Energy Distribution Utilities	Connection to Third Party Energy (Steam, High Temp Hot Water, Chilled Water) Supply Systems, Metering, Pressure Control
Transportation	
Active Traffic Barrier Systems	Witness 3rd party testing
Integrated Systems Tests	
Loss of Power Response	Loss of power to building, loss of power to campus, restoration of power to building, restoration of power to campus.
Fire Alarm Response	Integrated System Response to Fire Alarm Condition and Return to Normal
Table Notes	

Systems To Be Commissioned	
System	Description
** Denotes systems that LEED requires to be commissioned to comply with the LEED Fundamental Commissioning pre-requisite.	

1.8 COMMISSIONING TEAM

- A. The commissioning team shall consist of, but not be limited to, representatives of Contractor, including Project Superintendent and subcontractors, installers, schedulers, suppliers, and specialists deemed appropriate by the Department of Veterans Affairs (VA) and Commissioning Agent.
- B. Members Appointed by Contractor:
1. Contractor' Commissioning Manager: The designated person, company, or entity that plans, schedules and coordinates the commissioning activities for the construction team.
 2. Contractor's Commissioning Representative(s): Individual(s), each having authority to act on behalf of the entity he or she represents, explicitly organized to implement the commissioning process through coordinated actions.
- C. Members Appointed by VA:
1. Commissioning Agent: The designated person, company, or entity that plans, schedules, and coordinates the commissioning team to implement the commissioning process. The VA will engage the CxA under a separate contract.
 2. User: Representatives of the facility user and operation and maintenance personnel.
 3. A/E: Representative of the Architect and engineering design professionals.

1.9 VA'S COMMISSIONING RESPONSIBILITIES

- A. Appoint an individual, company or firm to act as the Commissioning Agent.
- B. Assign operation and maintenance personnel and schedule them to participate in commissioning team activities including, but not limited to, the following:
1. Coordination meetings.
 2. Training in operation and maintenance of systems, subsystems, and equipment.
 3. Testing meetings.

4. Witness and assist in Systems Functional Performance Testing.
 5. Demonstration of operation of systems, subsystems, and equipment.
- C. Provide the Construction Documents, prepared by Architect and approved by VA, to the Commissioning Agent and for use in managing the commissioning process, developing the commissioning plan, systems manuals, and reviewing the operation and maintenance training plan.

1.10 CONTRACTOR'S COMMISSIONING RESPONSIBILITIES

- A. The Contractor shall assign a Commissioning Manager to manage commissioning activities of the Contractor, and subcontractors.
- B. The Contractor shall ensure that the commissioning responsibilities outlined in these specifications are included in all subcontracts and that subcontractors comply with the requirements of these specifications.
- C. The Contractor shall ensure that each installing subcontractor shall assign representatives with expertise and authority to act on behalf of the subcontractor and schedule them to participate in and perform commissioning team activities including, but not limited to, the following:
 1. Participate in commissioning coordination meetings.
 2. Conduct operation and maintenance training sessions in accordance with approved training plans.
 3. Verify that Work is complete and systems are operational according to the Contract Documents, including calibration of instrumentation and controls.
 4. Evaluate commissioning issues and commissioning observations identified in the Commissioning Issues Log, field reports, test reports or other commissioning documents. In collaboration with entity responsible for system and equipment installation, recommend corrective action.
 5. Review and comment on commissioning documentation.
 6. Participate in meetings to coordinate Systems Functional Performance Testing.
 7. Provide schedule for operation and maintenance data submittals, equipment startup, and testing to Commissioning Agent for incorporation into the commissioning plan.
 8. Provide information to the Commissioning Agent for developing commissioning plan.

9. Participate in training sessions for VA's operation and maintenance personnel.
10. Provide technicians who are familiar with the construction and operation of installed systems and who shall develop specific test procedures to conduct Systems Functional Performance Testing of installed systems.

1.11 COMMISSIONING AGENT'S RESPONSIBILITIES

- A. Organize and lead the commissioning team.
- B. Prepare the commissioning plan. See Paragraph 1.11-A of this specification Section for further information.
- C. Review and comment on selected submittals from the Contractor for general conformance with the Construction Documents. Review and comment on the ability to test and operate the system and/or equipment, including providing gages, controls and other components required to operate, maintain, and test the system. Review and comment on performance expectations of systems and equipment and interfaces between systems relating to the Construction Documents.
- D. At the beginning of the construction phase, conduct an initial construction phase coordination meeting for the purpose of reviewing the commissioning activities and establishing tentative schedules for operation and maintenance submittals; operation and maintenance training sessions; TAB Work; Pre-Functional Checklists, Systems Functional Performance Testing; and project completion.
- E. Convene commissioning team meetings for the purpose of coordination, communication, and conflict resolution; discuss status of the commissioning processes. Responsibilities include arranging for facilities, preparing agenda and attendance lists, and notifying participants. The Commissioning Agent shall prepare and distribute minutes to commissioning team members and attendees within five workdays of the commissioning meeting.
- F. Observe construction and report progress, observations and issues. Observe systems and equipment installation for adequate accessibility for maintenance and component replacement or repair, and for general conformance with the Construction Documents.
- G. Prepare Project specific Pre-Functional Checklists and Systems Functional Performance Test procedures.
- H. Coordinate Systems Functional Performance Testing schedule with the Contractor.

- I. Witness selected systems startups.
- J. Verify selected Pre-Functional Checklists completed and submitted by the Contractor.
- K. Witness and document Systems Functional Performance Testing.
- L. Compile test data, inspection reports, and certificates and include them in the systems manual and commissioning report.
- M. Review and comment on operation and maintenance (O&M) documentation and systems manual outline for compliance with the Contract Documents. Operation and maintenance documentation requirements are specified in Paragraph 1.25, Section 01 00 00 GENERAL REQUIREMENTS.
- N. Review operation and maintenance training program developed by the Contractor. Verify training plans provide qualified instructors to conduct operation and maintenance training.
- O. Prepare commissioning Field Observation Reports.
- P. Prepare the Final Commissioning Report.
- Q. Return to the site at 10 months into the 12 month warranty period and review with facility staff the current building operation and the condition of outstanding issues related to the original and seasonal Systems Functional Performance Testing. Also interview facility staff and identify problems or concerns they have operating the building as originally intended. Make suggestions for improvements and for recording these changes in the O&M manuals. Identify areas that may come under warranty or under the original construction contract. Assist facility staff in developing reports, documents and requests for services to remedy outstanding problems.
- R. Assemble the final commissioning documentation, including the Final Commissioning Report and Addendum to the Final Commissioning Report.

1.12 COMMISSIONING DOCUMENTATION

- A. Commissioning Plan: A document, prepared by Commissioning Agent, that outlines the schedule, allocation of resources, and documentation requirements of the commissioning process, and shall include, but is not limited, to the following:
 - 1. Plan for delivery and review of submittals, systems manuals, and other documents and reports. Identification of the relationship of these documents to other functions and a detailed description of submittals that are required to support the commissioning processes.

- Submittal dates shall include the latest date approved submittals must be received without adversely affecting commissioning plan.
2. Description of the organization, layout, and content of commissioning documentation (including systems manual) and a detailed description of documents to be provided along with identification of responsible parties.
 3. Identification of systems and equipment to be commissioned.
 4. Schedule of Commissioning Coordination meetings.
 5. Identification of items that must be completed before the next operation can proceed.
 6. Description of responsibilities of commissioning team members.
 7. Description of observations to be made.
 8. Description of requirements for operation and maintenance training.
 9. Schedule for commissioning activities with dates coordinated with overall construction schedule.
 10. Process and schedule for documenting changes on a continuous basis to appear in Project Record Documents.
 11. Process and schedule for completing prestart and startup checklists for systems, subsystems, and equipment to be verified and tested.
 12. Preliminary Systems Functional Performance Test procedures.
- B. Systems Functional Performance Test Procedures: The Commissioning Agent will develop Systems Functional Performance Test Procedures for each system to be commissioned, including subsystems, or equipment and interfaces or interlocks with other systems. Systems Functional Performance Test Procedures will include a separate entry, with space for comments, for each item to be tested. Preliminary Systems Functional Performance Test Procedures will be provided to the VA, Architect/Engineer, and Contractor for review and comment. The Systems Performance Test Procedure will include test procedures for each mode of operation and provide space to indicate whether the mode under test responded as required. Each System Functional Performance Test procedure, regardless of system, subsystem, or equipment being tested, shall include, but not be limited to, the following:
1. Name and identification code of tested system.
 2. Test number.
 3. Time and date of test.
 4. Indication of whether the record is for a first test or retest following correction of a problem or issue.

5. Dated signatures of the person performing test and of the witness, if applicable.
 6. Individuals present for test.
 7. Observations and Issues.
 8. Issue number, if any, generated as the result of test.
- C. Pre-Functional Checklists: The Commissioning Agent will prepare Pre-Functional Checklists. Pre-Functional Checklists shall be completed and signed by the Contractor, verifying that systems, subsystems, equipment, and associated controls are ready for testing. The Commissioning Agent will spot check Pre-Functional Checklists to verify accuracy and readiness for testing. Inaccurate or incomplete Pre-Functional Checklists shall be returned to the Contractor for correction and resubmission.
- D. Test and Inspection Reports: The Commissioning Agent will record test data, observations, and measurements on Systems Functional Performance Test Procedure. The report will also include recommendation for system acceptance or non-acceptance. Photographs, forms, and other means appropriate for the application shall be included with data. Commissioning Agent Will compile test and inspection reports and test and inspection certificates and include them in systems manual and commissioning report.
- E. Corrective Action Documents: The Commissioning Agent will document corrective action taken for systems and equipment that fail tests. The documentation will include any required modifications to systems and equipment and/or revisions to test procedures, if any. The Commissioning Agent will witness and document any retesting of systems and/or equipment requiring corrective action and document retest results.
- F. Commissioning Issues Log: The Commissioning Agent will prepare and maintain Commissioning Issues Log that describes Commissioning Issues and Commissioning Observations that are identified during the Commissioning process. These observations and issues include, but are not limited to, those that are at variance with the Contract Documents. The Commissioning Issues Log will identify and track issues as they are encountered, the party responsible for resolution, progress toward resolution, and document how the issue was resolved. The Master Commissioning Issues Log will also track the status of unresolved issues.

1. Creating an Commissioning Issues Log Entry:
 - a. Identify the issue with unique numeric or alphanumeric identifier by which the issue may be tracked.
 - b. Assign a descriptive title for the issue.
 - c. Identify date and time of the issue.
 - d. Identify test number of test being performed at the time of the observation, if applicable, for cross reference.
 - e. Identify system, subsystem, and equipment to which the issue applies.
 - f. Identify location of system, subsystem, and equipment.
 - g. Include information that may be helpful in diagnosing or evaluating the issue.
 - h. Note recommended corrective action.
 - i. Identify commissioning team member responsible for corrective action.
 - j. Identify expected date of correction.
 - k. Identify person that identified the issue.
2. Documenting Issue Resolution:
 - a. Log date correction is completed or the issue is resolved.
 - b. Describe corrective action or resolution taken. Include description of diagnostic steps taken to determine root cause of the issue, if any.
 - c. Identify changes to the Contract Documents that may require action.
 - d. State that correction was completed and system, subsystem, and equipment are ready for retest, if applicable.
 - e. Identify person(s) who corrected or resolved the issue.
 - f. Identify person(s) verifying the issue resolution.
- G. Final Commissioning Report: The Commissioning Agent will document results of the commissioning process, including unresolved issues, and performance of systems, subsystems, and equipment. The Commissioning Report will indicate whether systems, subsystems, and equipment have been properly installed and are performing according to the Contract Documents. This report will be used by the Department of Veterans Affairs when determining that systems will be accepted. This report will be used to evaluate systems, subsystems, and equipment and will serve as a future reference document during VA occupancy and operation. It shall describe components and performance that exceed requirements

of the Contract Documents and those that do not meet requirements of the Contract Documents. The commissioning report will include, but is not limited to, the following:

1. Lists and explanations of substitutions; compromises; variances with the Contract Documents; record of conditions; and, if appropriate, recommendations for resolution. Design Narrative documentation maintained by the Commissioning Agent.
2. Commissioning plan.
3. Pre-Functional Checklists completed by the Contractor, with annotation of the Commissioning Agent review and spot check.
4. Systems Functional Performance Test Procedures, with annotation of test results and test completion.
5. Commissioning Issues Log.
6. Listing of deferred and off season test(s) not performed, including the schedule for their completion.

H. Addendum to Final Commissioning Report: The Commissioning Agent will prepare an Addendum to the Final Commissioning Report near the end of the Warranty Period. The Addendum will indicate whether systems, subsystems, and equipment are complete and continue to perform according to the Contract Documents. The Addendum to the Final Commissioning Report shall include, but is not limited to, the following:

1. Documentation of deferred and off season test(s) results.
2. Completed Systems Functional Performance Test Procedures for off season test(s).
3. Documentation that unresolved system performance issues have been resolved.
4. Updated Commissioning Issues Log, including status of unresolved issues.
5. Identification of potential Warranty Claims to be corrected by the Contractor.

I. Systems Manual: The Commissioning Agent will gather required information and compile the Systems Manual. The Systems Manual will include, but is not limited to, the following:

1. Design Narrative, including system narratives, schematics, single-line diagrams, flow diagrams, equipment schedules, and changes made throughout the Project.
2. Reference to Final Commissioning Plan.

3. Reference to Final Commissioning Report.
4. Approved Operation and Maintenance Data as submitted by the Contractor.

1.13 SUBMITTALS

- A. Preliminary Commissioning Plan Submittal: The Commissioning Agent has prepared a Preliminary Commissioning Plan based on the final Construction Documents. The Preliminary Commissioning Plan is included as an Appendix to this specification section. The Preliminary Commissioning Plan is provided for information only. It contains preliminary information about the following commissioning activities:
1. The Commissioning Team: A list of commissioning team members by organization.
 2. Systems to be commissioned. A detailed list of systems to be commissioned for the project. This list also provides preliminary information on systems/equipment submittals to be reviewed by the Commissioning Agent; preliminary information on Pre-Functional Checklists that are to be completed; preliminary information on Systems Performance Testing, including information on testing sample size (where authorized by the VA).
 3. Commissioning Team Roles and Responsibilities: Preliminary roles and responsibilities for each Commissioning Team member.
 4. Commissioning Documents: A preliminary list of commissioning-related documents, include identification of the parties responsible for preparation, review, approval, and action on each document.
 5. Commissioning Activities Schedule: Identification of Commissioning Activities, including Systems Functional Testing, the expected duration and predecessors for the activity.
 6. Pre-Functional Checklists: Preliminary Pre-Functional Checklists for equipment, components, subsystems, and systems to be commissioned. These Preliminary Pre-Functional Checklists provide guidance on the level of detailed information the Contractor shall include on the final submission.
 7. Systems Functional Performance Test Procedures: Preliminary step-by-step System Functional Performance Test Procedures to be used during Systems Functional Performance Testing. These Preliminary Systems Functional Performance procedures provide information on the level of testing rigor, and the level of Contractor support required during performance of system's testing.

- B. Final Commissioning Plan Submittal: Based on the Final Construction Documents and the Contractor's project team, the Commissioning Agent will prepare the Final Commissioning Plan as described in this section. The Commissioning Agent will submit three hard copies and three sets of electronic files of Final Commissioning Plan. The Contractor shall review the Commissioning Plan and provide any comments to the VA. The Commissioning Agent will incorporate review comments into the Final Commissioning Plan as directed by the VA.
- C. Systems Functional Performance Test Procedure: The Commissioning Agent will submit preliminary Systems Functional Performance Test Procedures to the Contractor, and the VA for review and comment. The Contractor shall return review comments to the VA and the Commissioning Agent. The VA will also return review comments to the Commissioning Agent. The Commissioning Agent will incorporate review comments into the Final Systems Functional Test Procedures to be used in Systems Functional Performance Testing.
- D. Pre-Functional Checklists: The Commissioning Agent will submit Pre-Functional Checklists to be completed by the Contractor.
- E. Test and Inspection Reports: The Commissioning Agent will submit test and inspection reports to the VA with copies to the Contractor and the Architect/Engineer.
- F. Corrective Action Documents: The Commissioning Agent will submit corrective action documents to the VA Resident Engineer with copies to the Contractor and Architect.
- G. Preliminary Commissioning Report Submittal: The Commissioning Agent will submit three electronic copies of the preliminary commissioning report. One electronic copy, with review comments, will be returned to the Commissioning Agent for preparation of the final submittal.
- H. Final Commissioning Report Submittal: The Commissioning Agent will submit four sets of electronically formatted information of the final commissioning report to the VA. The final submittal will incorporate comments as directed by the VA.
- I. Data for Commissioning:
1. The Commissioning Agent will request in writing from the Contractor specific information needed about each piece of commissioned equipment or system to fulfill requirements of the Commissioning Plan.

2. The Commissioning Agent may request further documentation as is necessary for the commissioning process or to support other VA data collection requirements, including Construction Operations Building Information Exchange (COBIE), Building Information Modeling (BIM), etc.

1.14 COMMISSIONING PROCESS

- A. The Commissioning Agent will be responsible for the overall management of the commissioning process as well as coordinating scheduling of commissioning tasks with the VA and the Contractor. As directed by the VA, the Contractor shall incorporate Commissioning tasks, including, but not limited to, Systems Functional Performance Testing (including predecessors) with the Master Construction Schedule.
- B. Within 30 days of contract award, the Contractor shall designate a specific individual as the Commissioning Manager (CxM) to manage and lead the commissioning effort on behalf of the Contractor. The Commissioning Manager shall be the single point of contact and communications for all commissioning related services by the Contractor.
- C. Within 30 days of contract award, the Contractor shall ensure that each subcontractor designates specific individuals as Commissioning Representatives (CXR) to be responsible for commissioning related tasks. The Contractor shall ensure the designated Commissioning Representatives participate in the commissioning process as team members providing commissioning testing services, equipment operation, adjustments, and corrections if necessary. The Contractor shall ensure that all Commissioning Representatives shall have sufficient authority to direct their respective staff to provide the services required, and to speak on behalf of their organizations in all commissioning related contractual matters.

1.15 QUALITY ASSURANCE

- A. Instructor Qualifications: Factory authorized service representatives shall be experienced in training, operation, and maintenance procedures for installed systems, subsystems, and equipment.
- B. Test Equipment Calibration: The Contractor shall comply with test equipment manufacturer's calibration procedures and intervals. Recalibrate test instruments immediately whenever instruments have been repaired following damage or dropping. Affix calibration tags to test

instruments. Instruments shall have been calibrated within six months prior to use.

1.16 COORDINATION

- A. Management: The Commissioning Agent will coordinate the commissioning activities with the VA and Contractor. The Commissioning Agent will submit commissioning documents and information to the VA. All commissioning team members shall work together to fulfill their contracted responsibilities and meet the objectives of the contract documents.
- B. Scheduling: The Contractor shall work with the Commissioning Agent and the VA to incorporate the commissioning activities into the construction schedule. The Commissioning Agent will provide sufficient information (including, but not limited to, tasks, durations and predecessors) on commissioning activities to allow the Contractor and the VA to schedule commissioning activities. All parties shall address scheduling issues and make necessary notifications in a timely manner in order to expedite the project and the commissioning process. The Contractor shall update the Master Construction as directed by the VA.
- C. Initial Schedule of Commissioning Events: The Commissioning Agent will provide the initial schedule of primary commissioning events in the Commissioning Plan and at the commissioning coordination meetings. The Commissioning Plan will provide a format for this schedule. As construction progresses, more detailed schedules will be developed by the Contractor with information from the Commissioning Agent.
- D. Commissioning Coordinating Meetings: The Commissioning Agent will conduct periodic Commissioning Coordination Meetings of the commissioning team to review status of commissioning activities, to discuss scheduling conflicts, and to discuss upcoming commissioning process activities.
- E. Pretesting Meetings: The Commissioning Agent will conduct pretest meetings of the commissioning team to review startup reports, Pre-Functional Checklist results, Systems Functional Performance Testing procedures, testing personnel and instrumentation requirements.
- F. Systems Functional Performance Testing Coordination: The Contractor shall coordinate testing activities to accommodate required quality assurance and control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing

and inspecting. The Contractor shall coordinate the schedule times for tests, inspections, obtaining samples, and similar activities.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT

- A. The Contractor shall provide all standard and specialized testing equipment required to perform Systems Functional Performance Testing. Test equipment required for Systems Functional Performance Testing will be identified in the detailed System Functional Performance Test Procedure prepared by the Commissioning Agent.
- B. Data logging equipment and software required to test equipment shall be provided by the Contractor.
- C. All testing equipment shall be of sufficient quality and accuracy to test and/or measure system performance with the tolerances specified in the Specifications. If not otherwise noted, the following minimum requirements apply: Temperature sensors and digital thermometers shall have a certified calibration within the past year to an accuracy of 0.5 °C (1.0 °F) and a resolution of + or - 0.1 °C (0.2 °F). Pressure sensors shall have an accuracy of + or - 2.0% of the value range being measured (not full range of meter) and have been calibrated within the last year. All equipment shall be calibrated according to the manufacturer's recommended intervals and following any repairs to the equipment. Calibration tags shall be affixed or certificates readily available.

PART 3 - EXECUTION

3.1 COMMISSIONING PROCESS ROLES AND RESPONSIBILITIES

A. The following table outlines the roles and responsibilities for the Commissioning Team members during the Construction Phase:

Construction Phase		CxA = Commissioning Agent RE = Resident Engineer A/E = Design Arch/Engineer PC = Prime Contractor O&M = Gov't Facility O&M					L = Lead P = Participate A = Approve R = Review O = Optional
Commissioning Roles & Responsibilities							
Category	Task Description	CxA	RE	A/E	PC	O&M	Notes
Meetings	Construction Commissioning Kick Off meeting	L	A	P	P	O	
	Commissioning Meetings	L	A	P	P	O	
	Project Progress Meetings	P	A	P	L	O	
	Controls Meeting	L	A	P	P	O	
Coordination	Coordinate with [OGC's, AHJ, Vendors, etc.] to ensure that Cx interacts properly with other systems as needed to support the OPR and BOD.	L	A	P	P	N/A	
Cx Plan & Spec	Final Commissioning Plan	L	A	R	R	O	
Schedules	Duration Schedule for Commissioning Activities	L	A	R	R	N/A	
OPR and BOD	Maintain OPR on behalf of Owner	L	A	R	R	O	
	Maintain BOD/DID on behalf of Owner	L	A	R	R	O	

Construction Phase		CxA = Commissioning Agent RE = Resident Engineer A/E = Design Arch/Engineer PC = Prime Contractor O&M = Gov't Facility O&M					L = Lead P = Participate A = Approve R = Review O = Optional	
Commissioning Roles & Responsibilities								
Category	Task Description	CxA	RE	A/E	PC	O&M	Notes	
Document Reviews	TAB Plan Review	L	A	R	R	O		
	Submittal and Shop Drawing Review	R	A	R	L	O		
	Review Contractor Equipment Startup Checklists	L	A	R	R	N/A		
	Review Change Orders, ASI, and RFI	L	A	R	R	N/A		
Site Observations	Witness Factory Testing	P	A	P	L	O		
	Construction Observation Site Visits	L	A	R	R	O		
Functional Test Protocols	Final Pre-Functional Checklists	L	A	R	R	O		
	Final Functional Performance Test Protocols	L	A	R	R	O		
Technical Activities	Issues Resolution Meetings	P	A	P	L	O		
Reports and Logs	Status Reports	L	A	R	R	O		
	Maintain Commissioning Issues Log	L	A	R	R	O		

B. The following table outlines the roles and responsibilities for the Commissioning Team members during the Acceptance Phase:

Acceptance Phase		CxA = Commissioning Agent RE = Resident Engineer A/E = Design Arch/Engineer PC = Prime Contractor O&M = Gov't Facility O&M					L = Lead P = Participate A = Approve R = Review O = Optional	
Commissioning Roles & Responsibilities								
Category	Task Description	CxA	RE	A/E	PC	O&M	Notes	
Meetings	Commissioning Meetings	L	A	P	P	O		
	Project Progress Meetings	P	A	P	L	O		
	Pre-Test Coordination Meeting	L	A	P	P	O		
	Lessons Learned and Commissioning Report Review Meeting	L	A	P	P	O		
Coordination	Coordinate with [OGC's, AHJ, Vendors, etc.] to ensure that Cx interacts properly with other systems as needed to support OPR and BOD	L	P	P	P	O		
Cx Plan & Spec	Maintain/Update Commissioning Plan	L	A	R	R	O		
Schedules	Prepare Functional Test Schedule	L	A	R	R	O		
OPR and BOD	Maintain OPR on behalf of Owner	L	A	R	R	O		
	Maintain BOD/DID on behalf of Owner	L	A	R	R	O		
Document Reviews	Review Completed Pre-Functional Checklists	L	A	R	R	O		
	Pre-Functional Checklist Verification	L	A	R	R	O		

Acceptance Phase		CxA = Commissioning Agent RE = Resident Engineer A/E = Design Arch/Engineer PC = Prime Contractor O&M = Gov't Facility O&M					L = Lead P = Participate A = Approve R = Review O = Optional
Commissioning Roles & Responsibilities							
Category	Task Description	CxA	RE	A/E	PC	O&M	Notes
	Review Operations & Maintenance Manuals	L	A	R	R	R	
	Training Plan Review	L	A	R	R	R	
	Warranty Review	L	A	R	R	O	
	Review TAB Report	L	A	R	R	O	
Site Observations	Construction Observation Site Visits	L	A	R	R	O	
	Witness Selected Equipment Startup	L	A	R	R	O	
Functional Test Protocols	TAB Verification	L	A	R	R	O	
	Systems Functional Performance Testing	L	A	P	P	P	
	Retesting	L	A	P	P	P	
Technical Activities	Issues Resolution Meetings	P	A	P	L	O	
	Systems Training	L	S	R	P	P	
Reports and Logs	Status Reports	L	A	R	R	O	
	Maintain Commissioning Issues Log	L	A	R	R	O	
	Final Commissioning Report	L	A	R	R	R	
	Prepare Systems Manuals	L	A	R	R	R	

C. The following table outlines the roles and responsibilities for the Commissioning Team members during the Warranty Phase:

Warranty Phase		CxA = Commissioning Agent					L = Lead
Commissioning Roles & Responsibilities		RE = Resident Engineer					P = Participate
		A/E = Design Arch/Engineer					A = Approve
		PC = Prime Contractor					R = Review
		O&M = Gov't Facility O&M					O = Optional
Category	Task Description	CxA	RE	A/E	PC	O&M	Notes
Meetings	Post-Occupancy User Review Meeting	L	A	O	P	P	
Site Observations	Periodic Site Visits	L	A	O	O	P	
Functional Test Protocols	Deferred and/or seasonal Testing	L	A	O	P	P	
Technical Activities	Issues Resolution Meetings	L	S	O	O	P	

Warranty Phase		CxA = Commissioning Agent					L = Lead
Commissioning Roles & Responsibilities		RE = Resident Engineer					P = Participate
		A/E = Design Arch/Engineer					A = Approve
		PC = Prime Contractor					R = Review
		O&M = Gov't Facility O&M					O = Optional
Category	Task Description	CxA	RE	A/E	PC	O&M	Notes
	Post-Occupancy Warranty Checkup and review of Significant Outstanding Issues	L	A		R	P	
Reports and Logs	Final Commissioning Report Amendment	L	A		R	R	
	Status Reports	L	A		R	R	

3.2 STARTUP, INITIAL CHECKOUT, AND PRE-FUNCTIONAL CHECKLISTS

A. The following procedures shall apply to all equipment and systems to be commissioned, according to Part 1, Systems to Be Commissioned.

1. Pre-Functional Checklists are important to ensure that the equipment and systems are hooked up and operational. These ensure that Systems Functional Performance Testing may proceed without unnecessary delays. Each system to be commissioned shall have a full Pre-Functional Checklist completed by the Contractor prior to Systems Functional Performance Testing. No sampling strategies are used.

a. The Pre-Functional Checklist will identify the trades responsible for completing the checklist. The Contractor shall ensure the appropriate trades complete the checklists.

b. The Commissioning Agent will review completed Pre-Functional Checklists and field-verify the accuracy of the completed checklist using sampling techniques.

2. Startup and Initial Checkout Plan: The Contractor shall develop detailed startup plans for all equipment. The primary role of the Contractor in this process is to ensure that there is written documentation that each of the manufacturer recommended procedures have been completed. Parties responsible for startup shall be identified in the Startup Plan and in the checklist forms.

a. The Contractor shall develop the full startup plan by combining (or adding to) the checklists with the manufacturer's detailed startup and checkout procedures from the O&M manual data and the field checkout sheets normally used by the Contractor. The plan shall include checklists and procedures with specific boxes or lines for recording and documenting the checking and inspections of each procedure and a summary statement with a signature block at the end of the plan.

b. The full startup plan shall at a minimum consist of the following items:

1) The Pre-Functional Checklists.

2) The manufacturer's standard written startup procedures copied from the installation manuals with check boxes by each procedure and a signature block added by hand at the end.

3) The manufacturer's normally used field checkout sheets.

c. The Commissioning Agent will submit the full startup plan to the VA and Contractor for review. Final approval will be by the VA.

- d. The Contractor shall review and evaluate the procedures and the format for documenting them, noting any procedures that need to be revised or added.
3. Sensor and Actuator Calibration
 - a. All field installed temperature, relative humidity, CO2 and pressure sensors and gages, and all actuators (dampers and valves) on all equipment shall be calibrated using the methods described in Division 21, Division 22, Division 23, Division 26, Division 27, and Division 28 specifications.
 - b. All procedures used shall be fully documented on the Pre-Functional Checklists or other suitable forms, clearly referencing the procedures followed and written documentation of initial, intermediate and final results.
 4. Execution of Equipment Startup
 - a. Four weeks prior to equipment startup, the Contractor shall schedule startup and checkout with the VA and Commissioning Agent. The performance of the startup and checkout shall be directed and executed by the Contractor.
 - b. The Commissioning Agent will observe the startup procedures for selected pieces of primary equipment.
 - c. The Contractor shall execute startup and provide the VA and Commissioning Agent with a signed and dated copy of the completed startup checklists, and contractor tests.
 - d. Only individuals that have direct knowledge and witnessed that a line item task on the Startup Checklist was actually performed shall initial or check that item off. It is not acceptable for witnessing supervisors to fill out these forms.

3.3 DEFICIENCIES, NONCONFORMANCE, AND APPROVAL IN CHECKLISTS AND STARTUP

- A. The Contractor shall clearly list any outstanding items of the initial startup and Pre-Functional Checklist procedures that were not completed successfully, at the bottom of the procedures form or on an attached sheet. The procedures form and any outstanding deficiencies shall be provided to the VA and the Commissioning Agent within two days of completion.
- B. The Commissioning Agent will review the report and submit comments to the VA. The Commissioning Agent will work with the Contractor to correct and verify deficiencies or uncompleted items. The Commissioning Agent will involve the VA and others as necessary. The Contractor shall

correct all areas that are noncompliant or incomplete in the checklists in a timely manner, and shall notify the VA and Commissioning Agent as soon as outstanding items have been corrected. The Contractor shall submit an updated startup report and a Statement of Correction on the original noncompliance report. When satisfactorily completed, the Commissioning Agent will recommend approval of the checklists and startup of each system to the VA.

- C. The Contractor shall be responsible for resolution of deficiencies as directed the VA.

3.4 PHASED COMMISSIONING

- A. The project may require startup and initial checkout to be executed in phases. This phasing shall be planned and scheduled in a coordination meeting of the VA, Commissioning Agent, and the Contractor. Results will be added to the master construction schedule and the commissioning schedule.

3.5 DDC SYSTEM TRENDING FOR COMMISSIONING

- A. Trending is a method of testing as a standalone method or to augment manual testing. The Contractor shall trend any and all points of the system or systems at intervals specified below.
- B. Alarms are a means to notify the system operator that abnormal conditions are present in the system. Alarms shall be structured into three tiers - Critical, Priority, and Maintenance.
 1. Critical alarms are intended to be alarms that require the immediate attention of and action by the Operator. These alarms shall be displayed on the Operator Workstation in a popup style window that is graphically linked to the associated unit's graphical display. The popup style window shall be displayed on top of any active window within the screen, including non DDC system software.
 2. Priority level alarms are to be printed to a printer which is connected to the Operator's Work Station located within the engineer's office. Additionally Priority level alarms shall be able to be monitored and viewed through an active alarm application. Priority level alarms are alarms which shall require reaction from the operator or maintenance personnel within a normal work shift, and not immediate action.
 3. Maintenance alarms are intended to be minor issues which would require examination by maintenance personnel within the following shift. These alarms shall be generated in a scheduled report

automatically by the DDC system at the start of each shift. The generated maintenance report will be printed to a printer located within the engineer's office.

- C. The Contractor shall provide a wireless internet network in the building for use during controls programming, checkout, and commissioning. This network will allow project team members to more effectively program, view, manipulate and test control devices while being in the same room as the controlled device.
- D. The Contractor shall provide graphical trending through the DDC control system of systems being commissioned. Trending requirements are indicated below and included with the Systems Functional Performance Test Procedures. Trending shall occur before, during and after Systems Functional Performance Testing. The Contractor shall be responsible for producing graphical representations of the trended DDC points that show each system operating properly during steady state conditions as well as during the System Functional Testing. These graphical reports shall be submitted to the Resident Engineer and Commissioning Agent for review and analysis before, during dynamic operation, and after Systems Functional Performance Testing. The Contractor shall provide, but not limited to, the following trend requirements and trend submissions:
 - 1. Pre-testing, Testing, and Post-testing - Trend reports of trend logs and graphical trend plots are required as defined by the Commissioning Agent. The trend log points, sampling rate, graphical plot configuration, and duration will be dictated by the Commissioning Agent. At any time during the Commissioning Process the Commissioning Agent may recommend changes to aspects of trending as deemed necessary for proper system analysis. The Contractor shall implement any changes as directed by the Resident Engineer. Any pre-test trend analysis comments generated by the Commissioning Team should be addressed and resolved by the Contractor, as directed by the Resident Engineer, prior to the execution of Systems Functional Performance Testing.
 - 2. Dynamic plotting - The Contractor shall also provide dynamic plotting during Systems Functional Performance testing at frequent intervals for points determined by the Systems Functional Performance Test Procedure. The graphical plots will be formatted and plotted at durations listed in the Systems Functional Performance Test Procedure.

3. Graphical plotting - The graphical plots shall be provided with a dual y-axis allowing 15 or more trend points (series) plotted simultaneously on the graph with each series in distinct color. The plots will further require title, axis naming, legend etc. all described by the Systems Functional Performance Test Procedure. If this cannot be sufficiently accomplished directly in the Direct Digital Control System then it is the responsibility of the Contractor to plot these trend logs in Microsoft Excel.
4. The following tables indicate the points to be trended and alarmed by system. The Operational Trend Duration column indicates the trend duration for normal operations. The Testing Trend Duration column indicates the trend duration prior to Systems Functional Performance Testing and again after Systems Functional Performance Testing. The Type column indicates point type: AI = Analog Input, AO = Analog Output, DI = Digital Input, DO = Digital Output, Calc = Calculated Point. In the Trend Interval Column, COV = Change of Value. The Alarm Type indicates the alarm priority; C = Critical, P = Priority, and M = Maintenance. The Alarm Range column indicates when the point is considered in the alarm state. The Alarm Delay column indicates the length of time the point must remain in an alarm state before the alarm is recorded in the DDC. The intent is to allow minor, short-duration events to be corrected by the DDC system prior to recording an alarm.

Dual-Path Air Handling Unit Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
OA Temperature	AI	15 Min	24 hours	3 days	N/A		
RA Temperature	AI	15 Min	24 hours	3 days	N/A		
RA Humidity	AI	15 Min	24 hours	3 days	P	>60% RH	10 min
Mixed Air Temp	AI	None	None	None	N/A		
SA Temp	AI	15 Min	24 hours	3 days	C	±5°F from SP	10 min
Supply Fan Speed	AI	15 Min	24 hours	3 days	N/A		
Return Fan Speed	AI	15 Min	24 hours	3 days	N/A		
RA Pre-Filter Status	AI	None	None	None	N/A		

Dual-Path Air Handling Unit Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
OA Pre-Filter Status	AI	None	None	None	N/A		
After Filter Status	AI	None	None	None	N/A		
SA Flow	AI	15 Min	24 hours	3 days	C	±10% from SP	10 min
OA Supply Temp	AI	15 Min	24 hours	3 days	P	±5°F from SP	10 min
RA Supply Temp	AI	15 Min	24 hours	3 days	N/A		
RA CHW Valve Position	AI	15 Min	24 hours	3 days	N/A		
OA CHW Valve Position	AI	15 Min	24 hours	3 days	N/A		
OA HW Valve Position	AI	15 Min	24 hours	3 days	N/A		
OA Flow	AI	15 Min	24 hours	3 days	P	±10% from SP	5 min
RA Flow	AI	15 Min	24 hours	3 days	P	±10% from SP	5 min
Initial UVC Intensity (%)	AI	None	None	None	N/A		
Duct Pressure	AI	15 Min	24 hours	3 days	C	±25% from SP	6 min
CO2 Level	AI	15 Min	24 hours	3 days	P	±10% from SP	10 min
Supply Fan Status	DI	COV	24 hours	3 days	C	Status <> Command	10 min
Return Fan Status	DI	COV	24 hours	3 days	C	Status <> Command	10 Min
High Static Status	DI	COV	24 hours	3 days	P	True	1 min
Fire Alarm Status	DI	COV	24 hours	3 days	C	True	5 min
Freeze Stat Level 1	DI	COV	24 hours	3 days	C	True	10 min
Freeze Stat Level 2	DI	COV	24 hours	3 days	C	True	5 min
Freeze Stat Level 3	DI	COV	24 hours	3 days	P	True	1 min
Fire/Smoke Damper Status	DI	COV	24 hours	3 days	P	Closed	1 min
Emergency AHU Shutdown	DI	COV	24 hours	3 days	P	True	1 min

Dual-Path Air Handling Unit Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Exhaust Fan #1 Status	DI	COV	24 hours	3 days	C	Status <> Command	10 min
Exhaust Fan #2 Status	DI	COV	24 hours	3 days	C	Status <> Command	10 min
Exhaust Fan #3 Status	DI	COV	24 hours	3 days	C	Status <> Command	10 min
OA Alarm	DI	COV	24 hours	3 days	C	True	10 min
High Static Alarm	DI	COV	24 hours	3 days	C	True	10 min
UVC Emitter Alarm	DI	COV	24 hours	3 days	P	True	10 min
CO2 Alarm	DI	COV	24 hours	3 days	P	True	10 min
Power Failure	DI	COV	24 hours	3 days	P	True	1 min
Supply Fan Speed	AO	15 Min	24 hours	3 days	N/A		
Return Fan Speed	AO	15 Min	24 hours	3 days	N/A		
RA CHW Valve Position	AO	15 Min	24 hours	3 days	N/A		
OA CHW Valve Position	AO	15 Min	24 hours	3 days	N/A		
OA HW Valve Position	AO	15 Min	24 hours	3 days	N/A		
Supply Fan S/S	DO	COV	24 hours	3 days	N/A		
Return Fan S/S	DO	COV	24 hours	3 days	N/A		
Fire/Smoke Dampers	DO	COV	24 hours	3 days	N/A		
Exhaust Fan S/S	DO	COV	24 hours	3 days	N/A		
Exhaust Fan S/S	DO	COV	24 hours	3 days	N/A		
Exhaust Fan S/S	DO	COV	24 hours	3 days	N/A		
AHU Energy	Calc	1 Hour	30 day	N/A	N/A		

Terminal Unit (VAV, CAV, etc.) Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Space Temperature	AI	15 Min	12 hours	3 days	P	±5°F from SP	10 min
Air Flow	AI	15 Min	12 hours	3 days	P	±5°F from SP	10 min
SA Temperature	AI	15 Min	12 hours	3 days	P	±5°F from SP	10 min
Local Setpoint	AI	15 Min	12 hours	3 days	M	±10°F from SP	60 min
Space Humidity	AI	15 Min	12 hours	3 days	P	> 60% RH	5 min
Unoccupied Override	DI	COV	12 hours	3 days	M	N/A	12 Hours
Refrigerator Alarm	DI	COV	12 hours	3 days	C	N/A	10 min
Damper Position	AO	15 Minutes	12 hours	3 days	N/A		
Heating coil Valve Position	AO	15 Minutes	12 hours	3 days	N/A		

4-Pipe Fan Coil Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Space Temperature	AI	15 Minutes	12 hours	3 days	P	±5°F from SP	10 min
SA Temperature	AI	15 Minutes	12 hours	3 days	P	±5°F from SP	10 min
Pre-Filter Status	AI	None	None	None	M	> SP	1 hour
Water Sensor	DI	COV	12 hours	3 days	M	N/A	30 Min
Cooling Coil Valve Position	AO	15 Minutes	12 hours	3 days	N/A		
Heating coil Valve Position	AO	15 Minutes	12 hours	3 days	N/A		
Fan Coil ON/OFF	DO	COV	12 hours	3 days	M	Status <> Command	30 min

2-Pipe Fan Coil Unit Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Space Temperature	AI	15 Minutes	12 hours	3 days	P	±5°F from SP	10 min
SA Temperature	AI	15 Minutes	12 hours	3 days	P	±5°F from SP	10 min
Pre-Filter Status	AI	None	None	None	M	> SP	1 hour
Water Sensor	DI	COV	12 hours	3 days	M	N/A	30 Min
Cooling Coil Valve Position	AO	15 Minutes	12 hours	3 days	N/A		
Fan Coil ON/OFF	DO	COV	12 hours	3 days	M	Status <> Command	30 min

Unit Heater Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Space Temperature	AI	15 Minutes	12 hours	3 days	P	±5°F from SP	10 min
Heating Valve Position	AO	15 Minutes	12 hours	3 days	N/A		
Unit Heater ON/OFF	DO	COV	12 hours	3 days	M	Status <> Command	30 min

Steam and Condensate Pumps Trending and Alarms

Point	Type	Trend Interval	Operationa l Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Steam Flow (LB/HR)	AI	15 Minutes	12 hours	3 days	N/A		
Condensate Pump Run Hours	AI	15 Minutes	12 hours	3 days	N/A		
Water Meter (GPM)	AI	15 Minutes	12 hours	3 days	N/A		
Electric Meter (KW/H)	AI	15 Minutes	12 hours	3 days	N/A		
Irrigation Meter (GPM)	AI	15 Minutes	12 hours	3 days	N/A		
Chilled Water Flow (TONS)	AI	15 Minutes	12 hours	3 days	N/A		
Condensate Flow (GPM)	AI	15 Minutes	12 hours	3 days	N/A		
High Water Level Alarm	DI	COV	12 hours	3 days	C	True	5 Min
Condensate Pump Start/Stop	DO	COV	12 hours	3 days	P	Status <> Command	10 min

Domestic Hot Water Trending and Alarms							
Point	Type	Trend Interval	Operationa l Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Domestic HW Setpoint WH-1	AI	15 Minute	12 Hours	3 days	N/A		
Domestic HW Setpoint WH-2	AI	15 Minute	12 Hours	3 days	N/A		
Domestic HW Temperature	AI	15 Minute	12 Hours	3 days	C	> 135 oF	10 Min
Domestic HW Temperature	AI	15 Minute	12 Hours	3 days	P	±5°F from SP	10 Min
Dom. Circ. Pump #1 Status	DI	COV	12 Hours	3 days	M	Status <> Command	30 min
Dom. Circ. Pump #2 Status	DI	COV	12 Hours	3 days	M	Status <> Command	30 min
Dom. Circ. Pump #1 Start/Stop	DO	COV	12 Hours	3 days	N/A		

Domestic Hot Water Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Dom. Circ. Pump #2 Start/Stop	DO	COV	12 Hours	3 days	N/A		
Domestic HW Start/Stop	DO	COV	12 Hours	3 days	N/A		

Hydronic Hot Water Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
System HWS Temperature	AI	15 min	12 hours	3 days	C	±5°F from SP	10 Min
System HWR Temperature	AI	15 min	12 hours	3 days	M	±15°F from SP	300 Min
HX-1 Entering Temperature	AI	15 min	12 hours	3 days	P	±5°F from SP	10 Min
HX-2 Entering Temperature	AI	15 min	12 hours	3 days	P	±5°F from SP	10 Min
HX-2 Leaving Temperature	AI	15 min	12 hours	3 days	P	±5°F from SP	10 Min
System Flow (GPM)	AI	15 min	12 hours	3 days	N/A		
System Differential Pressure	AI	15 min	12 hours	3 days	P	±10% from SP	8 Min
				3 days			
HW Pump 1 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
HW Pump 2 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
HW Pump 1 VFD Speed	AO	15 Min	12 Hours	3 days	N/A		
HW Pump 2 VFD Speed	AO	15 Min	12 Hours	3 days	N/A		
Steam Station #1 1/3 Control Valve Position	AO	15 Min	12 Hours	3 days	N/A		

Hydronic Hot Water Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Steam Station #1 2/3 Control Valve Position	AO	15 Min	12 Hours	3 days	N/A		
Steam Station #2 1/3 Control Valve Position	AO	15 Min	12 Hours	3 days	N/A		
Steam Station #2 2/3 Control Valve Position	AO	15 Min	12 Hours	3 days	N/A		
Steam Station Bypass Valve Position	AO	15 Min	12 Hours	3 days	N/A		
HW Pump 1 Start/Stop	DO	COV	12 Hours	3 days	N/A		
HW Pump 2 Start/Stop	DO	COV	12 Hours	3 days	N/A		
HWR #1 Valve	DO	COV	12 Hours	3 days	N/A		
HWR #2 Valve	DO	COV	12 Hours	3 days	N/A		

Chilled Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Chiller 1 Entering Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 1 Leaving Temperature	AI	15 Minutes	12 Hours	3 days	P	±5°F from SP	10 Min
Chiller 1 Flow	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 1 Percent Load	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 1 KW Consumption	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 1 Tonnage	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 Entering Temperature	AI	15 Minutes	12 Hours	3 days	N/A		

Chilled Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Chiller 2 Leaving Temperature	AI	15 Minutes	12 Hours	3 days	P	±5°F from SP	10 Min
Chiller 2 Flow	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 Percent Load	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 KW Consumption	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 Tonnage	AI	15 Minutes	12 Hours	3 days	N/A		
Primary Loop Decoupler Flow	AI	15 Minutes	12 Hours	3 days	N/A		
Primary Loop Flow	AI	15 Minutes	12 Hours	3 days	N/A		
Primary Loop Supply Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Differential Pressure	AI	15 Minutes	12 Hours	3 days	P	±5% from SP	10 Min
Secondary Loop Flow	AI	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Supply Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Return Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Tonnage	AI	15 Minutes	12 Hours	3 days	N/A		
Primary Loop Pump 1 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
Primary Loop Pump 2 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
Secondary Loop Pump 1 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
Secondary Loop Pump 2 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
Chiller 1 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min

Chilled Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Chiller 1 Evaporator Iso-Valve	DI	COV	12 Hours	3 days	N/A		
Chiller 1 Evaporator Flow Switch	DI	COV	12 Hours	3 days	N/A		
Chiller 1 Unit Alarm	DI	COV	12 Hours	3 days	C	True	10 Min
Chiller 2 Status	DI	COV	12 Hours	3 days	C	Status <> Command	30 min
Chiller 2 Evaporator Iso-Valve	DI	COV	12 Hours	3 days	N/A		
Chiller 2 Evaporator Flow Switch	DI	COV	12 Hours	3 days	N/A		
Chiller 2 Unit Alarm	DI	COV	12 Hours	3 days	C	True	10 Min
Refrigerant Detector	DI	COV	12 Hours	3 days	C	True	10 Min
Refrigerant Exhaust Fan Status	DI	COV	12 Hours	3 days	M	Status <> Command	30 min
Emergency Shutdown	DI	COV	12 Hours	3 days	P	True	1 Min
Primary Loop Pump 1 VFD Speed	AO	15 Minutes	12 Hours	3 days	N/A		
Primary Loop Pump 2 VFD Speed	AO	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Pump 1 VFD Speed	AO	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Pump 2 VFD Speed	AO	15 Minutes	12 Hours	3 days	N/A		
Primary Pump 1 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Primary Pump 2 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Secondary Pump 1 Start / Stop	DO	COV	12 Hours	3 days	N/A		

Chilled Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Secondary Pump 2 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Chiller 1 Enable	DO	COV	12 Hours	3 days	N/A		
Chiller 1 Iso-Valve Command	DO	COV	12 Hours	3 days	N/A		
Chiller 2 Enable	DO	COV	12 Hours	3 days	N/A		
Chiller 2 Iso-Valve Command	DO	COV	12 Hours	3 days	N/A		
Refrigerant Exhaust Fan Start / Stop	DO	COV	12 Hours	3 days	N/A		

Condenser Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Chiller 1 Condenser Entering Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 1 Condenser Leaving Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 Condenser Entering Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 Condenser Leaving Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Supply Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Return Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Basin Temp	AI	15 Minutes	12 Hours	3 days	P	< 45 oF	10 Min
Cooling Tower 2 Supply Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 2 Return Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 2 Basin Temp	AI	15 Minutes	12 Hours	3 days	P	< 45 oF	10 Min

Condenser Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Condenser Water Supply Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Condenser Water Return Temp	AI	15 Minutes	12 Hours	3 days	N/A		
Outdoor Air Wet Bulb	AI	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Fan Status	DI	COV	12 Hours	3 days	P	Status <> Command	1 min
Cooling Tower 1 Basin Heat	DI	COV	12 Hours	3 days	N/A		
Cooling Tower 1 Heat Trace	DI	COV	12 Hours	3 days	N/A		
Cooling Tower 2 Fan Status	DI	COV	12 Hours	3 days	P	Status <> Command	1 min
Cooling Tower 2 Basin Heat	DI	COV	12 Hours	3 days	N/A		
Cooling Tower 2 Heat Trace	DI	COV	12 Hours	3 days	N/A		
Chiller 1 Isolation Valve	DI	COV	12 Hours	3 days	P	Status <> Command	1 min
Chiller 2 Isolation Valve	DI	COV	12 Hours	3 days	P	Status <> Command	1 min
Condenser Water Pump 1 Status	DI	COV	12 Hours	3 days	P	Status <> Command	1 min
Condenser Water Pump 2 Status	DI	COV	12 Hours	3 days	P	Status <> Command	1 min
Chiller 1 Condenser Bypass Valve	AO	15 Minutes	12 Hours	3 days	N/A		
Chiller 2 Condenser Bypass Valve	AO	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Bypass Valve	AO	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Fan Speed	AO	15 Minutes	12 Hours	3 days	N/A		

Condenser Water System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Cooling Tower 2 Bypass Valve	AO	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 2 Fan Speed	AO	15 Minutes	12 Hours	3 days	N/A		
Cooling Tower 1 Fan Start / Stop	DO	COV	12 Hours	3 days	N/A		
Cooling Tower 2 Fan Start / Stop	DO	COV	12 Hours	3 days	N/A		
Condenser Water Pump 1 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Condenser Water Pump 2 Start / Stop	DO	COV	12 Hours	3 days	N/A		

Steam Boiler System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Boiler 1 Steam Pressure	AI	15 Minutes	12 Hours	3 days	P	±5% from SP	10 Min
Boiler 1 Steam Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 1 Fire Signal	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 2 Steam Pressure	AI	15 Minutes	12 Hours	3 days	P	±5% from SP	10 Min
Boiler 2 Steam Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 2 Fire Signal	AI	15 Minutes	12 Hours	3 days	N/A		
System Steam Pressure	AI	15 Minutes	12 Hours	3 days	P	±5% from SP	10 Min
Boiler 1 Enable	DI	COV	12 Hours	3 days	N/A		

Steam Boiler System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Boiler 1 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Boiler 1 Alarm	DI	COV	12 Hours	3 days	C	True	1 Min
Boiler 1 on Fuel Oil	DI	COV	12 Hours	3 days	N/A		
Boiler 1 Low Water Alarm	DI	COV	12 Hours	3 days	C	True	5 Min
Boiler 1 High Water Alarm	DI	COV	12 Hours	3 days	C	True	5 Min
Boiler 1 Feed Pump	DI	COV	12 Hours	3 days	N/A		
Boiler 2 Enable	DI	COV	12 Hours	3 days	N/A		
Boiler 2 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Boiler 2 Alarm	DI	COV	12 Hours	3 days	C	True	1 Min
Boiler 2 on Fuel Oil	DI	COV	12 Hours	3 days	N/A		
Boiler 2 Low Water Alarm	DI	COV	12 Hours	3 days	C	True	5 Min
Boiler 2 High Water Alarm	DI	COV	12 Hours	3 days	C	True	5 Min
Boiler 2 Feed Pump	DI	COV	12 Hours	3 days	N/A		
Combustion Damper Status	DI	COV	12 Hours	3 days	P	Status <> Command	5 min
Condensate Recovery Pump Status	DI	COV	12 Hours	3 days	P	Status <> Command	5 min
Boiler 1 Feed Pump Start / Stop	DO	COV	12 Hours	3 days	N/A		
Boiler 2 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Combustion Damper Command	DO	COV	12 Hours	3 days	N/A		
Condensate Recovery Pump Start / Stop	DO	COV	12 Hours	3 days	N/A		

Hot Water Boiler System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Outside Air Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 1 Fire Signal	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 1 Entering Water Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 1 Leaving Water Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 2 Fire Signal	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 2 Entering Water Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 2 Leaving Water Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Hot Water Supply Temperature	AI	15 Minutes	12 Hours	3 days	P	±5 °F from SP	10 Min
Hot Water Return Temperature	AI	15 Minutes	12 Hours	3 days	N/A		
Secondary Loop Differential Pressure	AI	15 Minutes	12 Hours	3 days	C	±5% from SP	10 Min
Lead Boiler	AI	15 Minutes	12 Hours	3 days	N/A		
Boiler 1 Enable	DI	COV	12 Hours	3 days	N/A		
Boiler 1 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Boiler 1 Isolation Valve	DI	COV	12 Hours	3 days	N/A		
Boiler 1 on Fuel Oil	DI	COV	12 Hours	3 days	N/A		

Hot Water Boiler System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Boiler 1 Alarm	DI	COV	12 Hours	3 days	C	True	1 Min
Boiler 2 Enable	DI	COV	12 Hours	3 days	N/A		
Boiler 2 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Boiler 2 Isolation Valve	DI	COV	12 Hours	3 days	N/A		
Boiler 2 on Fuel Oil	DI	COV	12 Hours	3 days	N/A		
Boiler 2 Alarm	DI	COV	12 Hours	3 days	C	True	1 Min
Combustion Dampers Open	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Primary Pump 1 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Primary Pump 2 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Secondary Pump 1 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Secondary Pump 2 Status	DI	COV	12 Hours	3 days	P	Status <> Command	10 min
Primary Pump 1 VFD Speed	AO	COV	12 Hours	3 days	N/A		
Primary Pump 2 VFD Speed	AO	COV	12 Hours	3 days	N/A		
Secondary Pump 1 VFD Speed	AO	COV	12 Hours	3 days	N/A		
Secondary Pump 2 VFD Speed	AO	COV	12 Hours	3 days	N/A		
Hot Water System Enable	DO	COV	12 Hours	3 days	N/A		
Combustion Dampers Command	DO	COV	12 Hours	3 days	N/A		
Primary Pump 1 Start / Stop	DO	COV	12 Hours	3 days	N/A		

Hot Water Boiler System Trending and Alarms							
Point	Type	Trend Interval	Operational Trend Duration	Testing Trend Duration	Alarm Type	Alarm Range	Alarm Delay
Primary Pump 2 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Secondary Pump 1 Start / Stop	DO	COV	12 Hours	3 days	N/A		
Secondary Pump 2 Start / Stop	DO	COV	12 Hours	3 days	N/A		

E. The Contractor shall provide the following information prior to Systems Functional Performance Testing. Any documentation that is modified after submission shall be recorded and resubmitted to the Resident Engineer and Commissioning Agent.

1. Point-to-Point checkout documentation;
2. Sensor field calibration documentation including system name, sensor/point name, measured value, DDC value, and Correction Factor.
3. A sensor calibration table listing the referencing the location of procedures to following in the O&M manuals, and the frequency at which calibration should be performed for all sensors, separated by system, subsystem, and type. The calibration requirements shall be submitted both in the O&M manuals and separately in a standalone document containing all sensors for inclusion in the commissioning documentation. The following table is a sample that can be used as a template for submission.

SYSTEM		
Sensor	Calibration Frequency	O&M Calibration Procedure Reference
Discharge air temperature	Once a year	Volume I Section D.3.aa
Discharge static pressure	Every 6 months	Volume II Section A.1.c

4. Loop tuning documentation and constants for each loop of the building systems. The documentation shall be submitted in outline or table separated by system, control type (e.g. heating valve

temperature control); proportional, integral and derivative constants, interval (and bias if used) for each loop. The following table is a sample that can be used as a template for submission.

AIR HANDLING UNIT AHU-1				
Control Reference	Proportional Constant	Integral Constant	Derivative Constant	Interval
Heating Valve Output	1000	20	10	2 sec.

3.6 SYSTEMS FUNCTIONAL PERFORMANCE TESTING

- A. This paragraph applies to Systems Functional Performance Testing of systems for all referenced specification Divisions.
- B. Objectives and Scope: The objective of Systems Functional Performance Testing is to demonstrate that each system is operating according to the Contract Documents. Systems Functional Performance Testing facilitates bringing the systems from a state of substantial completion to full dynamic operation. Additionally, during the testing process, areas of noncompliant performance are identified and corrected, thereby improving the operation and functioning of the systems. In general, each system shall be operated through all modes of operation (seasonal, occupied, unoccupied, warm-up, cool-down, part- and full-load, fire alarm and emergency power) where there is a specified system response. The Contractor shall verify each sequence in the sequences of operation. Proper responses to such modes and conditions as power failure, freeze condition, low oil pressure, no flow, equipment failure, etc. shall also be tested.
- C. Development of Systems Functional Performance Test Procedures: Before Systems Functional Performance Test procedures are written, the Contractor shall submit all requested documentation and a current list of change orders affecting equipment or systems, including an updated points list, program code, control sequences and parameters. Using the testing parameters and requirements found in the Contract Documents and approved submittals and shop drawings, the Commissioning Agent will develop specific Systems Functional Test Procedures to verify and document proper operation of each piece of equipment and system to be commissioned. The Contractor shall assist the Commissioning Agent in developing the Systems Functional Performance Test procedures as

requested by the Commissioning Agent i.e. by answering questions about equipment, operation, sequences, etc. Prior to execution, the Commissioning Agent will provide a copy of the Systems Functional Performance Test procedures to the VA, the Architect/Engineer, and the Contractor, who shall review the tests for feasibility, safety, equipment and warranty protection.

- D. Purpose of Test Procedures: The purpose of each specific Systems Functional Performance Test is to verify and document compliance with the stated criteria of acceptance given on the test form. Representative test formats and examples are found in the Commissioning Plan for this project. (The Commissioning Plan is issued as a separate document and is available for review.) The test procedure forms developed by the Commissioning Agent will include, but not be limited to, the following information:
1. System and equipment or component name(s)
 2. Equipment location and ID number
 3. Unique test ID number, and reference to unique Pre-Functional Checklists and startup documentation, and ID numbers for the piece of equipment
 4. Date
 5. Project name
 6. Participating parties
 7. A copy of the specification section describing the test requirements
 8. A copy of the specific sequence of operations or other specified parameters being verified
 9. Formulas used in any calculations
 10. Required pretest field measurements
 11. Instructions for setting up the test.
 12. Special cautions, alarm limits, etc.
 13. Specific step-by-step procedures to execute the test, in a clear, sequential and repeatable format
 14. Acceptance criteria of proper performance with a Yes / No check box to allow for clearly marking whether or not proper performance of each part of the test was achieved.
 15. A section for comments.
 16. Signatures and date block for the Commissioning Agent. A place for the Contractor to initial to signify attendance at the test.

- E. Test Methods: Systems Functional Performance Testing shall be achieved by manual testing (i.e. persons manipulate the equipment and observe performance) and/or by monitoring the performance and analyzing the results using the control system's trend log capabilities or by standalone data loggers. The Contractor and Commissioning Agent shall determine which method is most appropriate for tests that do not have a method specified.
1. Simulated Conditions: Simulating conditions (not by an overwritten value) shall be allowed, although timing the testing to experience actual conditions is encouraged wherever practical.
 2. Overwritten Values: Overwriting sensor values to simulate a condition, such as overwriting the outside air temperature reading in a control system to be something other than it really is, shall be allowed, but shall be used with caution and avoided when possible. Such testing methods often can only test a part of a system, as the interactions and responses of other systems will be erroneous or not applicable. Simulating a condition is preferable. e.g., for the above case, by heating the outside air sensor with a hair blower rather than overwriting the value or by altering the appropriate setpoint to see the desired response. Before simulating conditions or overwriting values, sensors, transducers and devices shall have been calibrated.
 3. Simulated Signals: Using a signal generator which creates a simulated signal to test and calibrate transducers and DDC constants is generally recommended over using the sensor to act as the signal generator via simulated conditions or overwritten values.
 4. Altering Setpoints: Rather than overwriting sensor values, and when simulating conditions is difficult, altering setpoints to test a sequence is acceptable. For example, to see the Air Conditioning compressor lockout initiate at an outside air temperature below 12 C (54 F), when the outside air temperature is above 12 C (54 F), temporarily change the lockout setpoint to be 2 C (4 F) above the current outside air temperature.
 5. Indirect Indicators: Relying on indirect indicators for responses or performance shall be allowed only after visually and directly verifying and documenting, over the range of the tested parameters, that the indirect readings through the control system represent

actual conditions and responses. Much of this verification shall be completed during systems startup and initial checkout.

- F. Setup: Each function and test shall be performed under conditions that simulate actual conditions as closely as is practically possible. The Contractor shall provide all necessary materials, system modifications, etc. to produce the necessary flows, pressures, temperatures, etc. necessary to execute the test according to the specified conditions. At completion of the test, the Contractor shall return all affected building equipment and systems, due to these temporary modifications, to their pretest condition.
- G. Sampling: No sampling is allowed in completing Pre-Functional Checklists. Sampling is allowed for Systems Functional Performance Test Procedures execution. The Commissioning Agent will determine the sampling rate. If at any point, frequent failures are occurring and testing is becoming more troubleshooting than verification, the Commissioning Agent may stop the testing and require the Contractor to perform and document a checkout of the remaining units, prior to continuing with Systems Functional Performance Testing of the remaining units.
- H. Cost of Retesting: The cost associated with expanded sample System Functional Performance Tests shall be solely the responsibility of the Contractor. Any required retesting by the Contractor shall not be considered a justified reason for a claim of delay or for a time extension by the Contractor.
- I. Coordination and Scheduling: The Contractor shall provide a minimum of 7 days' notice to the Commissioning Agent and the VA regarding the completion schedule for the Pre-Functional Checklists and startup of all equipment and systems. The Commissioning Agent will schedule Systems Functional Performance Tests with the Contractor and VA. The Commissioning Agent will witness and document the Systems Functional Performance Testing of systems. The Contractor shall execute the tests in accordance with the Systems Functional Performance Test Procedure.
- J. Testing Prerequisites: In general, Systems Functional Performance Testing will be conducted only after Pre-Functional Checklists have been satisfactorily completed. The control system shall be sufficiently tested and approved by the Commissioning Agent and the VA before it is used to verify performance of other components or systems. The air balancing and water balancing shall be completed before Systems

Functional Performance Testing of air-related or water-related equipment or systems are scheduled. Systems Functional Performance Testing will proceed from components to subsystems to systems. When the proper performance of all interacting individual systems has been achieved, the interface or coordinated responses between systems will be checked.

- K. Problem Solving: The Commissioning Agent will recommend solutions to problems found, however the burden of responsibility to solve, correct and retest problems is with the Contractor.

3.7 DOCUMENTATION, NONCONFORMANCE AND APPROVAL OF TESTS

- A. Documentation: The Commissioning Agent will witness, and document the results of all Systems Functional Performance Tests using the specific procedural forms developed by the Commissioning Agent for that purpose. Prior to testing, the Commissioning Agent will provide these forms to the VA and the Contractor for review and approval. The Contractor shall include the filled out forms with the O&M manual data.
- B. Nonconformance: The Commissioning Agent will record the results of the Systems Functional Performance Tests on the procedure or test form. All items of nonconformance issues will be noted and reported to the VA on Commissioning Field Reports and/or the Commissioning Master Issues Log.
1. Corrections of minor items of noncompliance identified may be made during the tests. In such cases, the item of noncompliance and resolution shall be documented on the Systems Functional Test Procedure.
 2. Every effort shall be made to expedite the systems functional Performance Testing process and minimize unnecessary delays, while not compromising the integrity of the procedures. However, the Commissioning Agent shall not be pressured into overlooking noncompliant work or loosening acceptance criteria to satisfy scheduling or cost issues, unless there is an overriding reason to do so by direction from the VA.
 3. As the Systems Functional Performance Tests progresses and an item of noncompliance is identified, the Commissioning Agent shall discuss the issue with the Contractor and the VA.
 4. When there is no dispute on an item of noncompliance, and the Contractor accepts responsibility to correct it:
 - a. The Commissioning Agent will document the item of noncompliance and the Contractor's response and/or intentions. The Systems

Functional Performance Test then continues or proceeds to another test or sequence. After the day's work is complete, the Commissioning Agent will submit a Commissioning Field Report to the VA. The Commissioning Agent will also note items of noncompliance and the Contractor's response in the Master Commissioning Issues Log. The Contractor shall correct the item of noncompliance and report completion to the VA and the Commissioning Agent.

- b. The need for retesting will be determined by the Commissioning Agent. If retesting is required, the Commissioning Agent and the Contractor shall reschedule the test and the test shall be repeated.
5. If there is a dispute about item of noncompliance, regarding whether it is an item of noncompliance, or who is responsible:
- a. The item of noncompliance shall be documented on the test form with the Contractor's response. The item of noncompliance with the Contractor's response shall also be reported on a Commissioning Field Report and on the Master Commissioning Issues Log.
 - b. Resolutions shall be made at the lowest management level possible. Other parties are brought into the discussions as needed. Final interpretive and acceptance authority is with the Department of Veterans Affairs.
 - c. The Commissioning Agent will document the resolution process.
 - d. Once the interpretation and resolution have been decided, the Contractor shall correct the item of noncompliance, report it to the Commissioning Agent. The requirement for retesting will be determined by the Commissioning Agent. If retesting is required, the Commissioning Agent and the Contractor shall reschedule the test. Retesting shall be repeated until satisfactory performance is achieved.
- C. Cost of Retesting: The cost to retest a System Functional Performance Test shall be solely the responsibility of the Contractor. Any required retesting by the Contractor shall not be considered a justified reason for a claim of delay or for a time extension by the Contractor.
- D. Failure Due to Manufacturer Defect: If 10%, or three, whichever is greater, of identical pieces (size alone does not constitute a

difference) of equipment fail to perform in compliance with the Contract Documents (mechanically or substantively) due to manufacturing defect, not allowing it to meet its submitted performance specifications, all identical units may be considered unacceptable by the VA. In such case, the Contractor shall provide the VA with the following:

1. Within one week of notification from the VA, the Contractor shall examine all other identical units making a record of the findings. The findings shall be provided to the VA within two weeks of the original notice.
 2. Within two weeks of the original notification, the Contractor shall provide a signed and dated, written explanation of the problem, cause of failures, etc. and all proposed solutions which shall include full equipment submittals. The proposed solutions shall not significantly exceed the specification requirements of the original installation.
 3. The VA shall determine whether a replacement of all identical units or a repair is acceptable.
 4. Two examples of the proposed solution shall be installed by the Contractor and the VA shall be allowed to test the installations for up to one week, upon which the VA will decide whether to accept the solution.
 5. Upon acceptance, the Contractor shall replace or repair all identical items, at their expense and extend the warranty accordingly, if the original equipment warranty had begun. The replacement/repair work shall proceed with reasonable speed beginning within one week from when parts can be obtained.
- E. Approval: The Commissioning Agent will note each satisfactorily demonstrated function on the test form. Formal approval of the Systems Functional Performance Test shall be made later after review by the Commissioning Agent and by the VA. The Commissioning Agent will evaluate each test and report to the VA using a standard form. The VA will give final approval on each test using the same form, and provide signed copies to the Commissioning Agent and the Contractor.

3.8 DEFERRED TESTING

- A. Unforeseen Deferred Systems Functional Performance Tests: If any Systems Functional Performance Test cannot be completed due to the building structure, required occupancy condition or other conditions,

execution of the Systems Functional Performance Testing may be delayed upon approval of the VA. These Systems Functional Performance Tests shall be conducted in the same manner as the seasonal tests as soon as possible. Services of the Contractor to conduct these unforeseen Deferred Systems Functional Performance Tests shall be negotiated between the VA and the Contractor.

- B. Deferred Seasonal Testing: Deferred Seasonal Systems Functional Performance Tests are those that must be deferred until weather conditions are closer to the systems design parameters. The Commissioning Agent will review systems parameters and recommend which Systems Functional Performance Tests should be deferred until weather conditions more closely match systems parameters. The Contractor shall review and comment on the proposed schedule for Deferred Seasonal Testing. The VA will review and approve the schedule for Deferred Seasonal Testing. Deferred Seasonal Systems Functional Performances Tests shall be witnessed and documented by the Commissioning Agent. Deferred Seasonal Systems Functional Performance Tests shall be executed by the Contractor in accordance with these specifications.

3.9 OPERATION AND MAINTENANCE TRAINING REQUIREMENTS

- A. Training Preparation Conference: Before operation and maintenance training, the Commissioning Agent will convene a training preparation conference to include VA's Resident Engineer, VA's Operations and Maintenance personnel, and the Contractor. The purpose of this conference will be to discuss and plan for Training and Demonstration of VA Operations and Maintenance personnel.
- B. The Contractor shall provide training and demonstration as required by other Division 21, Division 22, Division 23, Division 26, Division 27, Division 28, and Division 31 sections. The Training and Demonstration shall include, but is not limited to, the following:
1. Review the Contract Documents.
 2. Review installed systems, subsystems, and equipment.
 3. Review instructor qualifications.
 4. Review instructional methods and procedures.
 5. Review training module outlines and contents.
 6. Review course materials (including operation and maintenance manuals).
 7. Review and discuss locations and other facilities required for instruction.

8. Review and finalize training schedule and verify availability of educational materials, instructors, audiovisual equipment, and facilities needed to avoid delays.
 9. For instruction that must occur outside, review weather and forecasted weather conditions and procedures to follow if conditions are unfavorable.
- C. Training Module Submittals: The Contractor shall submit the following information to the VA and the Commissioning Agent:
1. Instruction Program: Submit two copies of outline of instructional program for demonstration and training, including a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include learning objective and outline for each training module. At completion of training, submit two complete training manuals for VA's use.
 2. Qualification Data: Submit qualifications for facilitator and/or instructor.
 3. Attendance Record: For each training module, submit list of participants and length of instruction time.
 4. Evaluations: For each participant and for each training module, submit results and documentation of performance-based test.
 5. Demonstration and Training Recording:
 - a. General: Engage a qualified commercial photographer to record demonstration and training. Record each training module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice. At beginning of each training module, record each chart containing learning objective and lesson outline.
 - b. Video Format: Provide high quality color DVD color on standard size DVD disks.
 - c. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to show area of demonstration and training. Display continuous running time.
 - d. Narration: Describe scenes on video recording by audio narration by microphone while demonstration and training is recorded. Include description of items being viewed. Describe vantage point, indicating location, direction (by compass point), and elevation or story of construction.

- e. Submit two copies within seven days of end of each training module.
 6. Transcript: Prepared on 8-1/2-by-11-inch paper, punched and bound in heavy-duty, 3-ring, vinyl-covered binders. Mark appropriate identification on front and spine of each binder. Include a cover sheet with same label information as the corresponding videotape. Include name of Project and date of videotape on each page.
- D. Quality Assurance:
1. Facilitator Qualifications: A firm or individual experienced in training or educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.
 2. Instructor Qualifications: A factory authorized service representative, complying with requirements in Division 01 Section "Quality Requirements," experienced in operation and maintenance procedures and training.
 3. Photographer Qualifications: A professional photographer who is experienced photographing construction projects.
- E. Training Coordination:
1. Coordinate instruction schedule with VA's operations. Adjust schedule as required to minimize disrupting VA's operations.
 2. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
 3. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by the VA.
- F. Instruction Program:
1. Program Structure: Develop an instruction program that includes individual training modules for each system and equipment not part of a system, as required by individual Specification Sections, and as follows:
 - a. Fire protection systems, including fire alarm, fire pumps, and fire suppression systems.
 - b. Intrusion detection systems.
 - c. Conveying systems, including elevators, wheelchair lifts, escalators, and automated materials handling systems.

- d. Medical equipment, including medical gas equipment and piping.
 - e. Laboratory equipment, including laboratory air and vacuum equipment and piping.
 - f. Heat generation, including boilers, feedwater equipment, pumps, steam distribution piping, condensate return systems, heating hot water heat exchangers, and heating hot water distribution piping.
 - g. Refrigeration systems, including chillers, cooling towers, condensers, pumps, and distribution piping.
 - h. HVAC systems, including air handling equipment, air distribution systems, and terminal equipment and devices.
 - i. HVAC instrumentation and controls.
 - j. Electrical service and distribution, including switchgear, transformers, switchboards, panelboards, uninterruptible power supplies, and motor controls.
 - k. Packaged engine generators, including synchronizing switchgear/switchboards, and transfer switches.
 - l. Lighting equipment and controls.
 - m. Communication systems, including intercommunication, surveillance, nurse call systems, public address, mass evacuation, voice and data, and entertainment television equipment.
 - n. Site utilities including lift stations, condensate pumping and return systems, and storm water pumping systems.
- G. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participants are expected to master. For each module, include instruction for the following:
- 1. Basis of System Design, Operational Requirements, and Criteria:
 - Include the following:
 - a. System, subsystem, and equipment descriptions.
 - b. Performance and design criteria if Contractor is delegated design responsibility.
 - c. Operating standards.
 - d. Regulatory requirements.
 - e. Equipment function.
 - f. Operating characteristics.
 - g. Limiting conditions.
 - H, Performance curves.

2. Documentation: Review the following items in detail:
 - a. Emergency manuals.
 - b. Operations manuals.
 - c. Maintenance manuals.
 - d. Project Record Documents.
 - e. Identification systems.
 - f. Warranties and bonds.
 - g. Maintenance service agreements and similar continuing commitments.
3. Emergencies: Include the following, as applicable:
 - a. Instructions on meaning of warnings, trouble indications, and error messages.
 - b. Instructions on stopping.
 - c. Shutdown instructions for each type of emergency.
 - d. Operating instructions for conditions outside of normal operating limits.
 - e. Sequences for electric or electronic systems.
 - f. Special operating instructions and procedures.
4. Operations: Include the following, as applicable:
 - a. Startup procedures.
 - b. Equipment or system break-in procedures.
 - c. Routine and normal operating instructions.
 - d. Regulation and control procedures.
 - e. Control sequences.
 - f. Safety procedures.
 - g. Instructions on stopping.
 - h. Normal shutdown instructions.
 - i. Operating procedures for emergencies.
 - j. Operating procedures for system, subsystem, or equipment failure.
 - k. Seasonal and weekend operating instructions.
 - l. Required sequences for electric or electronic systems.
 - m. Special operating instructions and procedures.
5. Adjustments: Include the following:
 - a. Alignments.
 - b. Checking adjustments.
 - c. Noise and vibration adjustments.
 - d. Economy and efficiency adjustments.
6. Troubleshooting: Include the following:

- a. Diagnostic instructions.
 - b. Test and inspection procedures.
7. Maintenance: Include the following:
- a. Inspection procedures.
 - b. Types of cleaning agents to be used and methods of cleaning.
 - c. List of cleaning agents and methods of cleaning detrimental to product.
 - d. Procedures for routine cleaning
 - e. Procedures for preventive maintenance.
 - f. Procedures for routine maintenance.
 - g. Instruction on use of special tools.
8. Repairs: Include the following:
- a. Diagnosis instructions.
 - b. Repair instructions.
 - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
 - d. Instructions for identifying parts and components.
 - e. Review of spare parts needed for operation and maintenance.
- H. Training Execution:
1. Preparation: Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a combined training manual. Set up instructional equipment at instruction location.
2. Instruction:
- a. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Department of Veterans Affairs for number of participants, instruction times, and location.
 - b. Instructor: Engage qualified instructors to instruct VA's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
 - 1) The Commissioning Agent will furnish an instructor to describe basis of system design, operational requirements, criteria, and regulatory requirements.
 - 2) The VA will furnish an instructor to describe VA's operational philosophy.

- 3) The VA will furnish the Contractor with names and positions of participants.
 3. Scheduling: Provide instruction at mutually agreed times. For equipment that requires seasonal operation, provide similar instruction at start of each season. Schedule training with the VA and the Commissioning Agent with at least seven days' advance notice.
 4. Evaluation: At conclusion of each training module, assess and document each participant's mastery of module by use of an oral, or a written, performance-based test.
 5. Cleanup: Collect used and leftover educational materials and remove from Project site. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.
- I. Demonstration and Training Recording:
1. General: Engage a qualified commercial photographer to record demonstration and training. Record each training module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice. At beginning of each training module, record each chart containing learning objective and lesson outline.
 2. Video Format: Provide high quality color DVD color on standard size DVD disks.
 3. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to show area of demonstration and training. Display continuous running time.
 4. Narration: Describe scenes on videotape by audio narration by microphone while demonstration and training is recorded. Include description of items being viewed. Describe vantage point, indicating location, direction (by compass point), and elevation or story of construction.

----- END -----